



## Session 1.4

In the Cool of the Day: The role of urban forests in improving microclimate and reducing the heat island effect

Chair: Cynnamon Dobbs



**World Forum on  
Urban Forests**



# 2nd World Forum on Urban Forests

Washington DC, 2023

This information is preliminary and is subject to revision. It is being provided to meet the need for timely best science. The information is provided on the condition that neither the U.S. Geological Survey nor the U.S. Government shall be held liable for any damages resulting from the authorized or unauthorized use of the information.

## Addressing interactions between landcover and urban heat at local and regional scales



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Presented by

Peter Ibsen PhD

United States Geological Survey – Climate Research and Development Program  
Geoscience and Environmental Change Science  
Center





## 2nd World Forum on Urban Forests

Washington DC, 2023

# Statewide Tree Planting Programs to Combat Urban Heat

### Baltimore's Heat Islands Are a Problem, but New Tree Planting Efforts Could Help



Volunteers and staff with Baltimore Tree Trust planted 10 trees at Mary Ann Winderling Elementary School in Baltimore.

BALTIMORE TREE TRUST

### PORTLAND STATE STUDY DEMONSTRATES HOW PLANTS, TREES AND REFLECTIVE MATERIALS CAN REDUCE EXTREME HEAT IN CITY NEIGHBORHOODS

By John Kirkland | July 8, 2019 [Share](#)

### Tucson launches 'Million Trees' tree-planting effort

Mayor Regina Romero says new trees will help cool the fast-warming city.

### Trees battle Houston's brutal heat, but many poorer areas are left unshaded

FEATURES: Jul. 16, 2021

DEMOGRAPHICS | HEALTH | HOUSING

ANDY OLIN

### LA needs 90,000 trees to battle extreme heat. Will residents step up to plant them?

by Jaimie Ding



#### ENVIRONMENT

### Proposal would create a \$30 million fund to plant trees in areas suffering from heat



Brandon Loomis  
Arizona Republic

Published 6:15 a.m. MT April 21, 2022

[View Comments](#)



16 Photos

[VIEW FULL GALLERY](#)



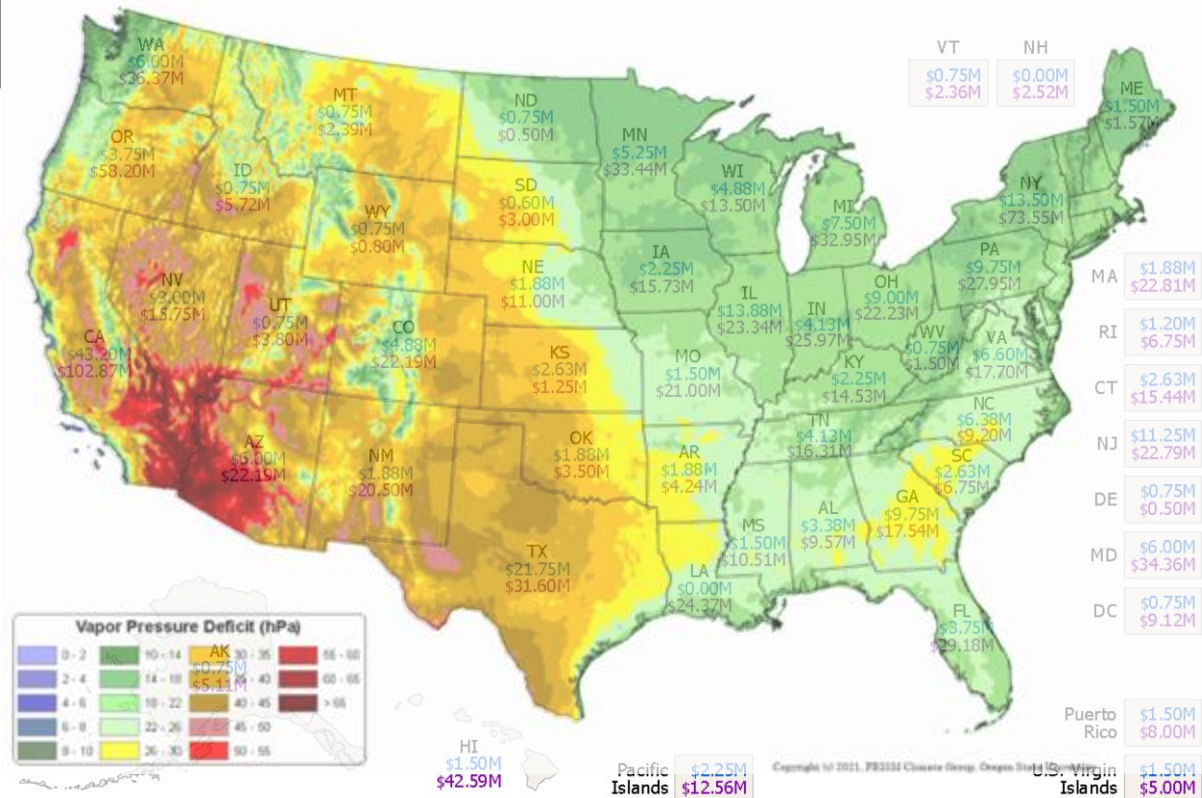
Forest Service  
U.S. DEPARTMENT OF AGRICULTURE

# URBAN AND COMMUNITY FORESTRY GRANTS

USDA is an equal opportunity provider, employer, and lender.

The USDA Forest Service's Urban and Community Forestry Program awarded more than \$1 billion to fund projects that support urban communities through equitable access to trees and the benefits they provide. The funding was made possible by the Inflation Reduction Act.

## Urban and Community Forestry FY 2023 IRA Grant Allocations in Millions of Dollars

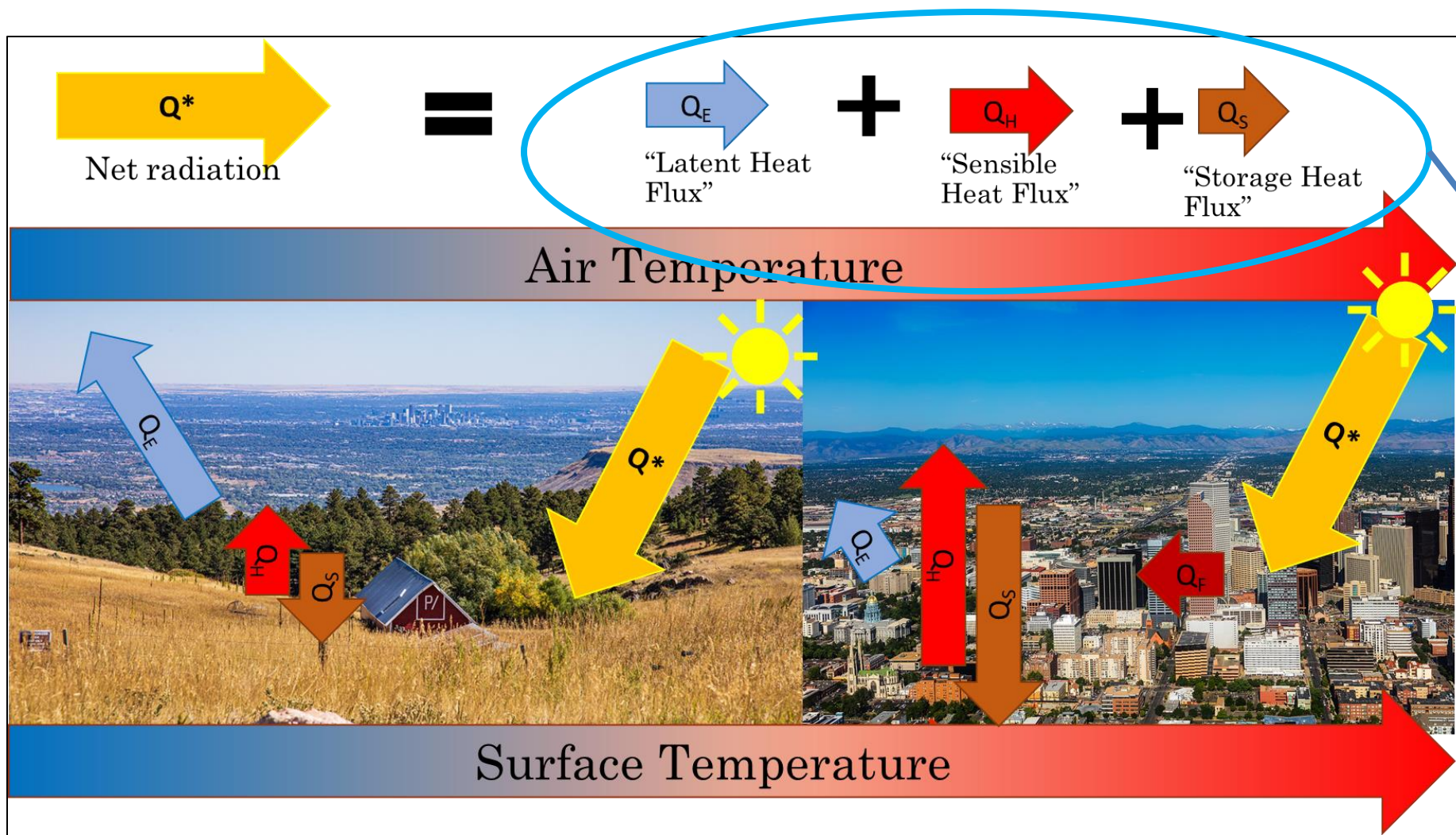


FY 2023 IRA State Allocation - \$250 Million Total

FY 2023 IRA Notice of Funding Opportunity Grants - \$1.13 Billion Total



# Land Cover and Heat Mitigation Can Be Dependent of Regional Climate

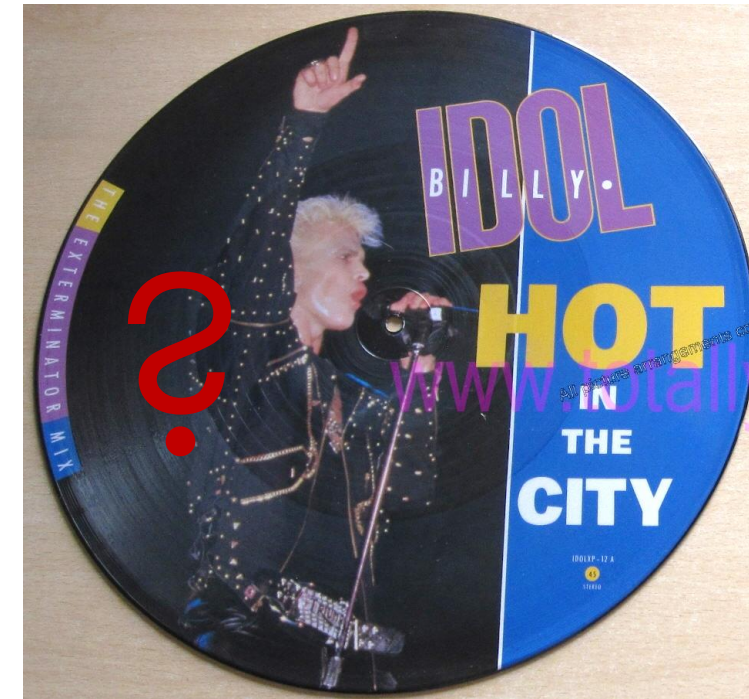


Climate underlies the biophysical mechanisms relating urban vegetation to cooling

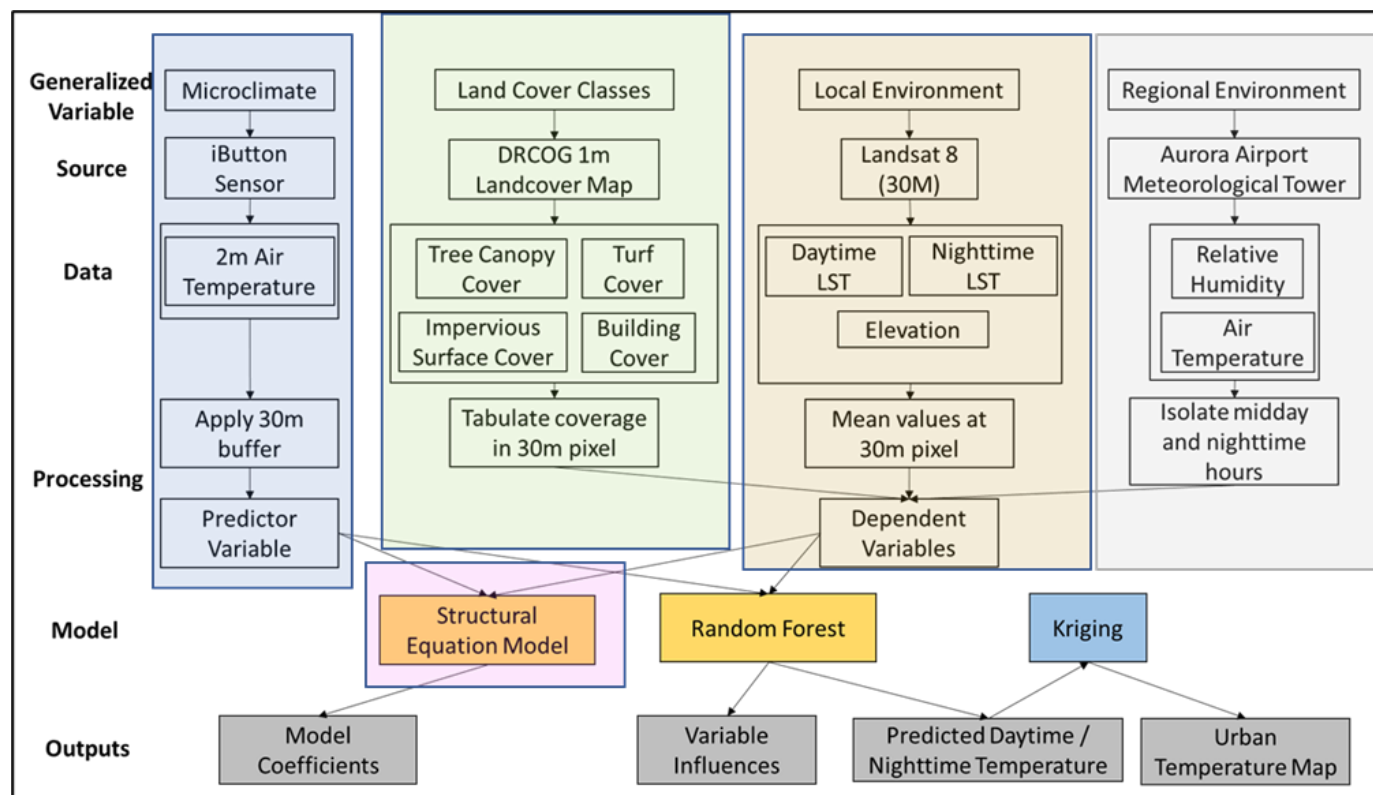
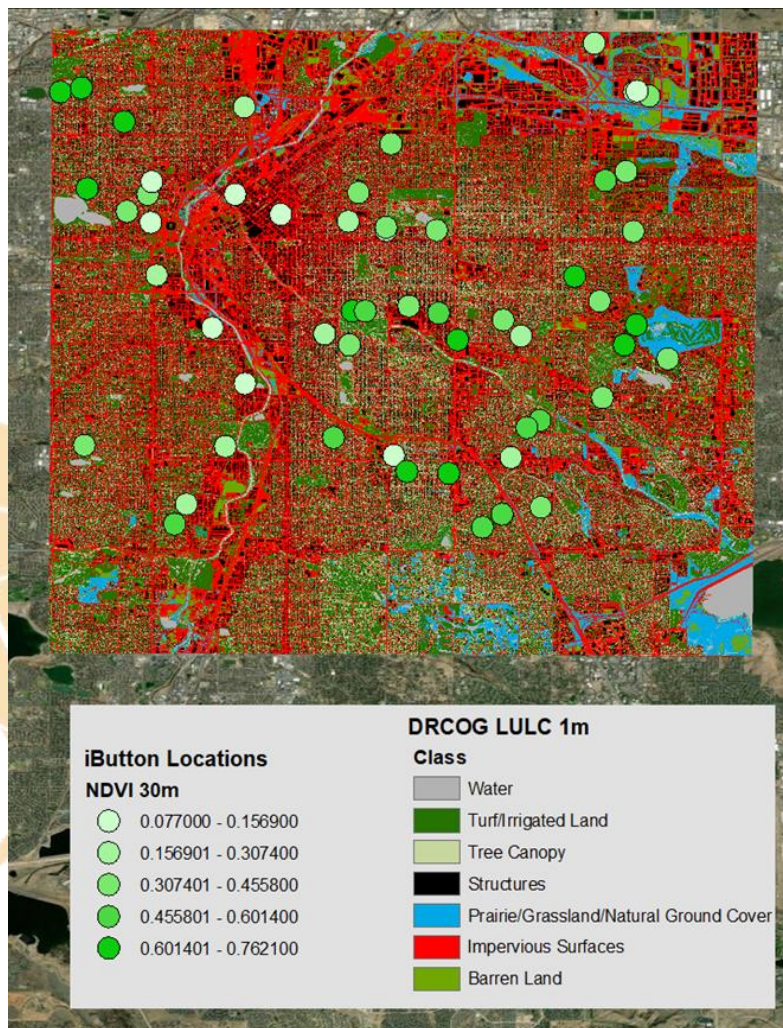


# USGS Climate Research and Development Program Urban Heat Study – Research Questions

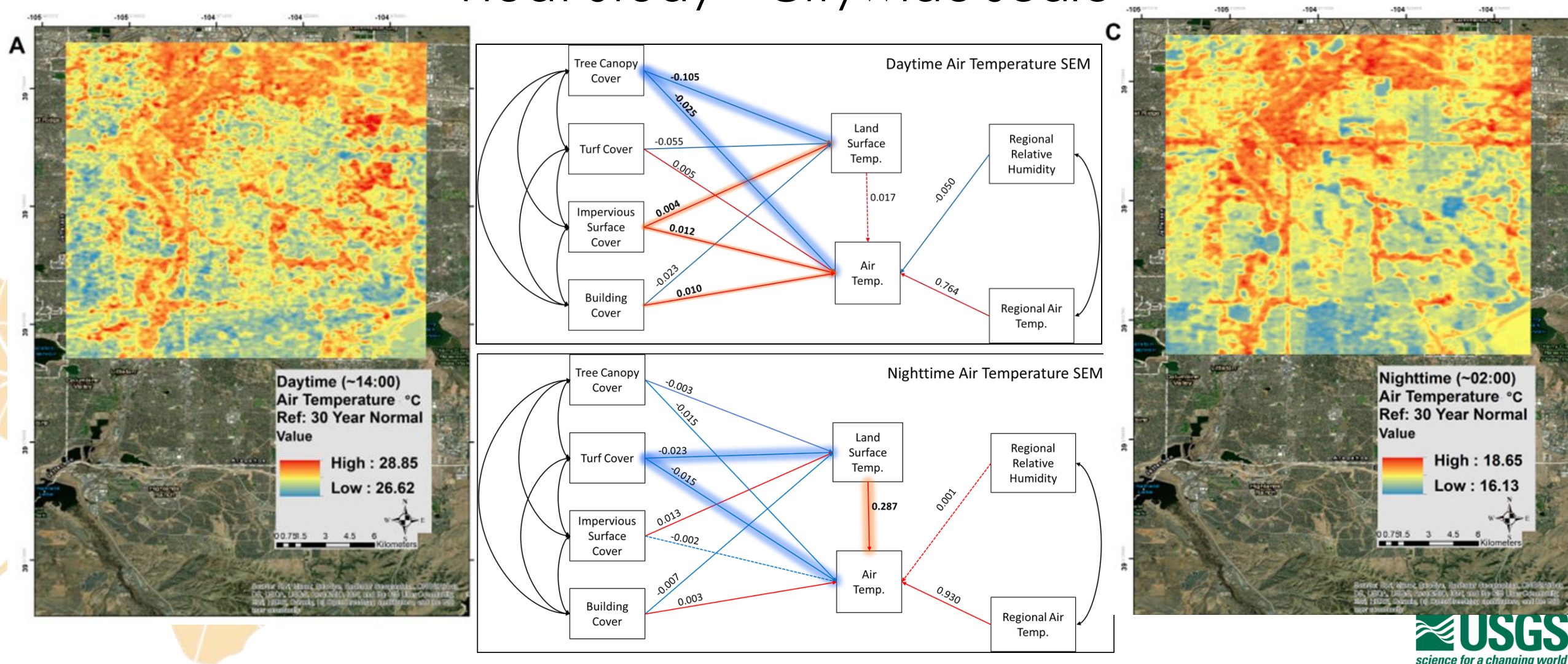
1. How do highly heterogenous land covers influence daytime and nighttime urban temperatures?
2. Does the influence of urban land cover on urban heat vary in different regional climates?
3. Does the relative influence of urban land cover on air temperature vary during heat waves?
4. How does urban land covers' heat mitigating properties affect urban residents?



# USGS Climate Research and Development Program Urban Heat Study – Citywide Scale

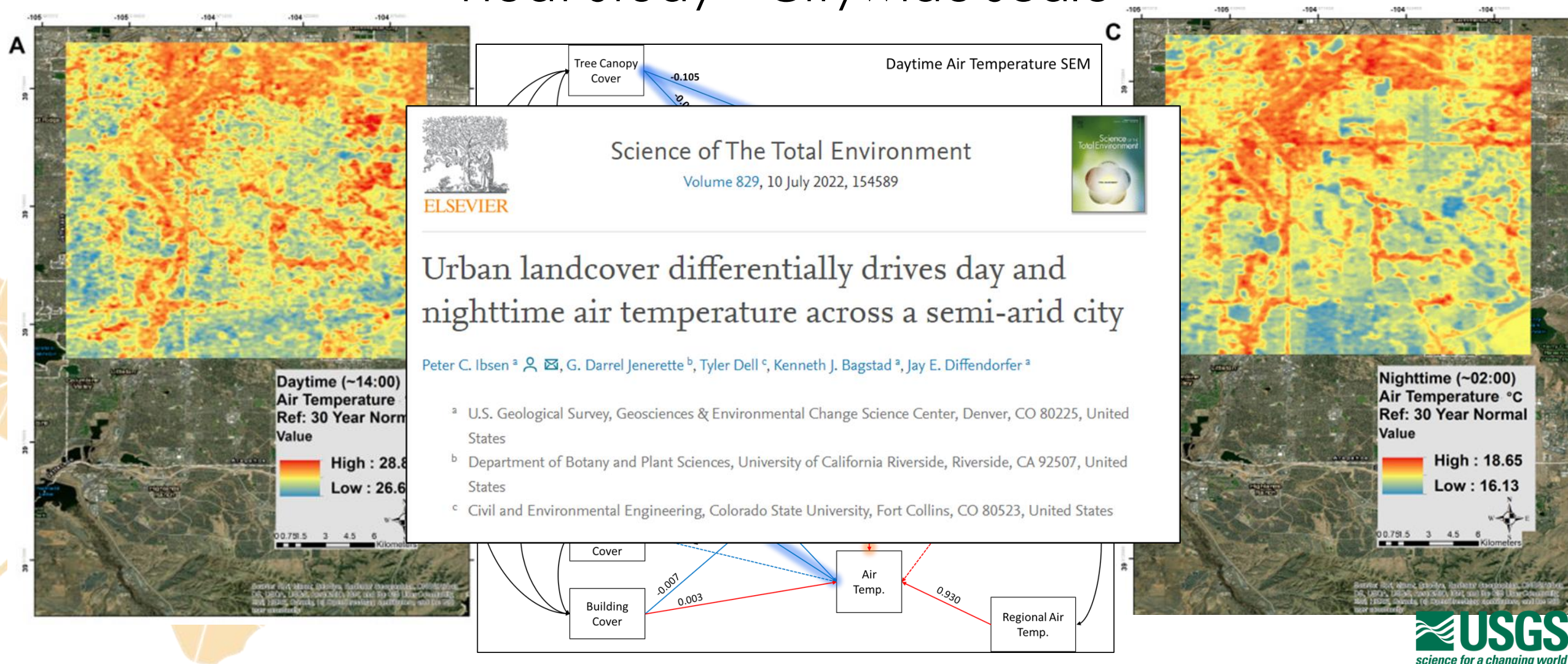


# USGS Climate Research and Development Program Urban Heat Study – Citywide Scale



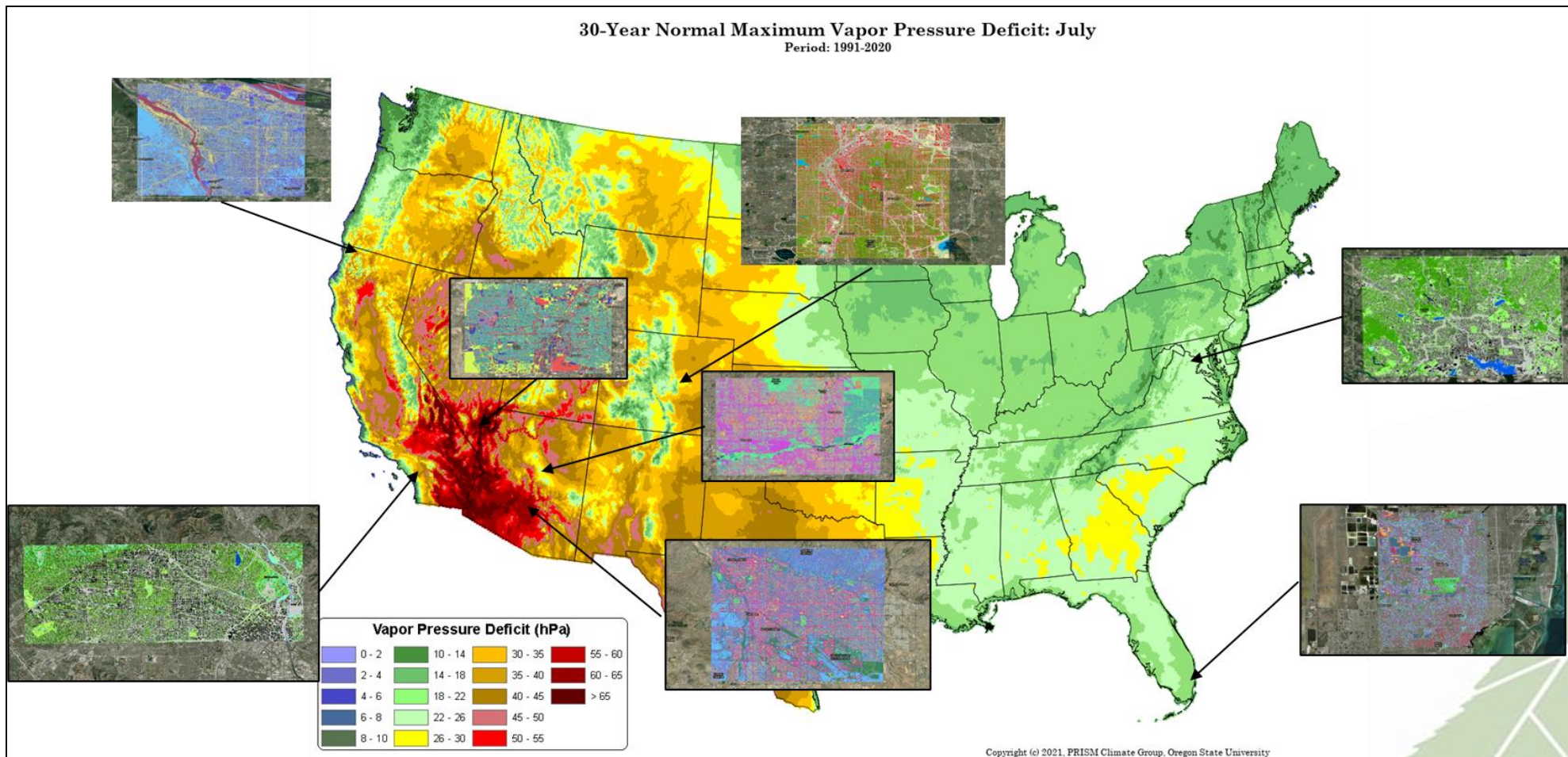


# USGS Climate Research and Development Program Urban Heat Study – Citywide Scale



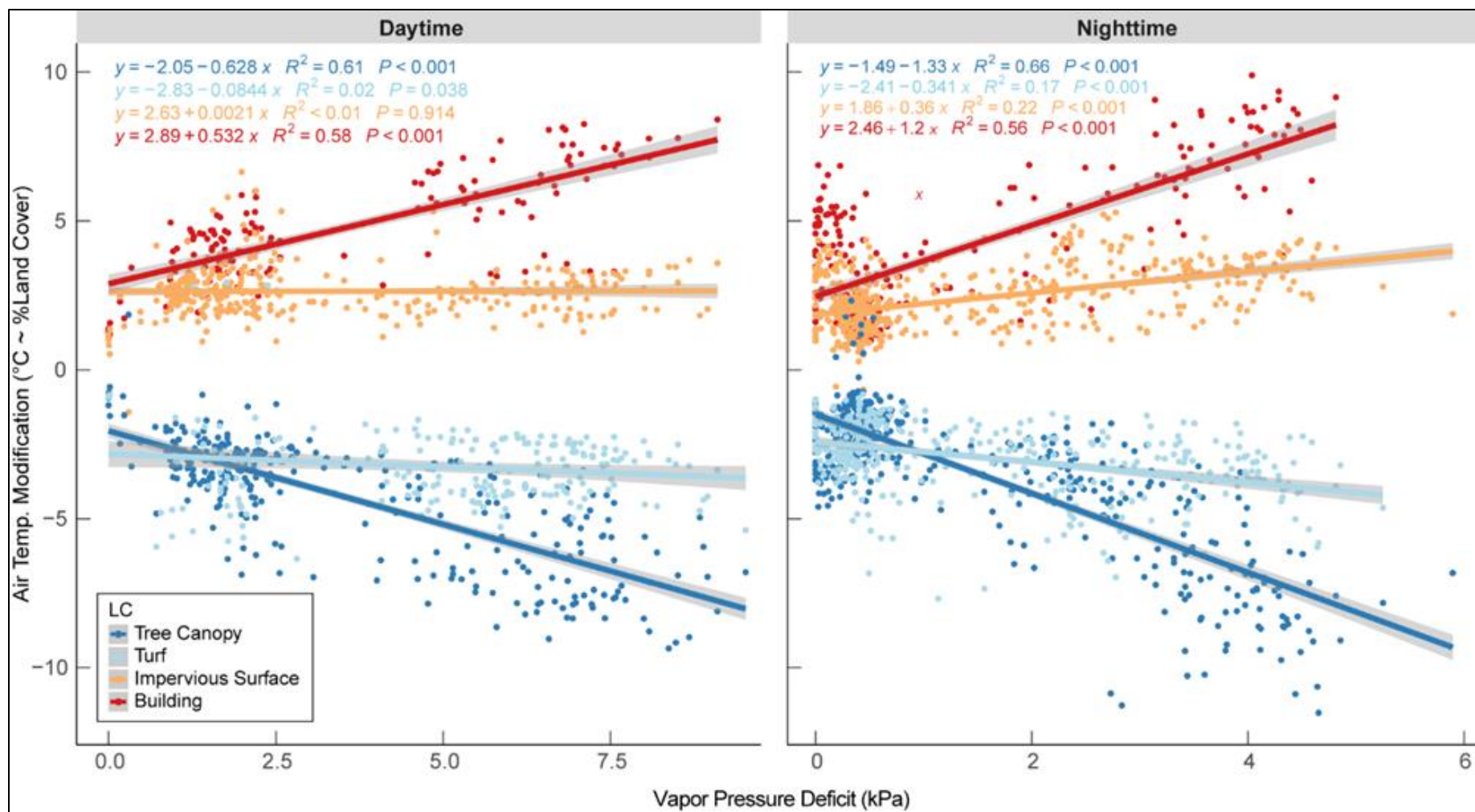


# USGS Climate Research and Development Program Urban Heat Study – Nationwide Scale

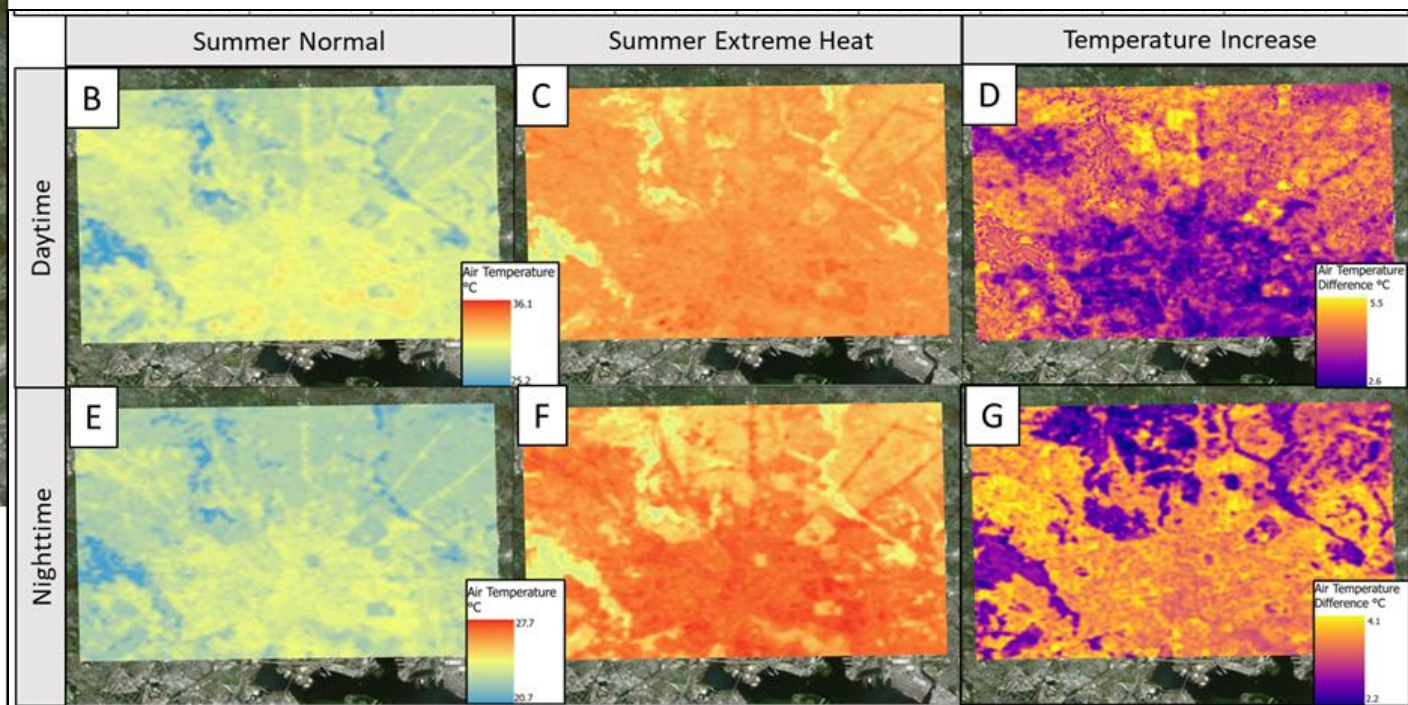
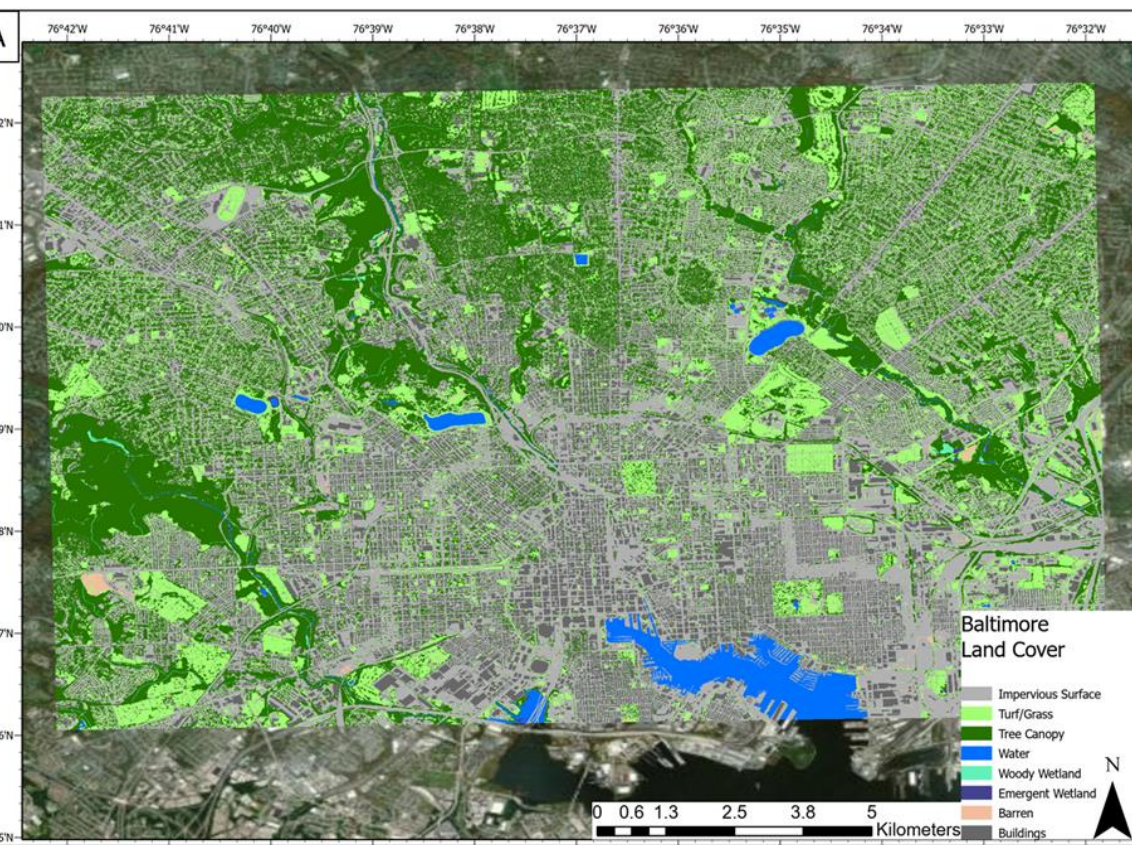




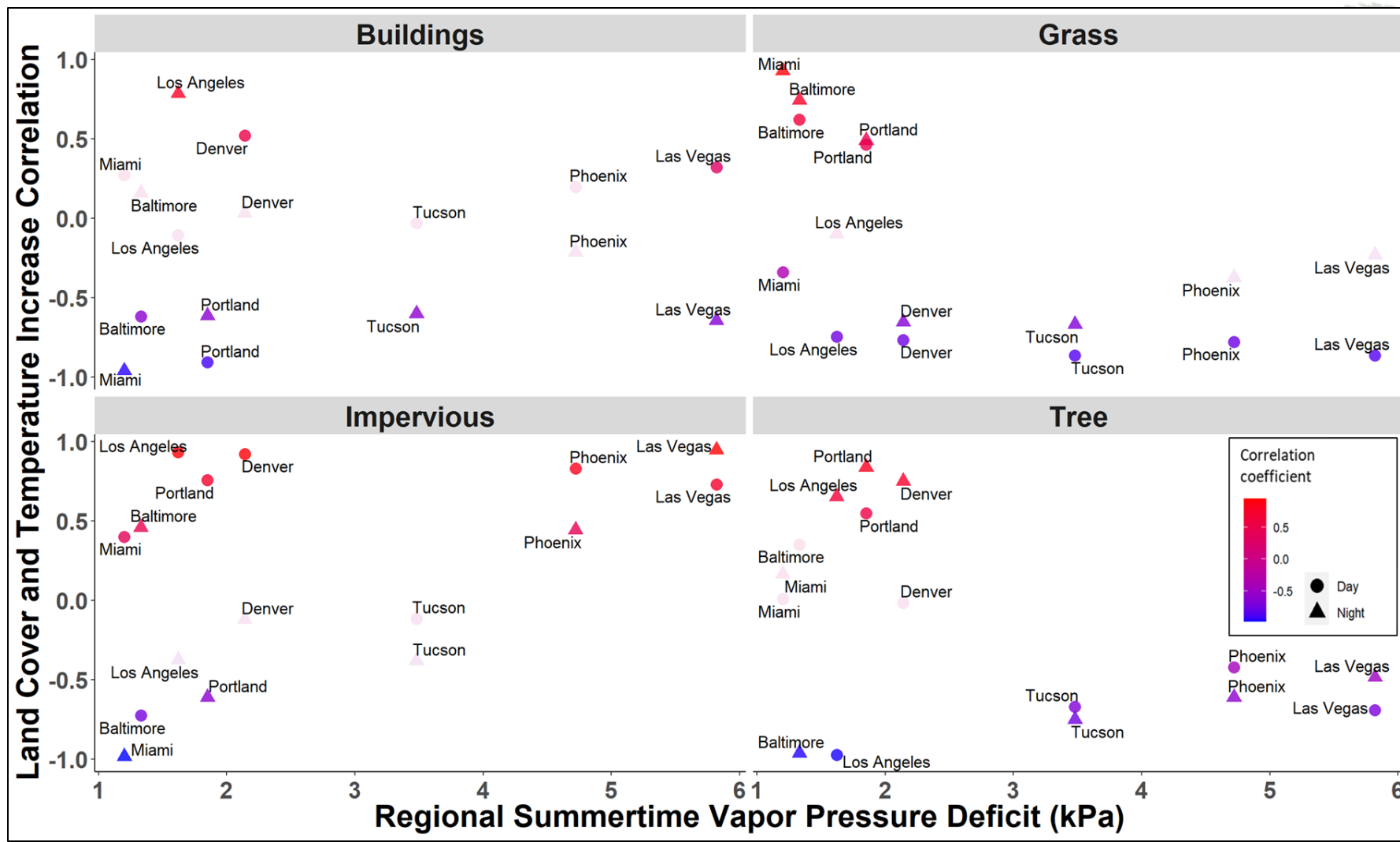
# USGS Climate Research and Development Program Urban Heat Study – Nationwide Scale



# USGS Climate Research and Development Program Urban Heat Study – Nationwide Scale



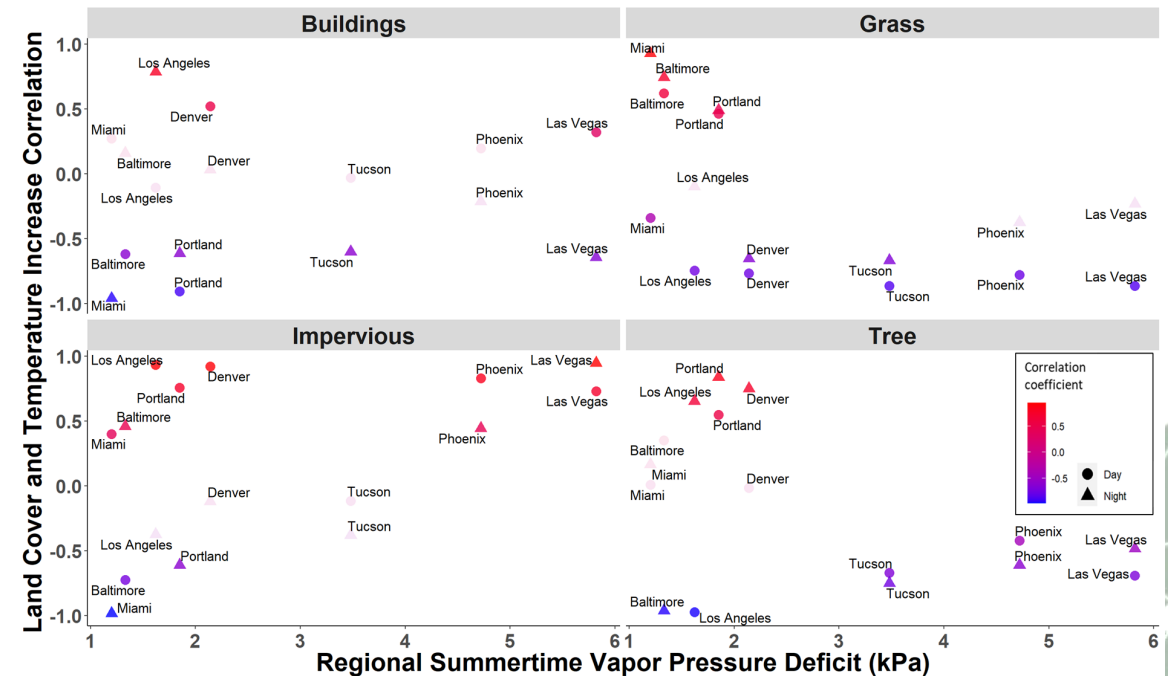
# USGS Climate Research and Development Program Urban Heat Study – Nationwide Scale



# USGS Climate Research and Development Program Urban Heat Study – City & Nationwide Scale

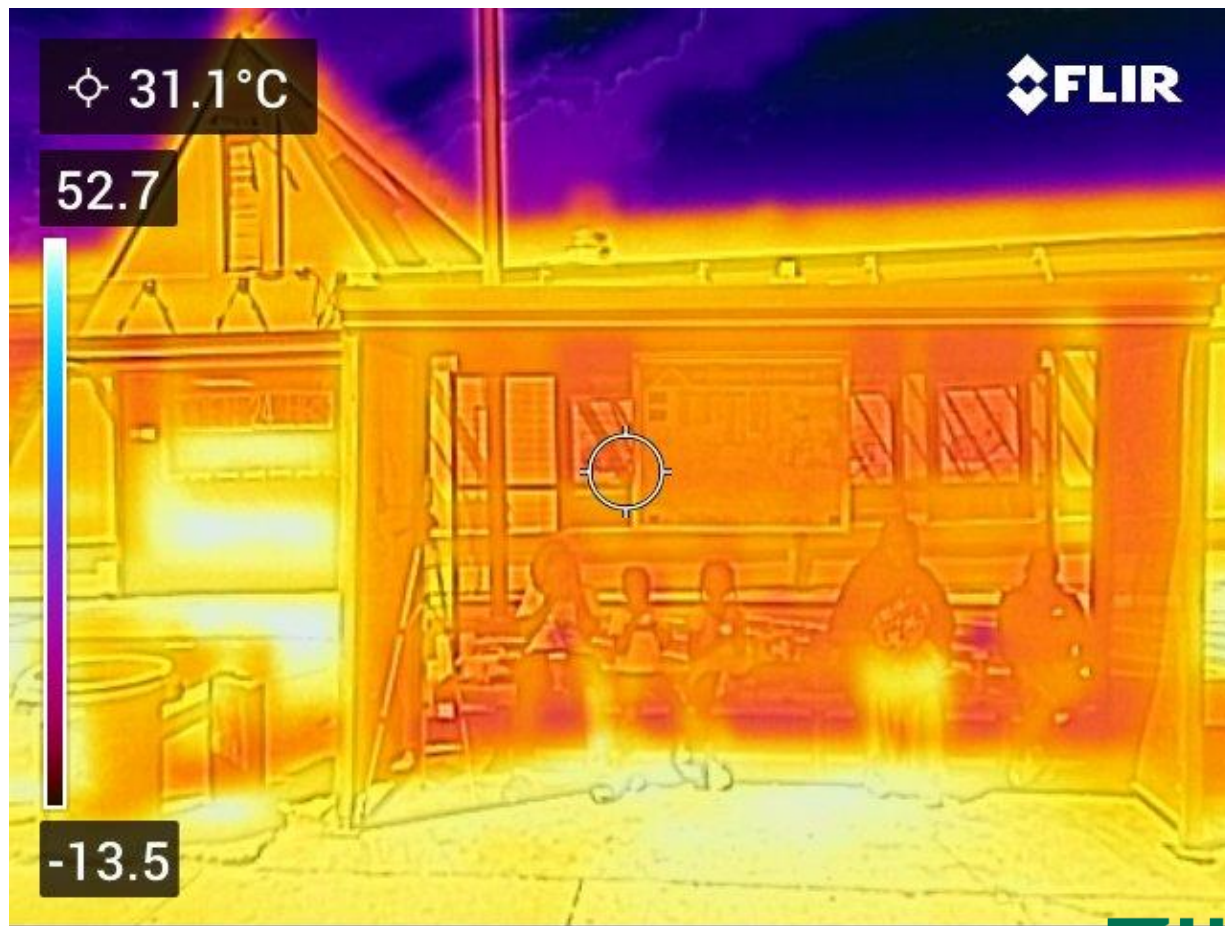
## Main Takeaways

- Vegetation-derived cooling ecosystem services have a significant interaction with regional climate
- Hotter/Drier cities experience greater vegetation-derived cooling benefits – Primarily driven by tree canopy
- Buildings' effect on urban warming also scales with regional heat/aridity
- During heatwaves, vegetation in arid cities consistently increases cooling potential, while land use in more humid cities responds variably to heat waves, which can inform city-specific heat mitigation planning



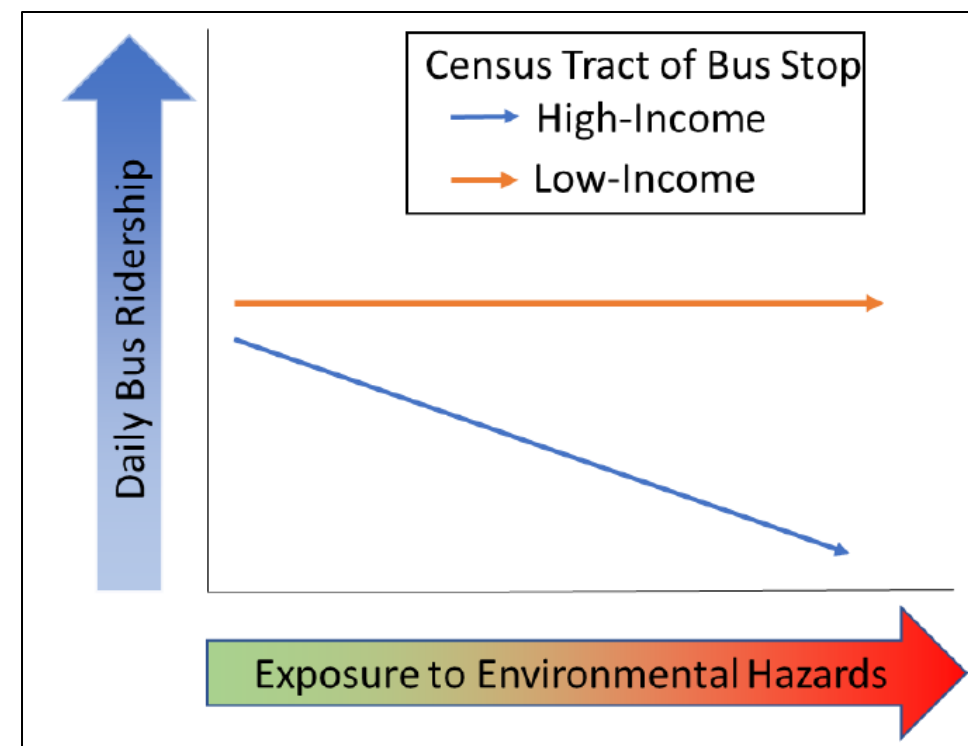
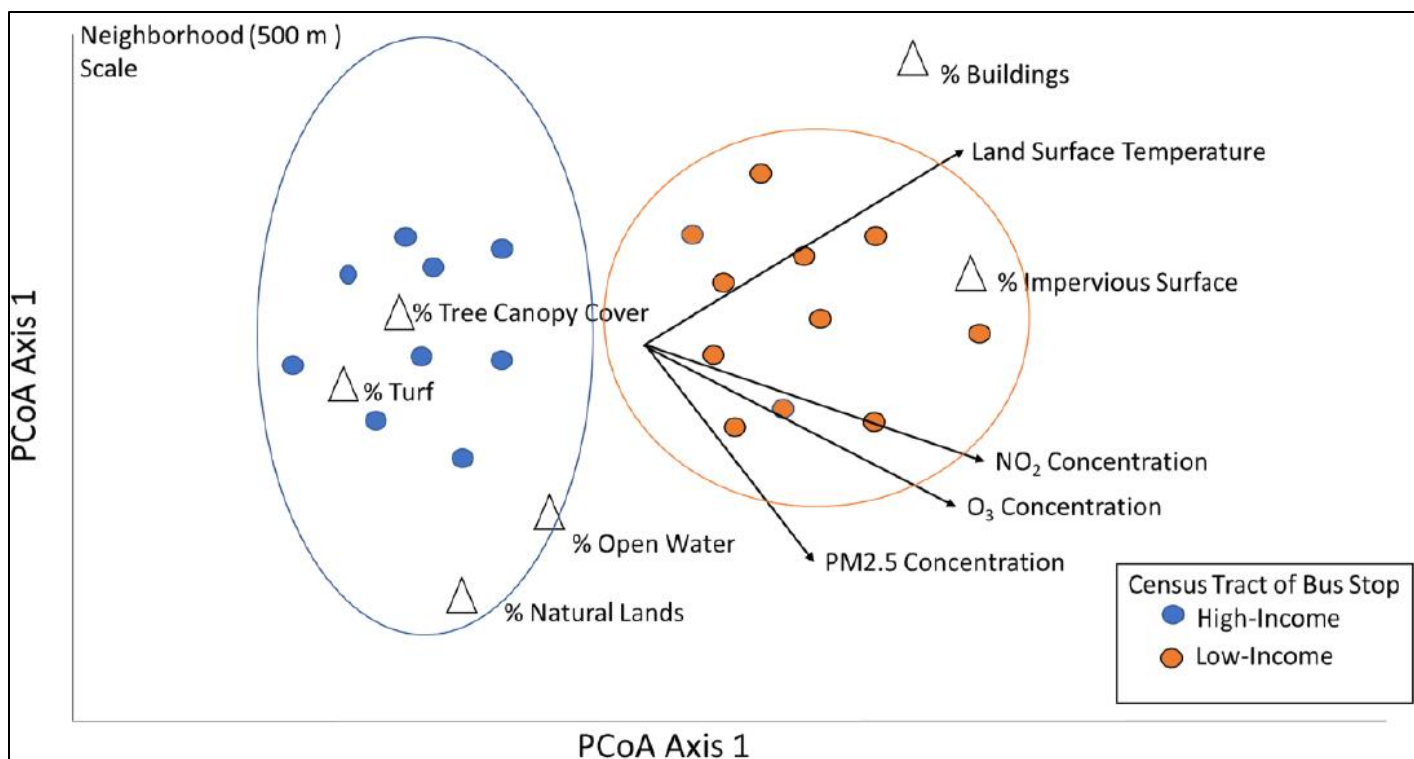


# USGS Climate Research and Development Program Urban Heat Study – Local Scale





# USGS Climate Research and Development Program Urban Heat Study – Local Scale

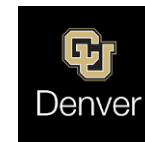
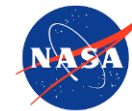




# Thank you

Peter Ibsen | United States Geological  
Survey  
Climate Research & Development  
Program

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Food and Agriculture  
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# **2nd** **World** **Forum on** **Urban** **Forests**

**2023**



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# 2nd World Forum on Urban Forests

Washington DC, 2023

Greener & Cooler.  
Earth observation and AI to check the  
performance of Urban Forest in  
contrasting heat islands

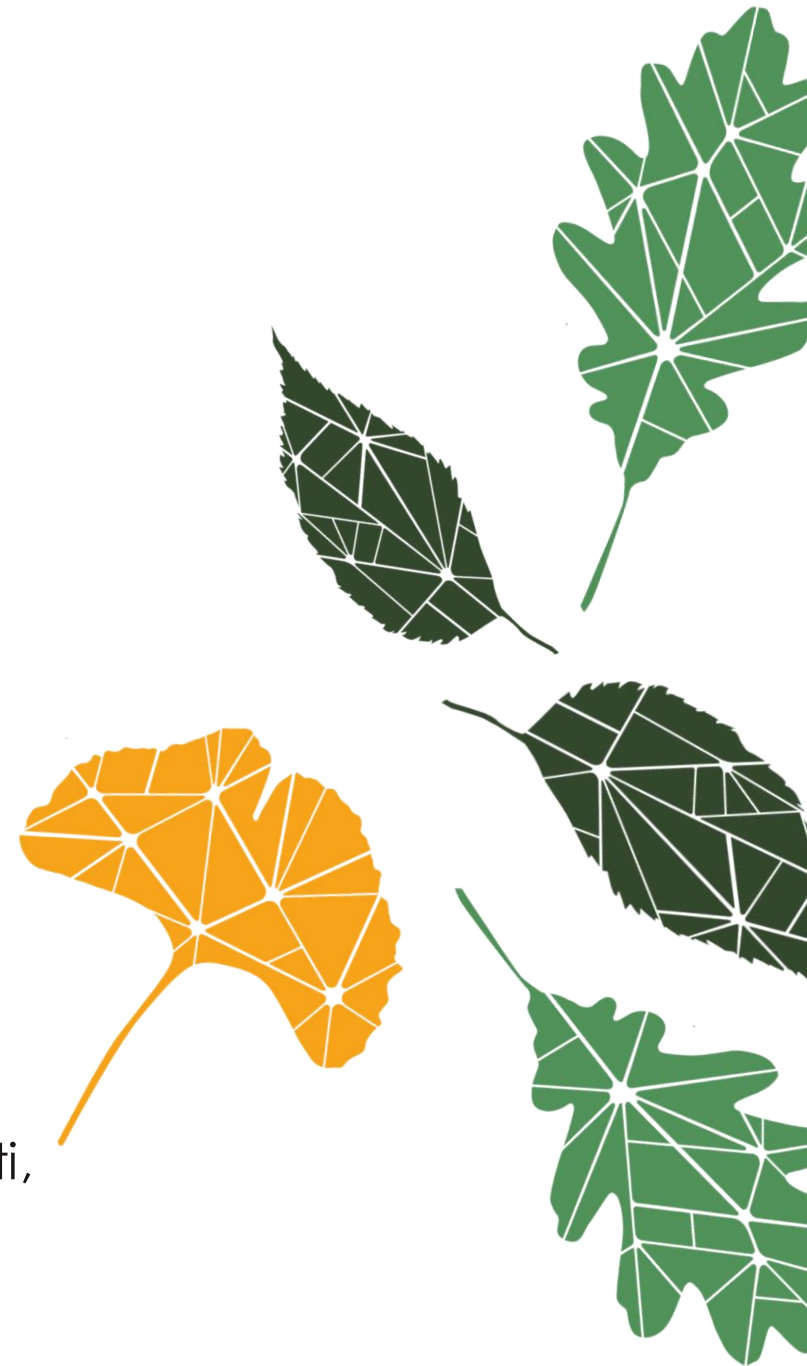


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Presented by

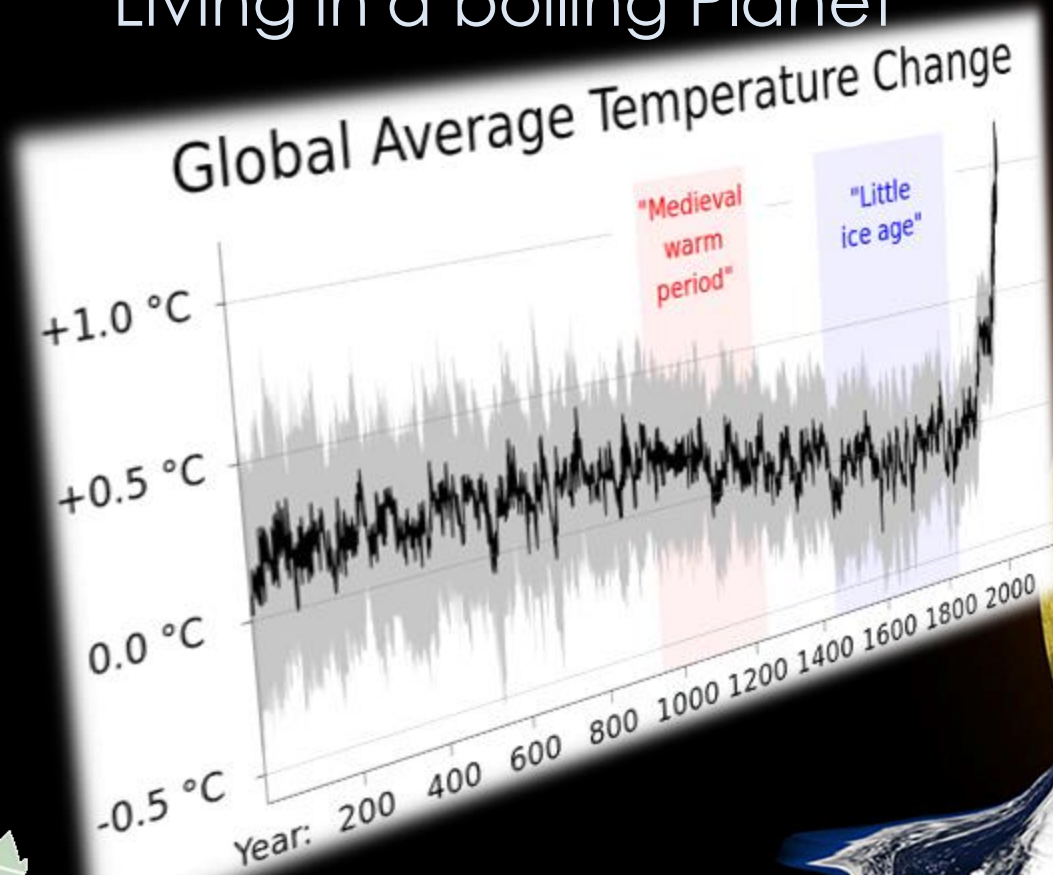
Fabio Salbitano, Mondanelli, L., Francini, S.,  
Cocozza, C., Chirici, G., Clementini, C., Marchetti,  
M., Manaresi, M., Speak, A.F.

October 18, 2023



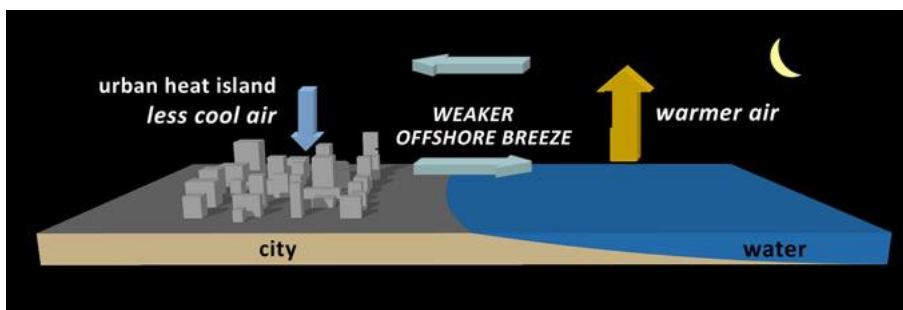
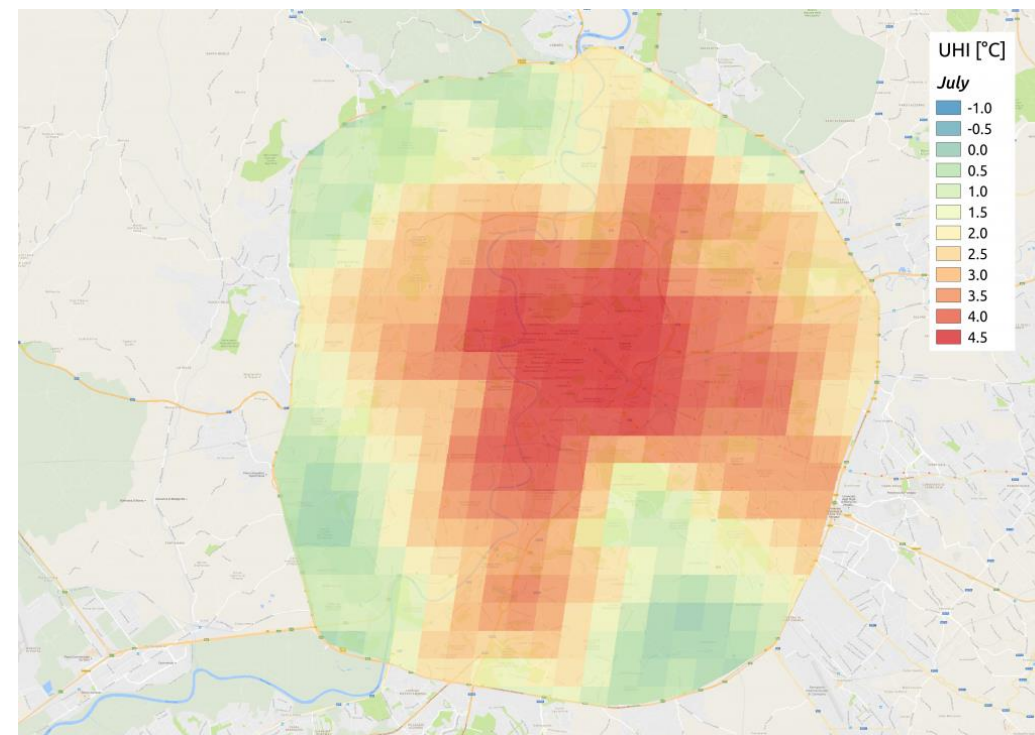


## Living in a boiling Planet



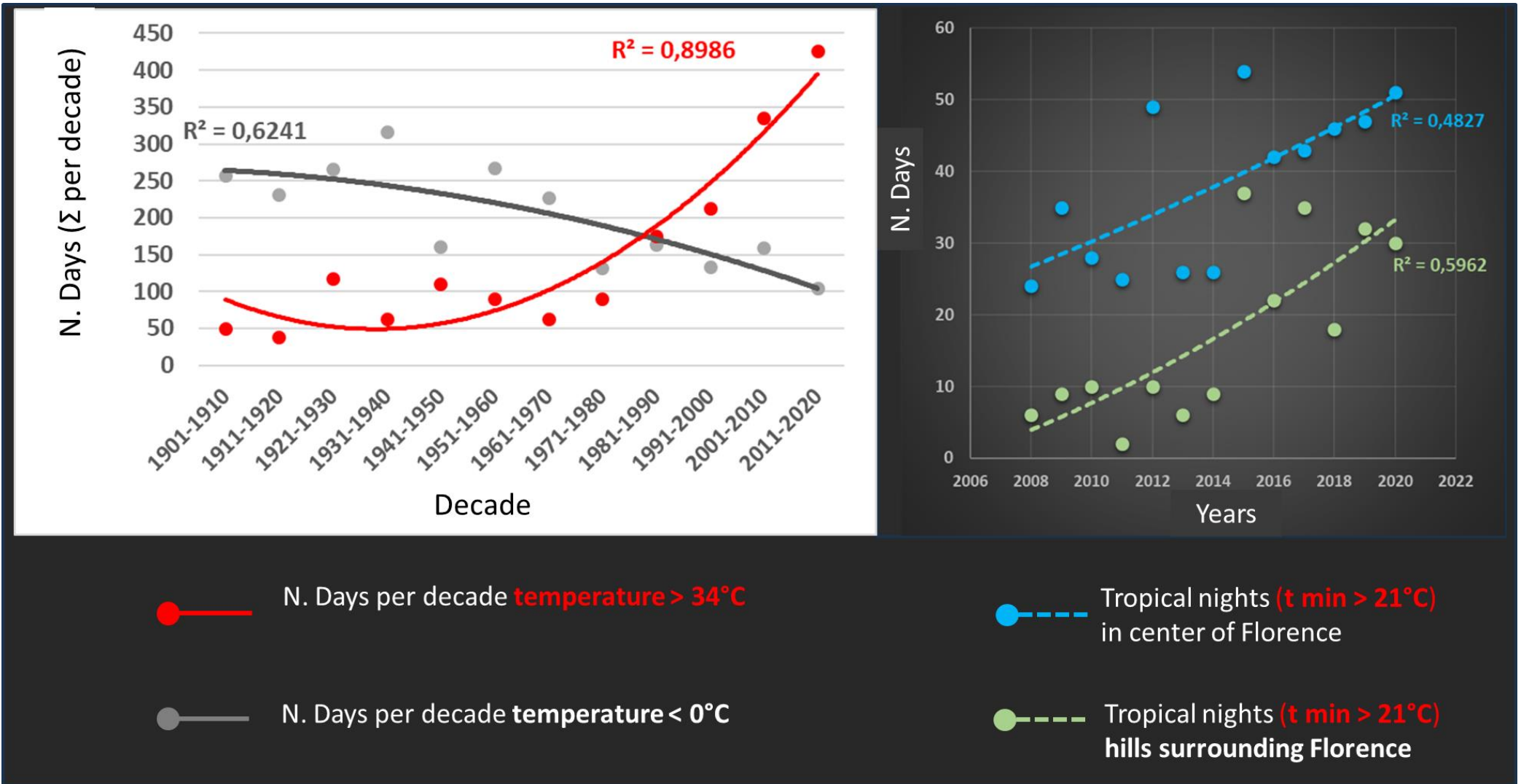


# The Urban Heat Island





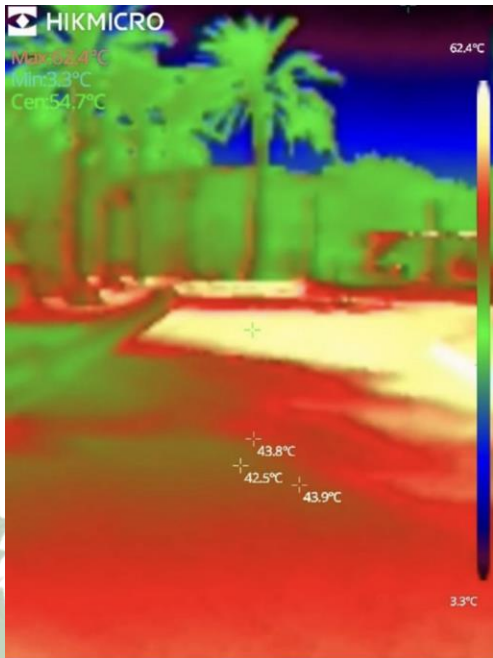
## Climate change & Temperature





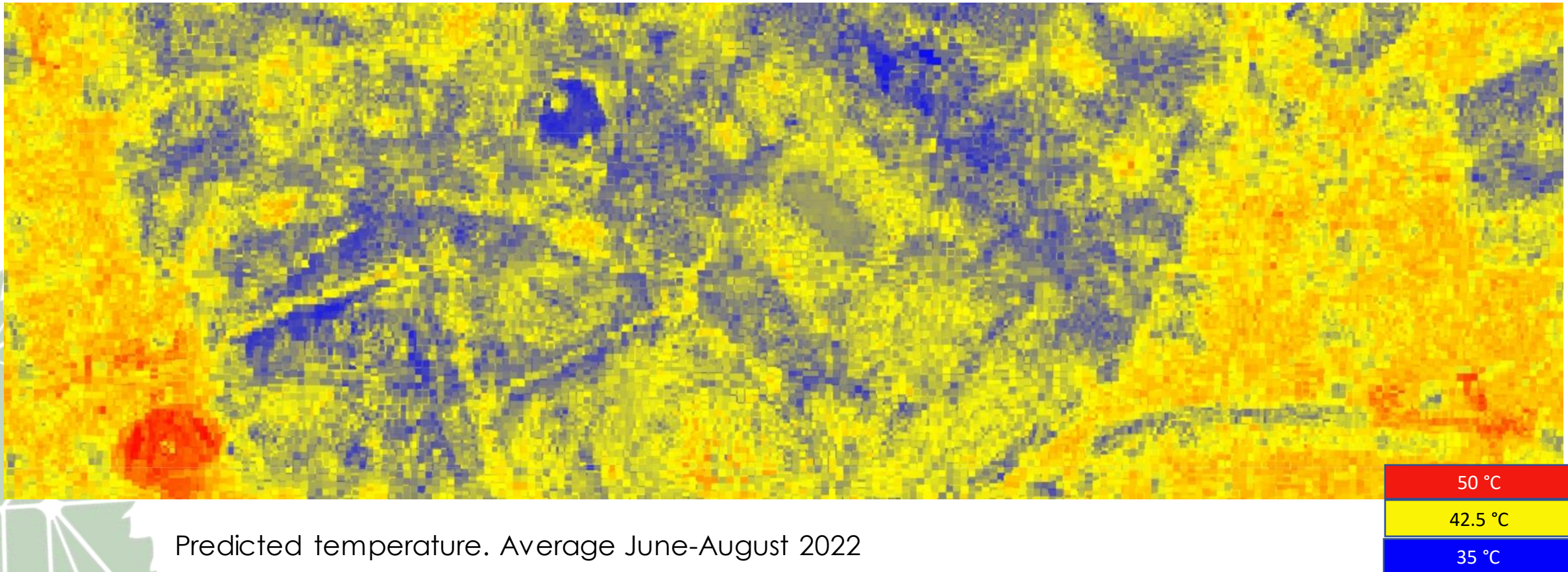
## Air temperature and urban heat islands

Quantified by in situ measurements: a homogeneously distributed network of sensors and can be time-consuming and expensive.





Remote sensing data is known to be a relevant source of information for large-scale monitoring of Land Surface Temperature (LST)



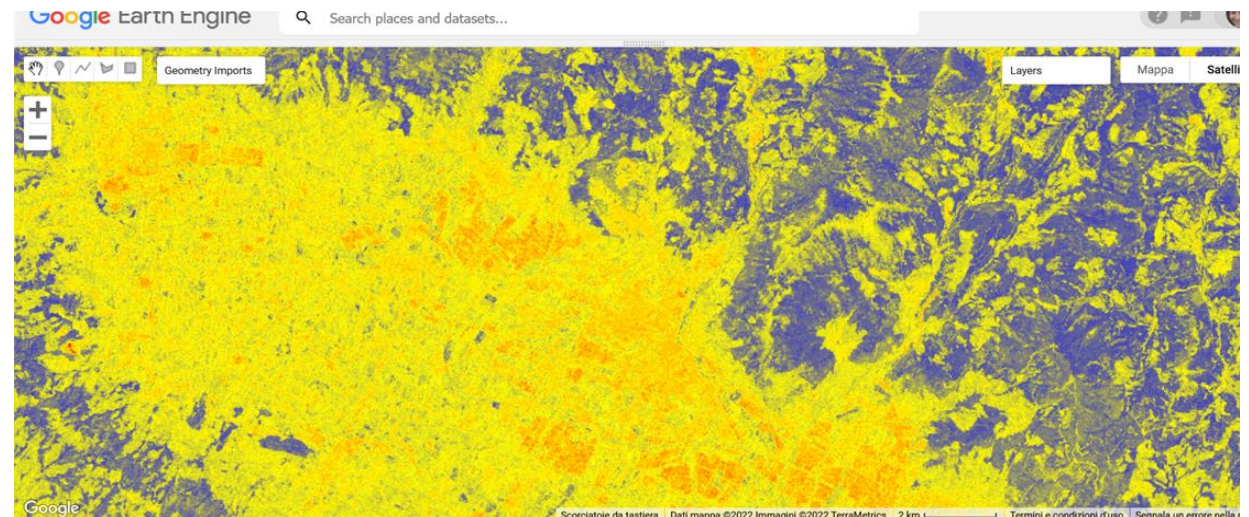
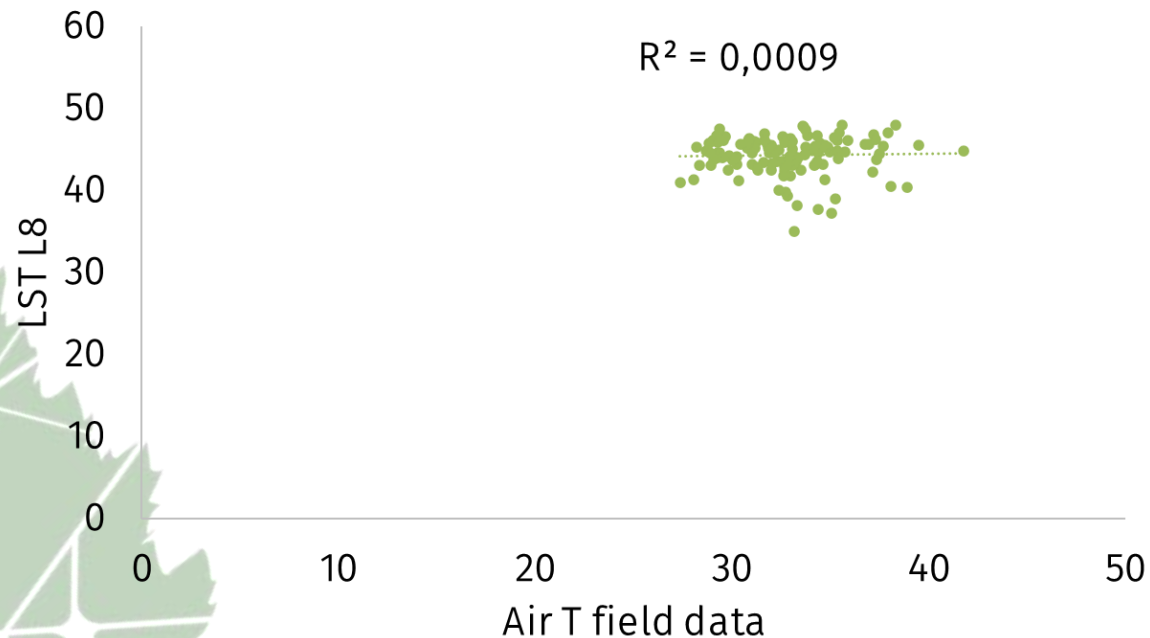


Assessing LST in the urban context is essential for understanding the capability of urban forests and trees in mitigating climate and avoiding urban heat islands, and so improving human thermal comfort

- ✈ Landsat provides LST data at 30 meters, but LST urban monitoring requires data at a finer resolution.
- ✈ Urban forests are often characterized by very small patches that are challenging to analyze using 30-meter resolution data.
- ✈ Little knowledge was developed in up-scaling the LST products by using Sentinel-2 data.
- ✈ Combining MODIS and Landsat LST data, studies combining MODIS LST and Sentinel-2 data, and studies combining Sentinel-3 LST and Sentinel-2 data.
- ✈ Almost any study focuses on upscaling Landsat LST data by using Sentinel-2 data.



What is the level of accuracy and admissibility of satellite remote sensing applications to understand the multiscalarity of thermal comfort in urban environments?





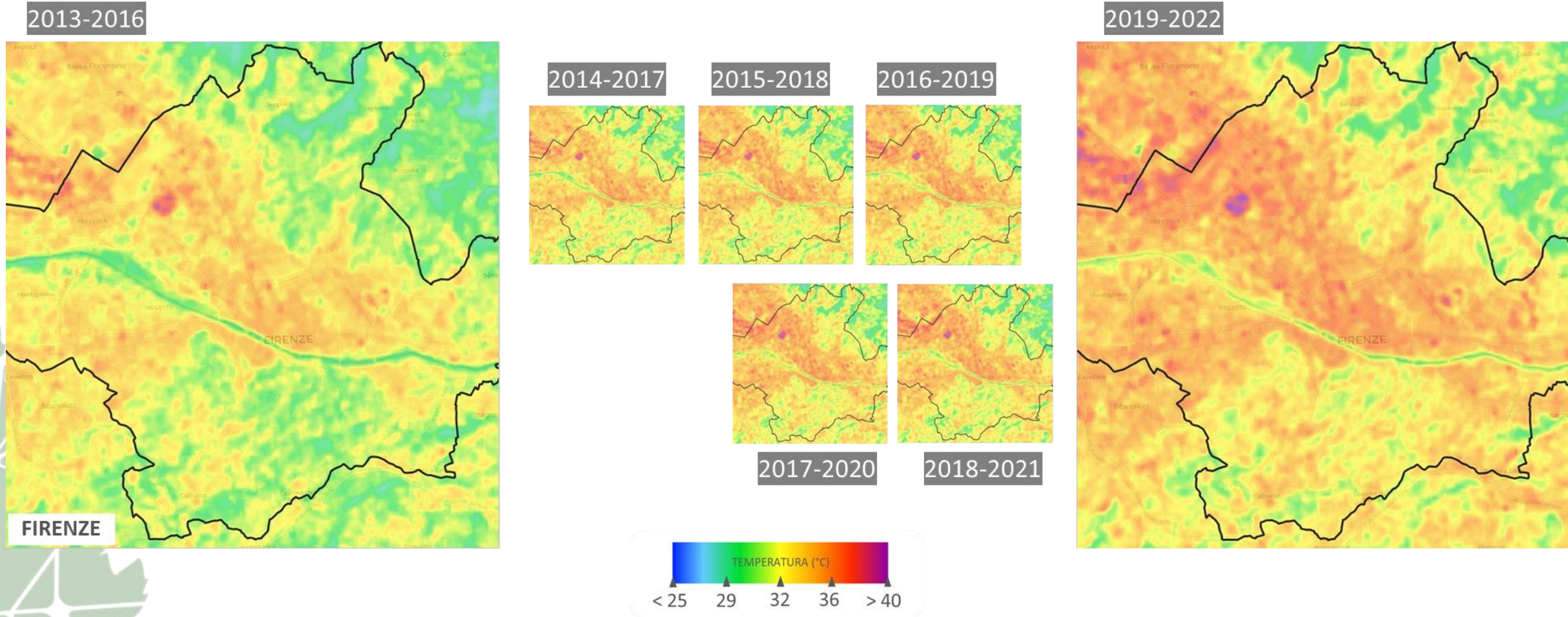
A dataset of air temperatures (Wet and Dry Bulb Temperature, and Globe Thermometer temperature) measured on-field during the summer of 2020

A model to predict LST as acquired by the Landsat sensor (30-resolution) using random forests and the four Sentinel-2 bands at 10-meters resolution, blue, green, red, and nir.





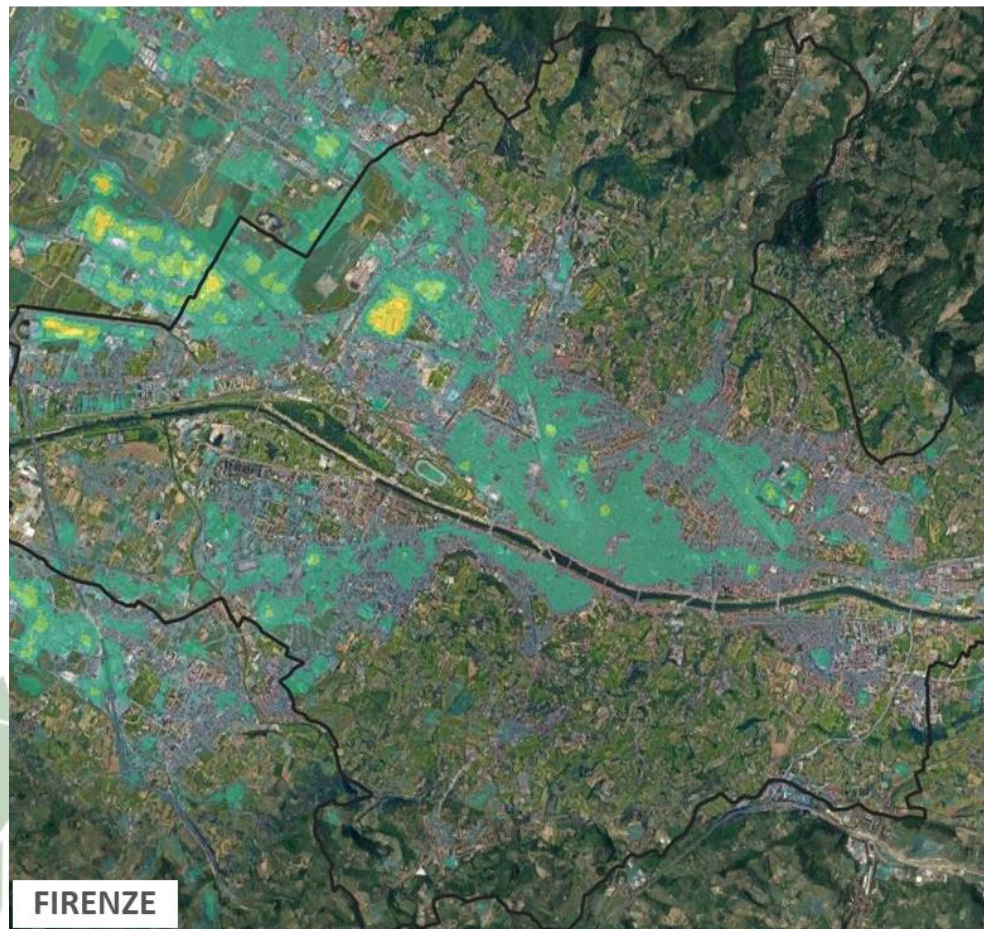
## 4 yrs. series of Temperature using combined Sentinel 2 data



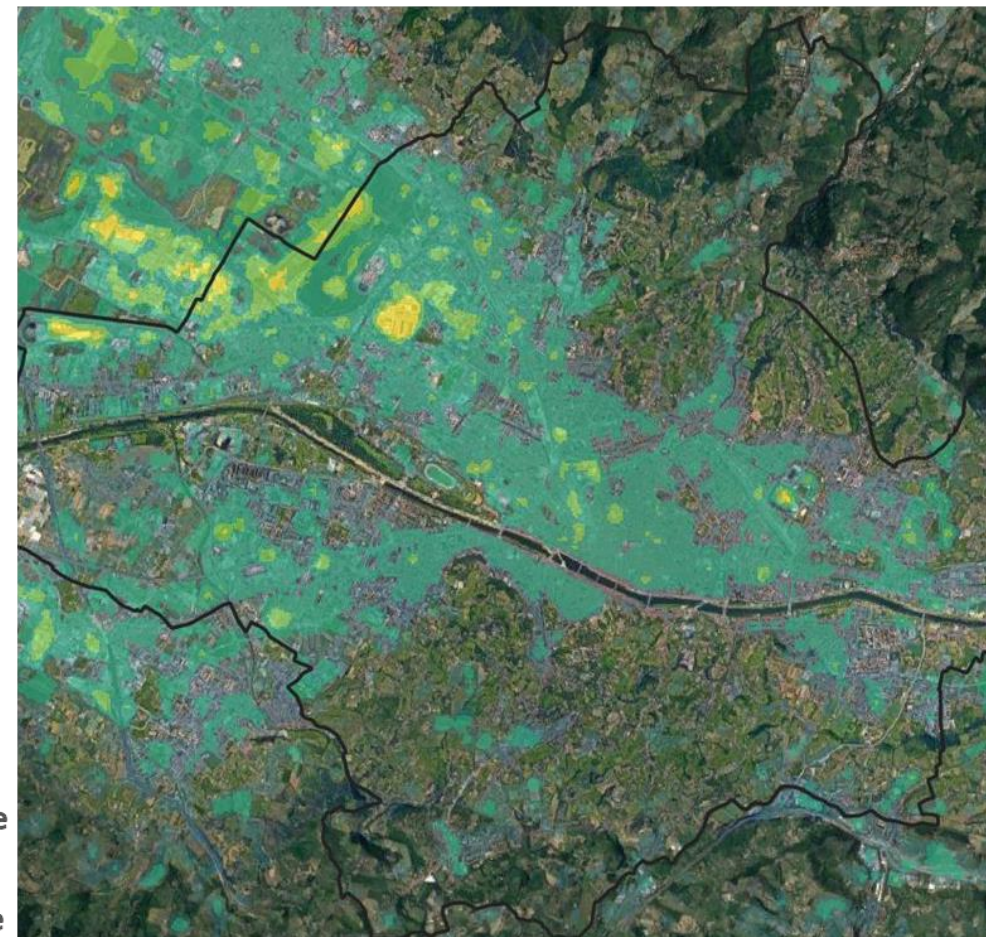


## Surface urban heat island

2013-2016



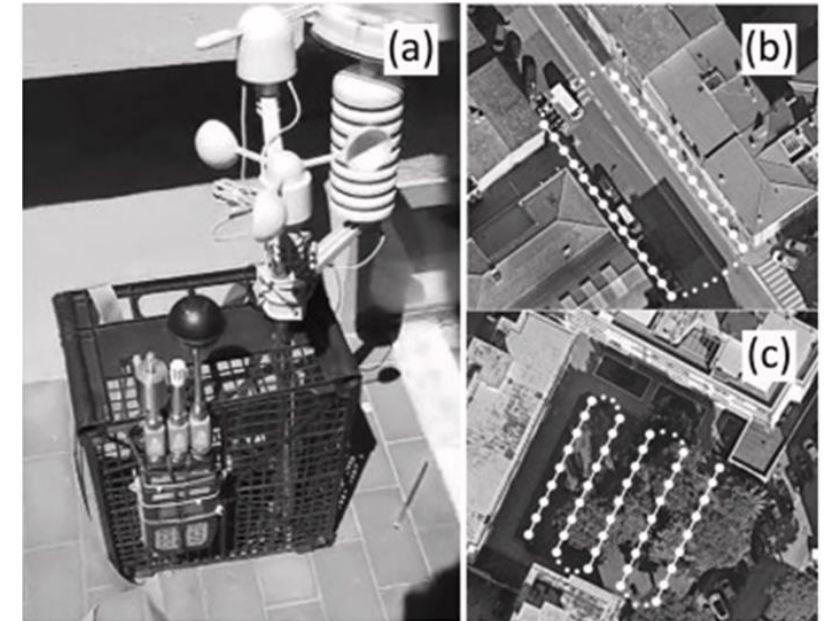
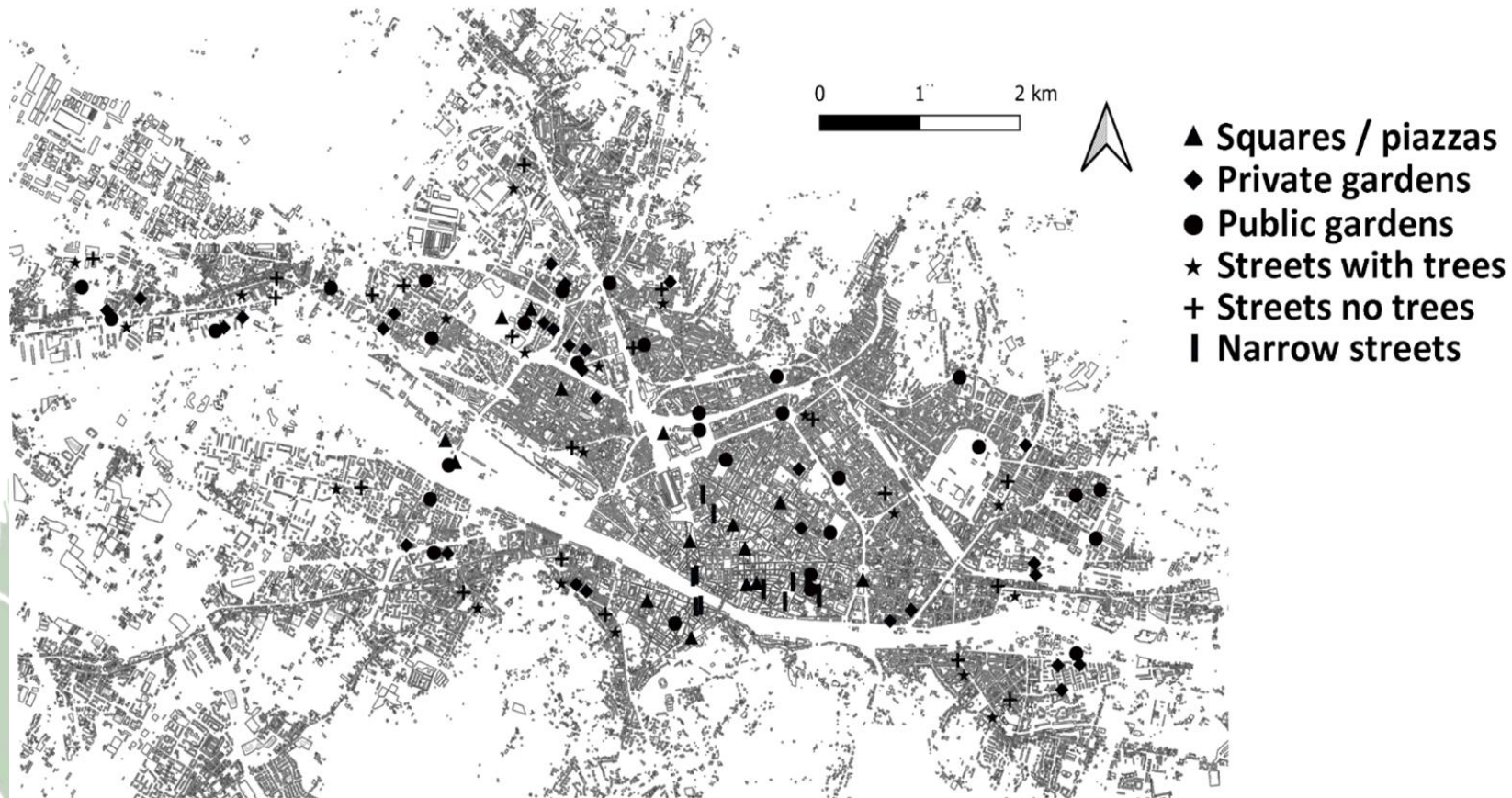
2019-2022



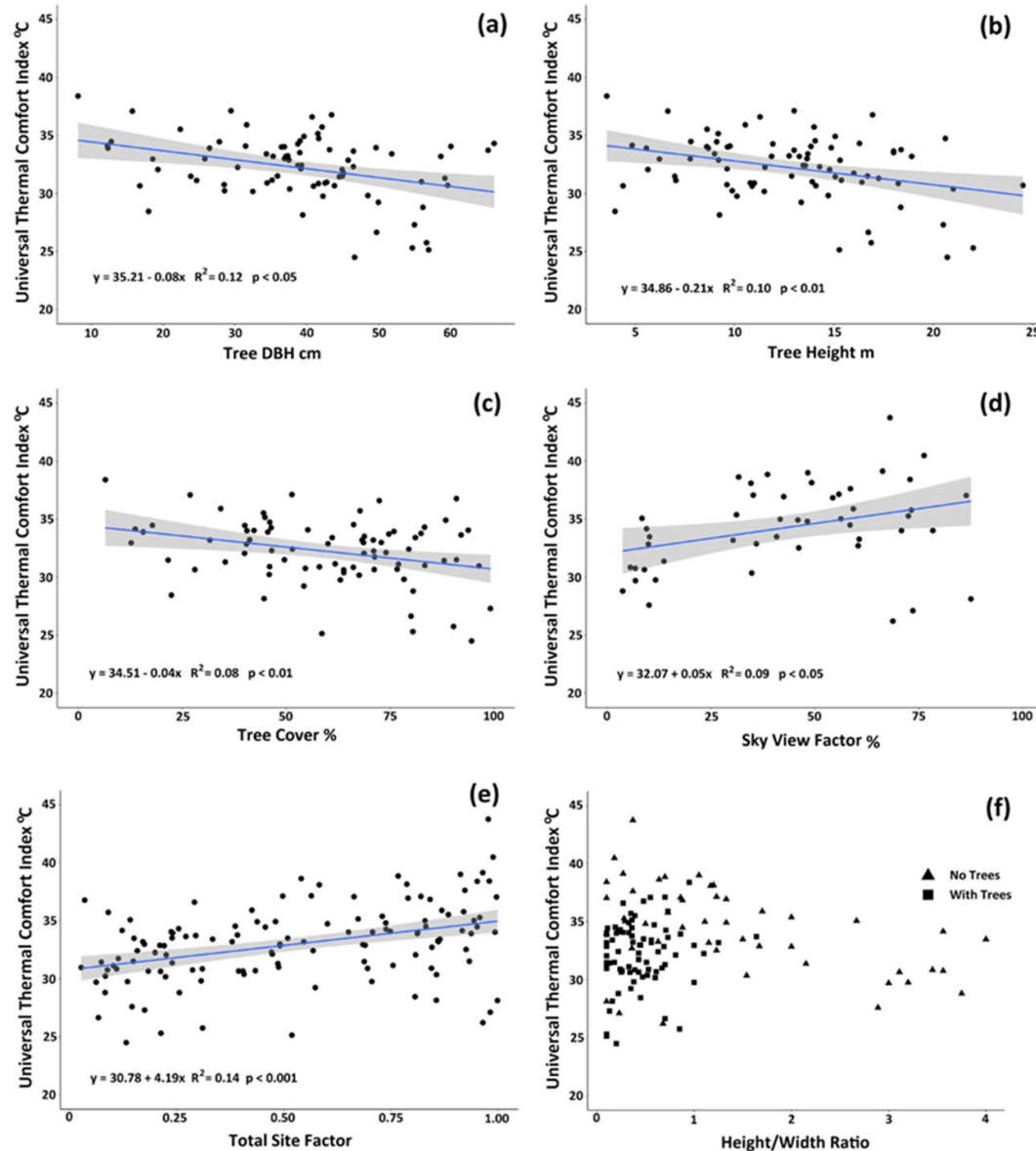
Low  
Middle  
High  
Severe



# The micrometeorological and thermal comfort mobile study in Florence



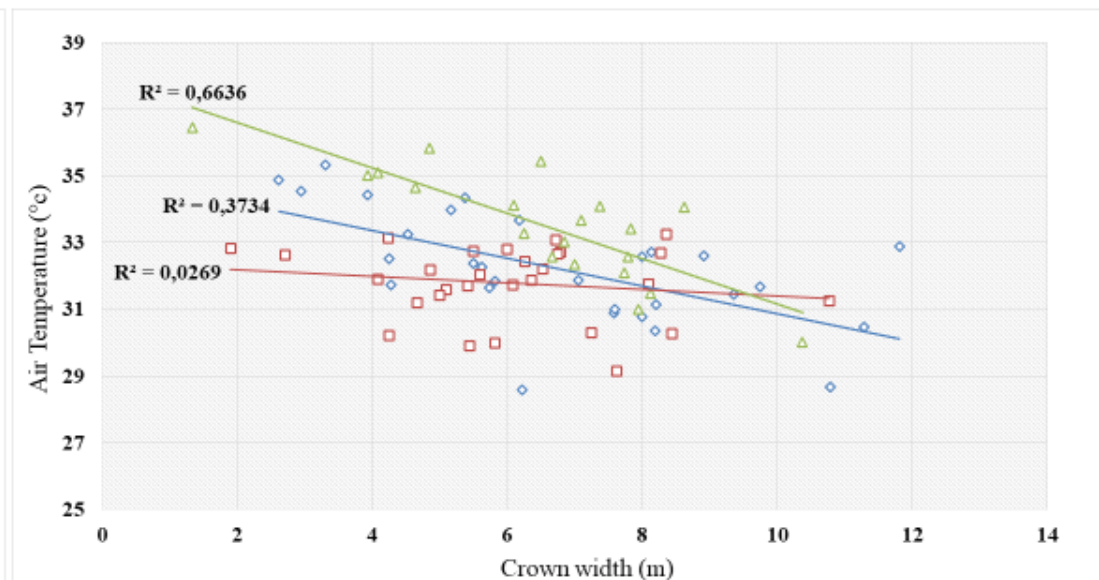
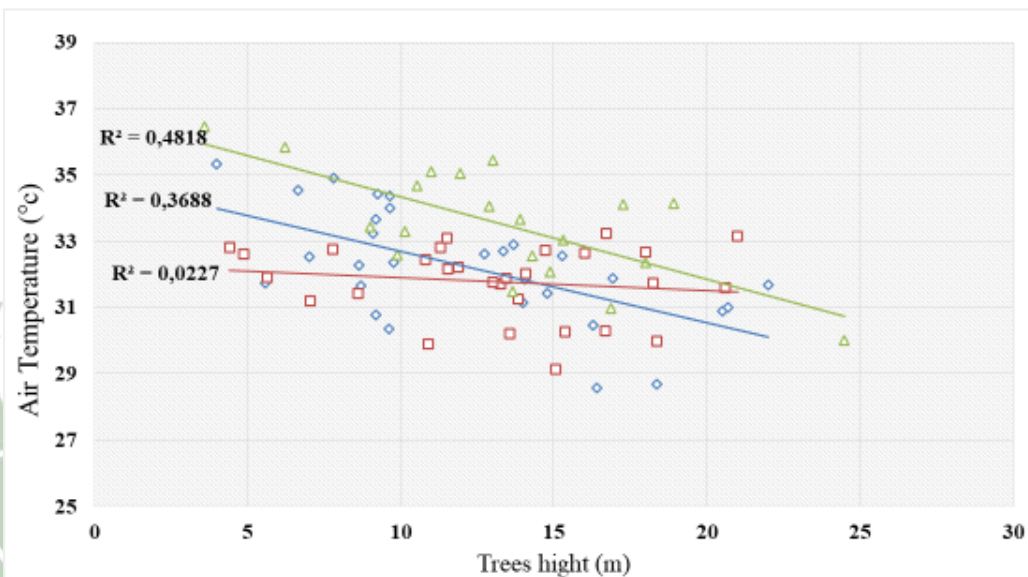
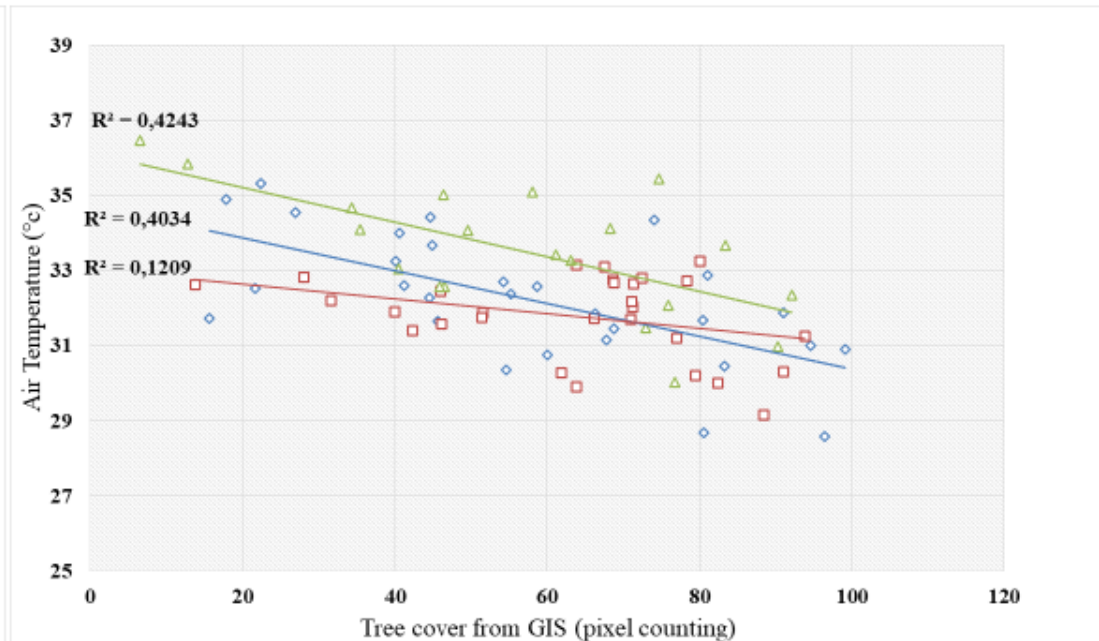
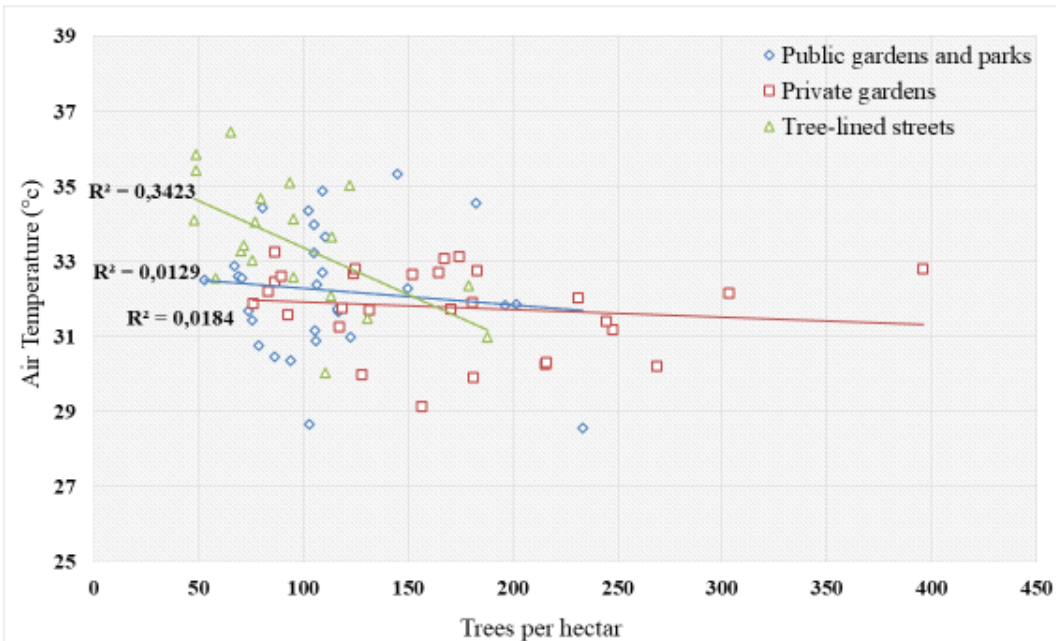
a) Mobile meteorological monitoring station for measuring wet bulb, dry bulb and globe temperature alongside humidity and wind speed, and schematic diagrams of the walking paths taken in b) streets and c) gardens, parks and piazzas. Speak, A. F., & Salbitano, F. (2022). Summer thermal comfort of pedestrians in diverse urban settings: A mobile study. *Building and Environment*, 208, 108600.

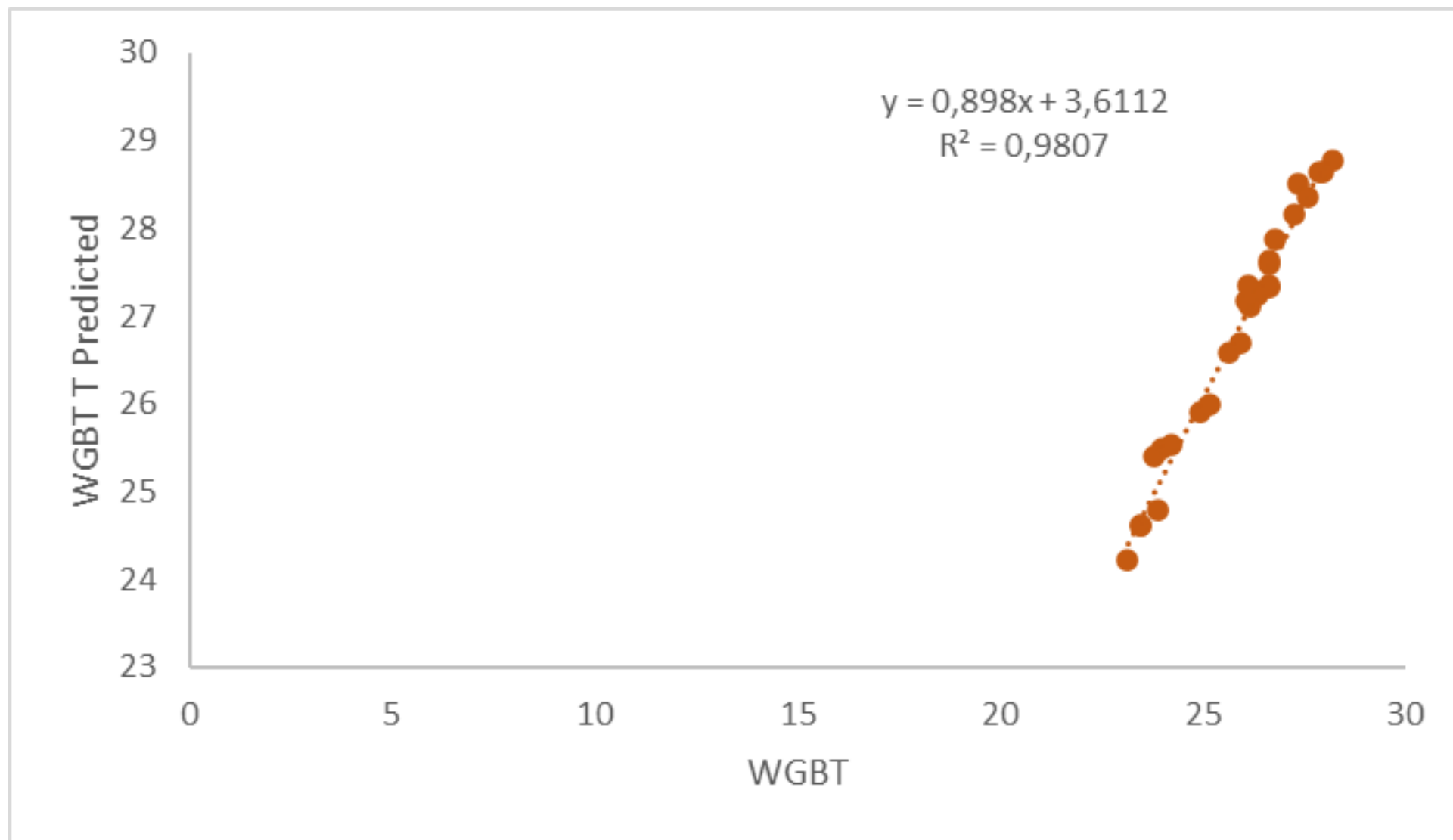


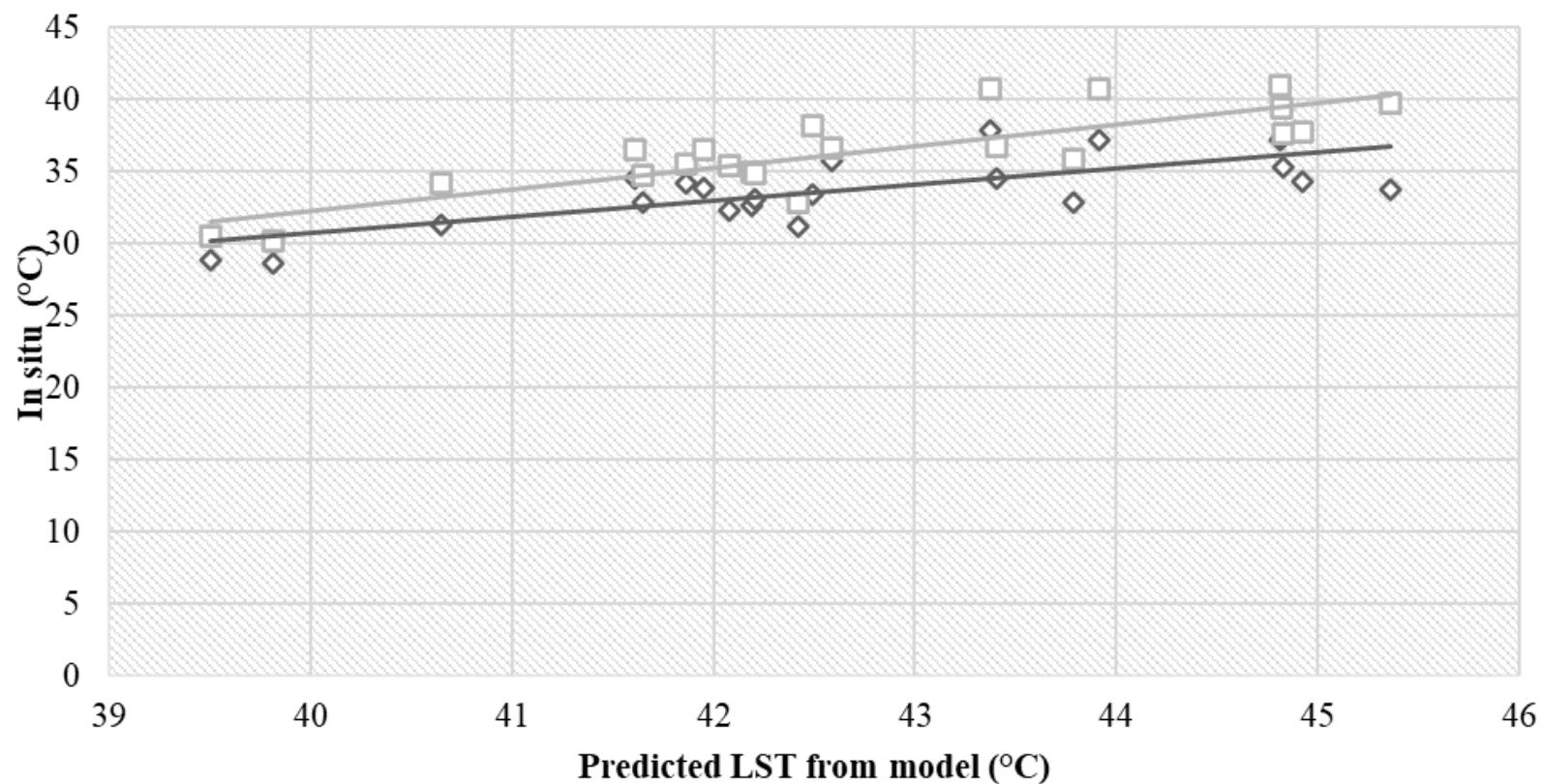
Variation of the thermal index UTCi by

- a. average tree diameter,
- b. average tree height,
- c. tree canopy cover,
- d. sky view factor,
- e. total site factor, and
- f. Height/width ratio.

a) to c) represent data from green sites only, d) from non-green sites only and e) to f) using all data.







◇ Air Temperature °C

□ Globe temperature °C

$$y = 1,1195x - 14,047$$
$$R^2 = 0,5479$$

$$y = 1,5037x - 27,852$$
$$R^2 = 0,6861$$



## Some conclusion

- 😊 There is a greater correlation between LST\_S2 and Globe T compared to LST\_S2 and Air T
- 😊 There is correlation only when considering ground surveys and remote sensing images referring to the same day, otherwise very weak  $R^2$ .
- 😞 There is no correlation between remote sensing data and the average of ground data in the nearest two days.
- 😞 The alleys of the center: micro-canyon effect difficult to define from satellite
- 😊 High correlation between LST\_S2 and Sky view factor: looks promising to interpret the tree cover effect
- 😐 Correlations between LST\_S2 and other vegetation parameters need to be explored



# Thank you!

Fabio Salbitano

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Food and Agriculture  
Organization of the  
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# **2nd** **World** **Forum on** **Urban** **Forests**

**2023**



**World Forum on  
Urban Forests**



## **2nd World Forum on Urban Forests**

Washington DC, 2023

# Beyond Canopy Coverage : The impact of Shrubs and Evaporative Cooling on Human Thermal Comfort in Urban Forests

Nayanesh Pattnaik, Mohammad A. Rahman, Stephan  
Pauleit

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Presented by

Nayanesh Pattnaik

Chair for Strategic Landscape Planning and Management

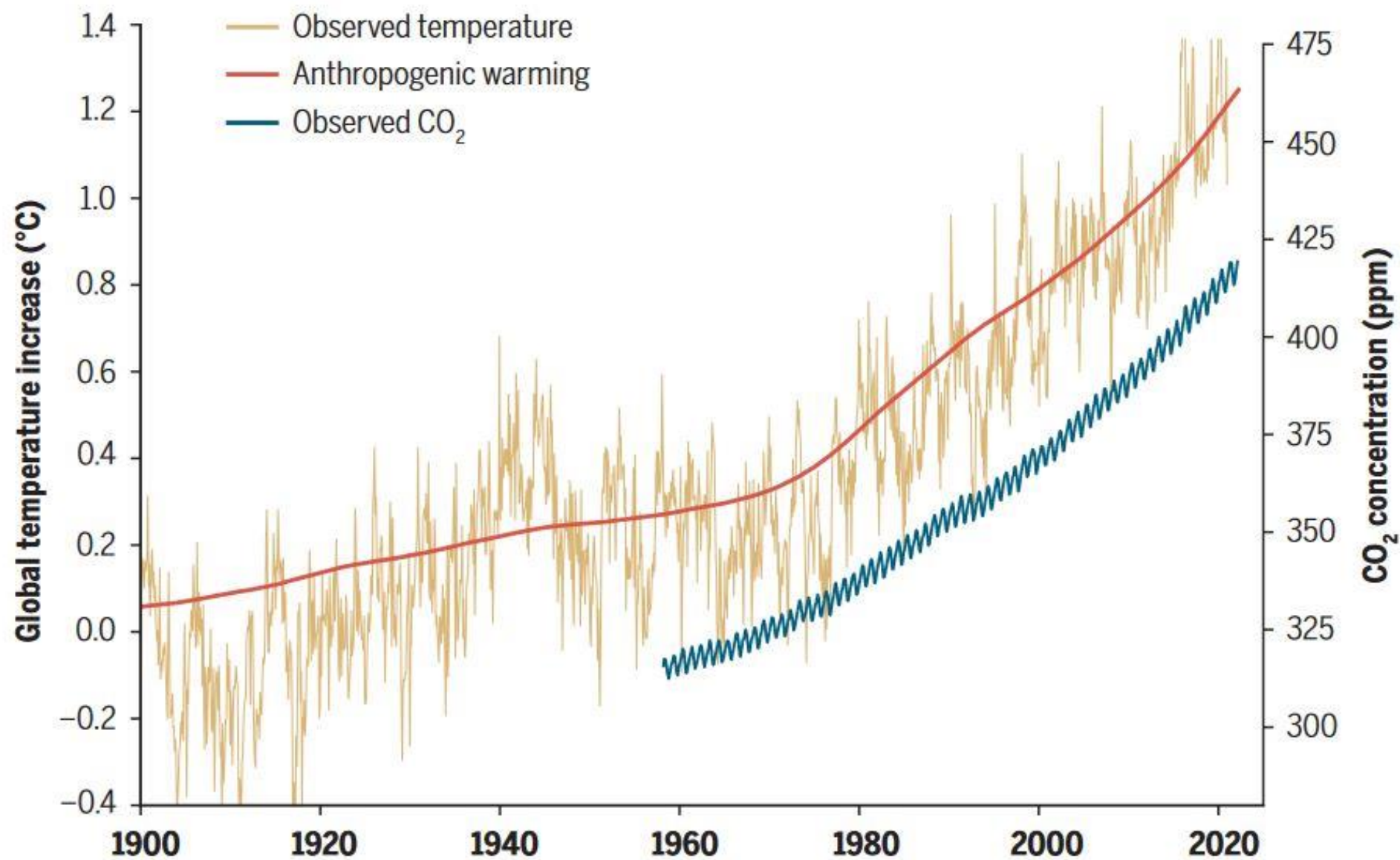
Technical University of Munich





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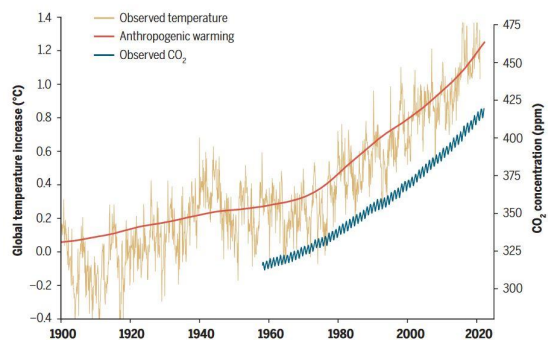
Washington DC, 2023





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8 billion

6 billion

4 billion

2 billion

0

1900

1920

1940

1960

1980

2000

2020

2040

2050

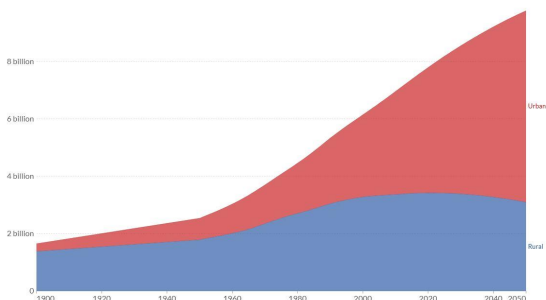
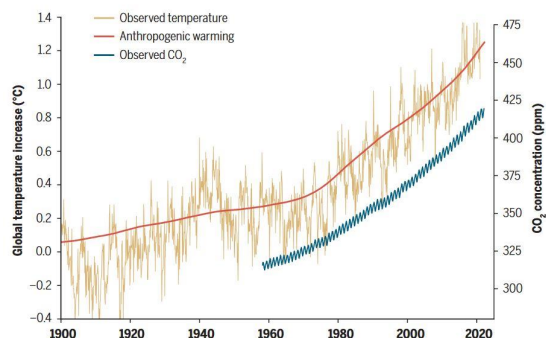
Urban

Rural

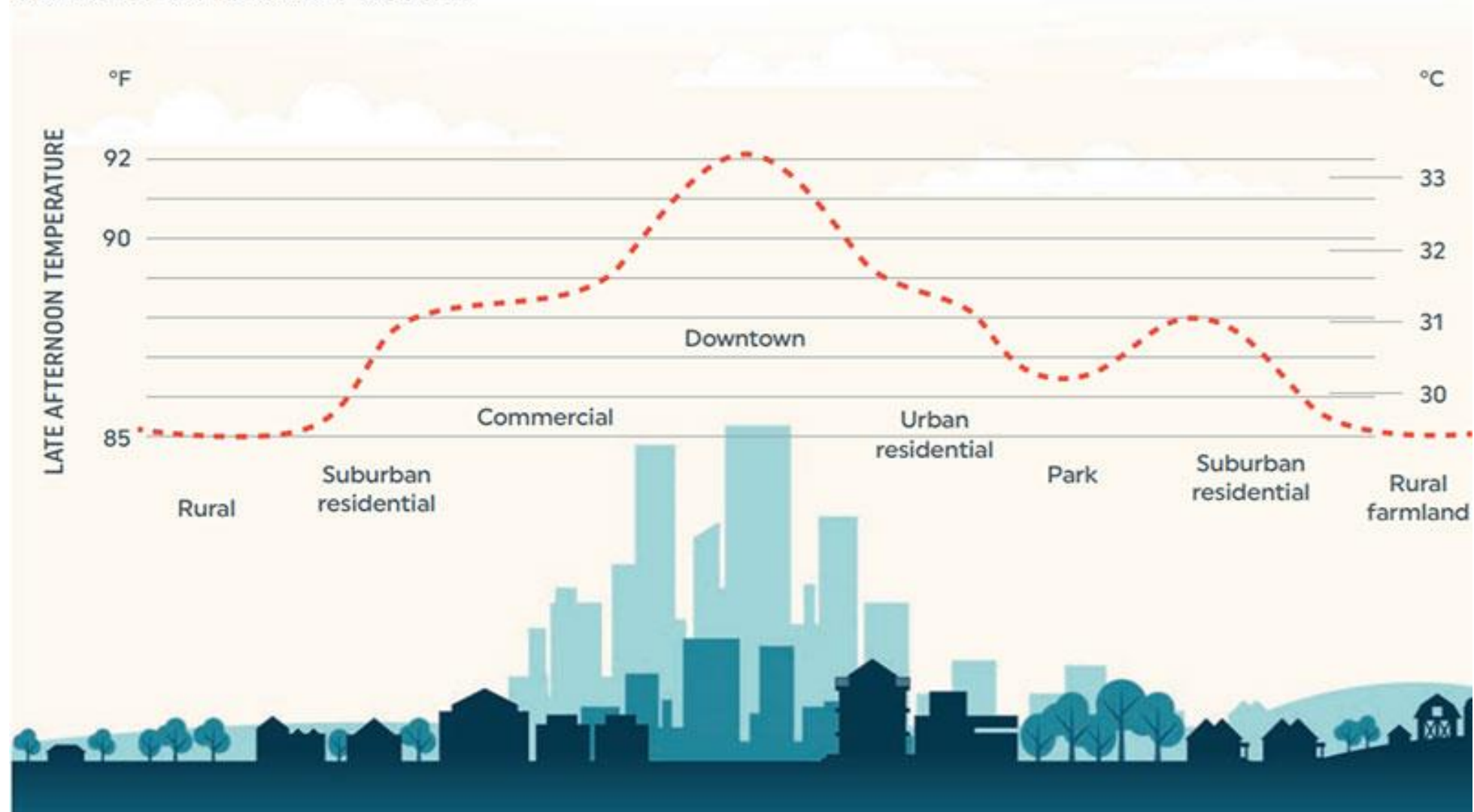


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## URBAN HEAT ISLAND PROFILE





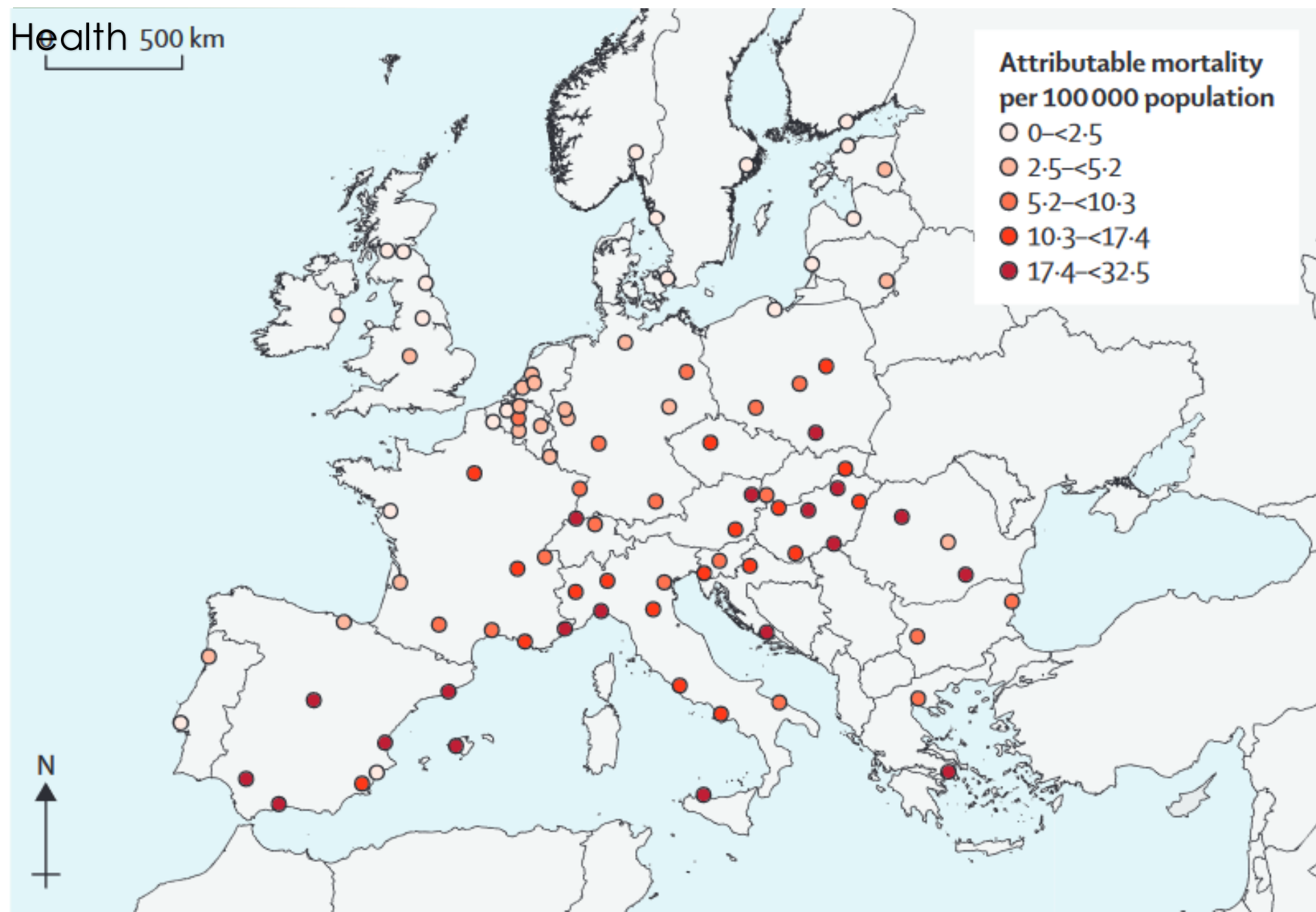
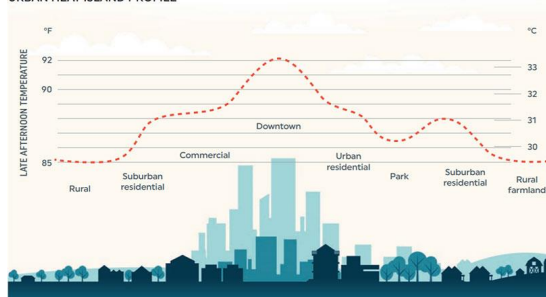
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## Urban Heat and Health

500 km

URBAN HEAT ISLAND PROFILE

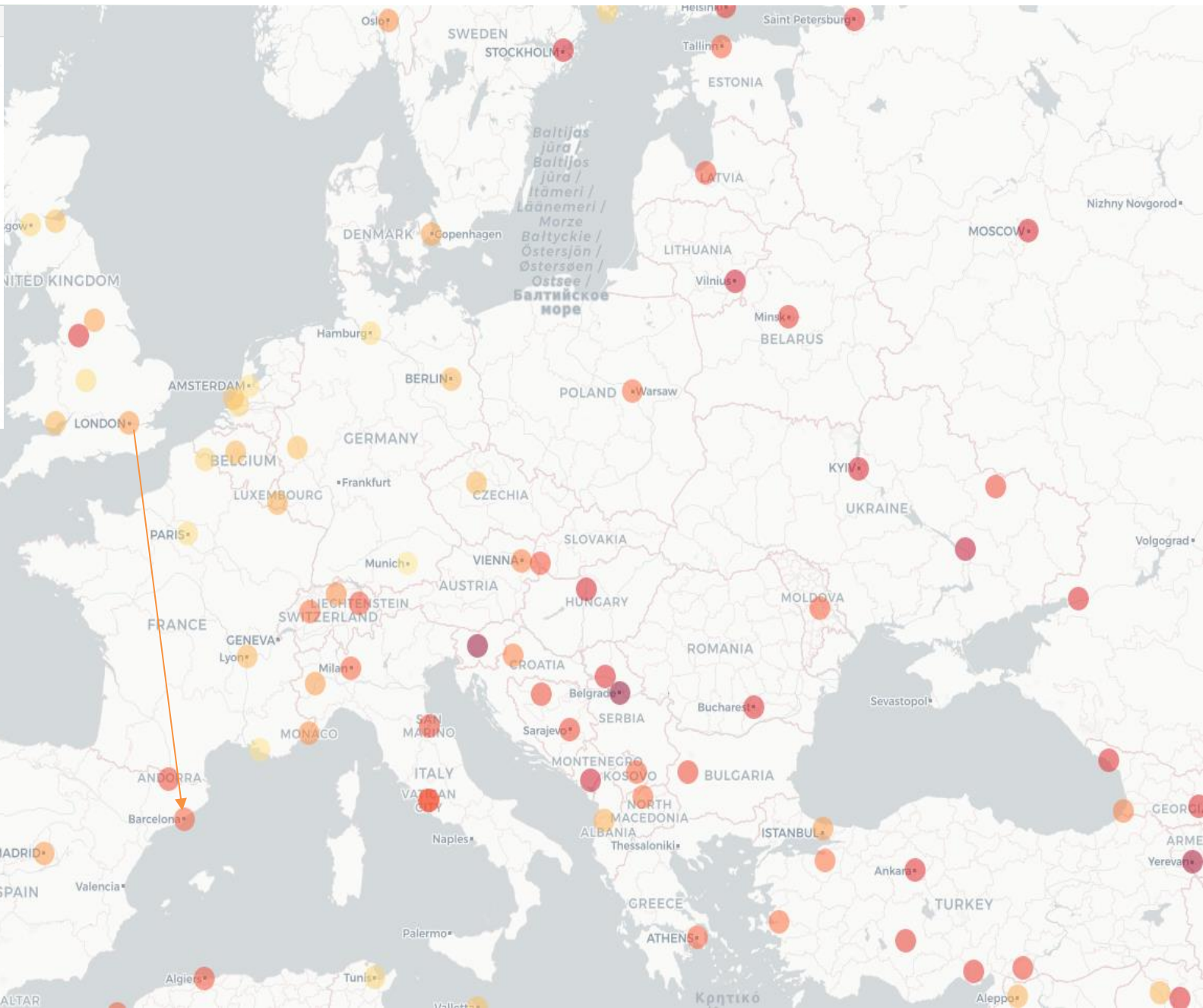
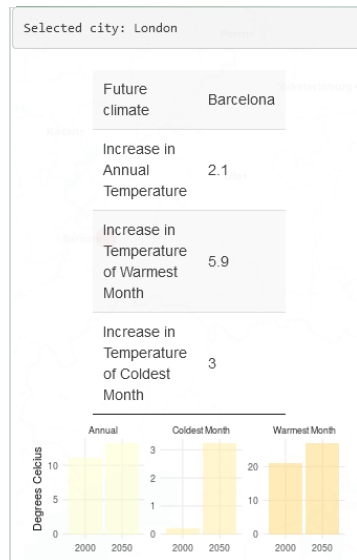




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# London will feel like Barcelona!





## 2nd World Forum on Urban Forests

Washington DC, 2023

# Berlin will feel like Canberra!

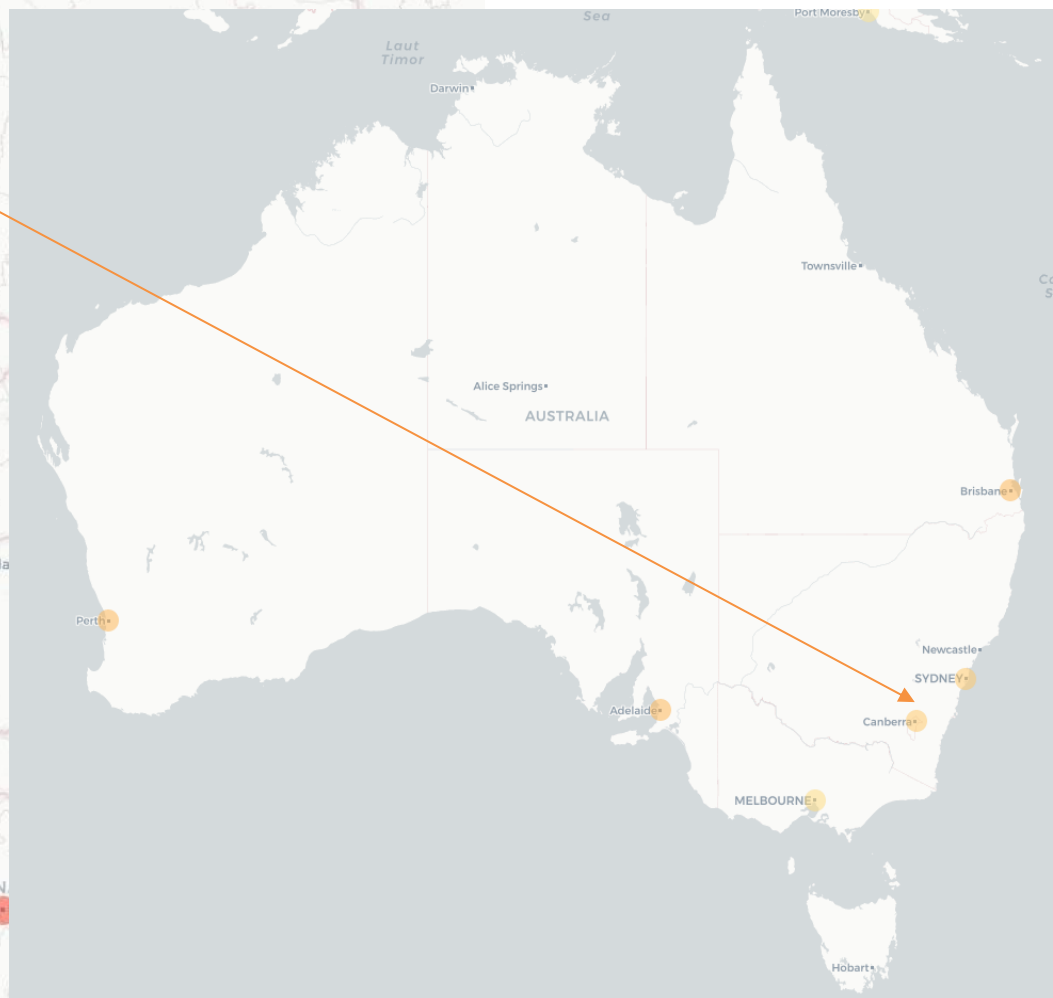
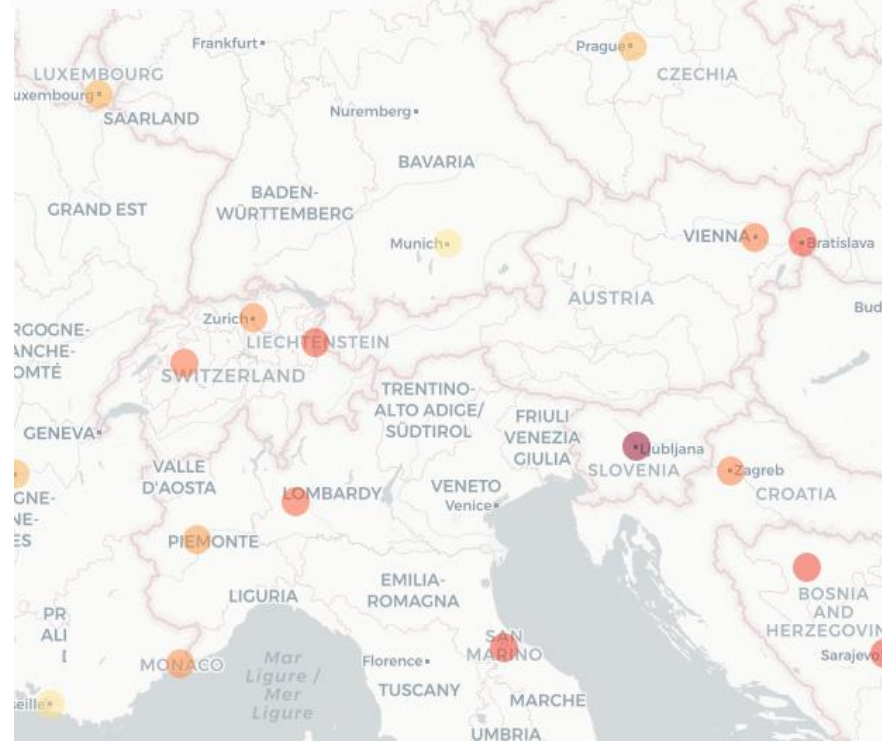
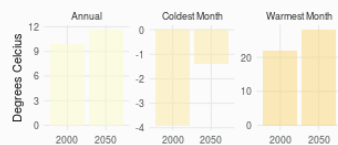
Selected city: Berlin

Future climate  
Canberra

Increase in Annual Temperature  
1.8

Increase in Temperature of Warmest Month  
6.1

Increase in Temperature of Coldest Month  
2.5





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# Washington will feel like

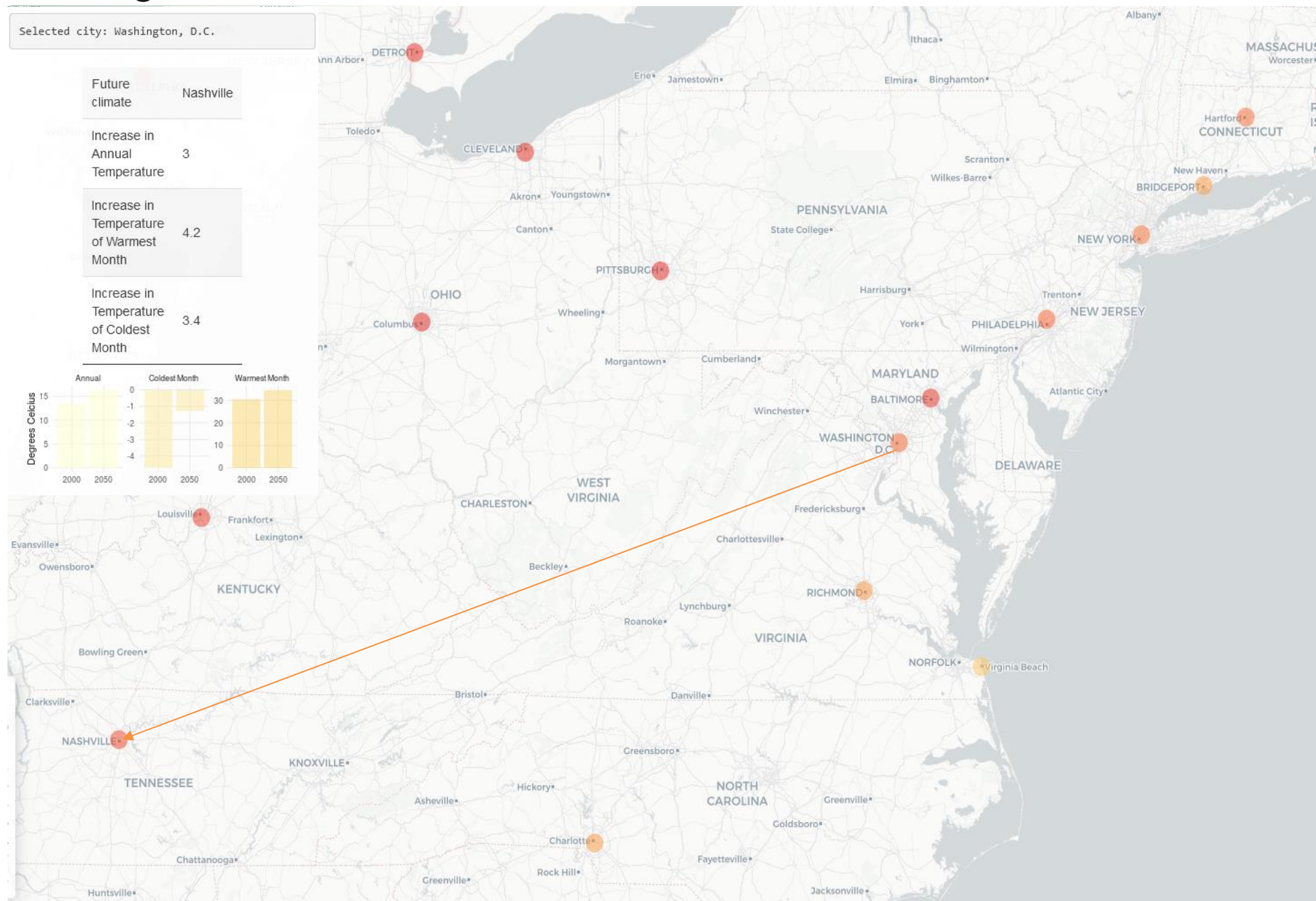
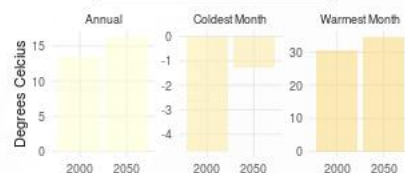
Selected city: Washington, D.C.

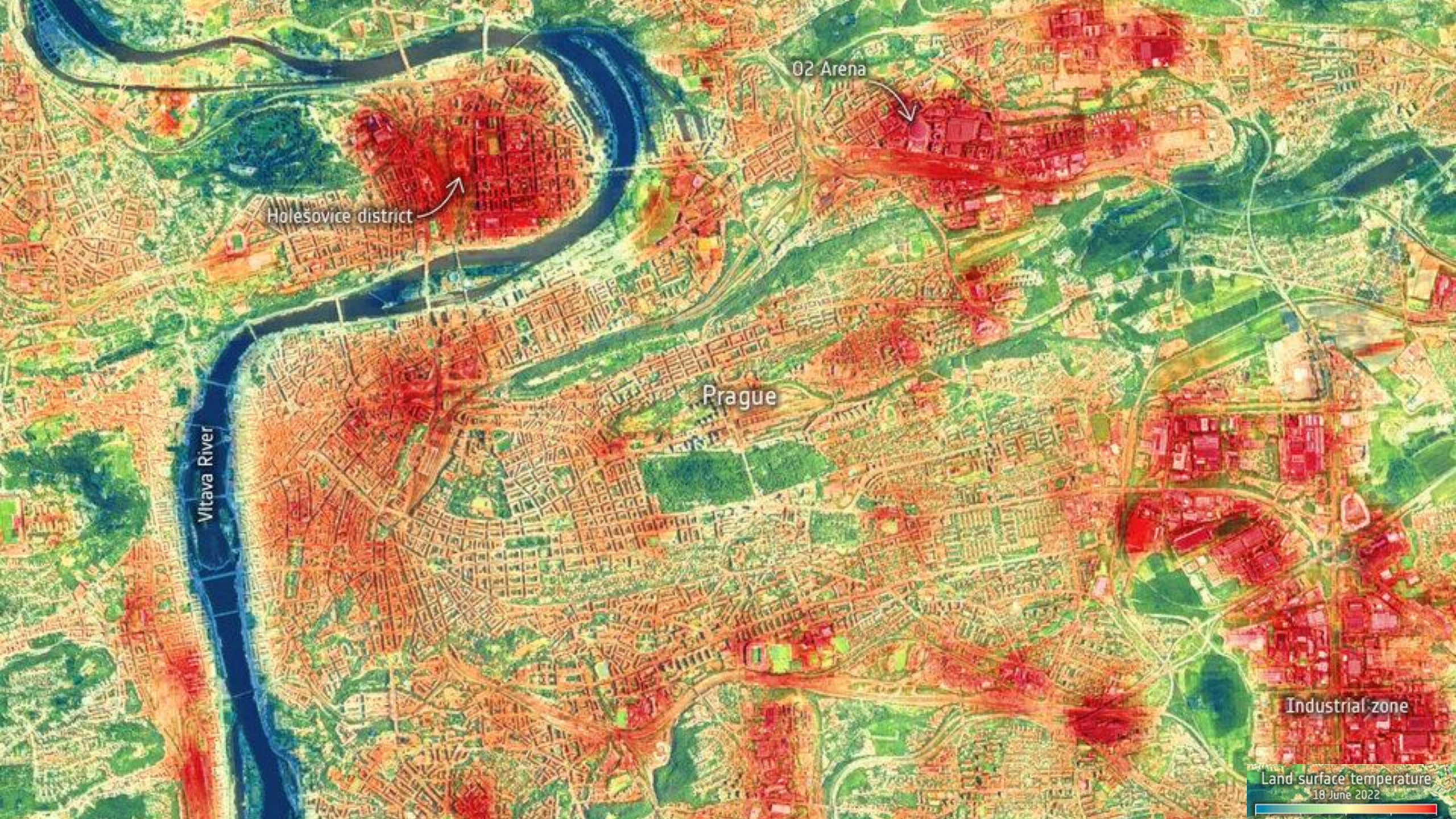
Future climate Nashville

Increase in Annual Temperature 3

Increase in Temperature of Warmest Month 4.2

Increase in Temperature of Coldest Month 3.4





O2 Arena

Holešovice district

Prague

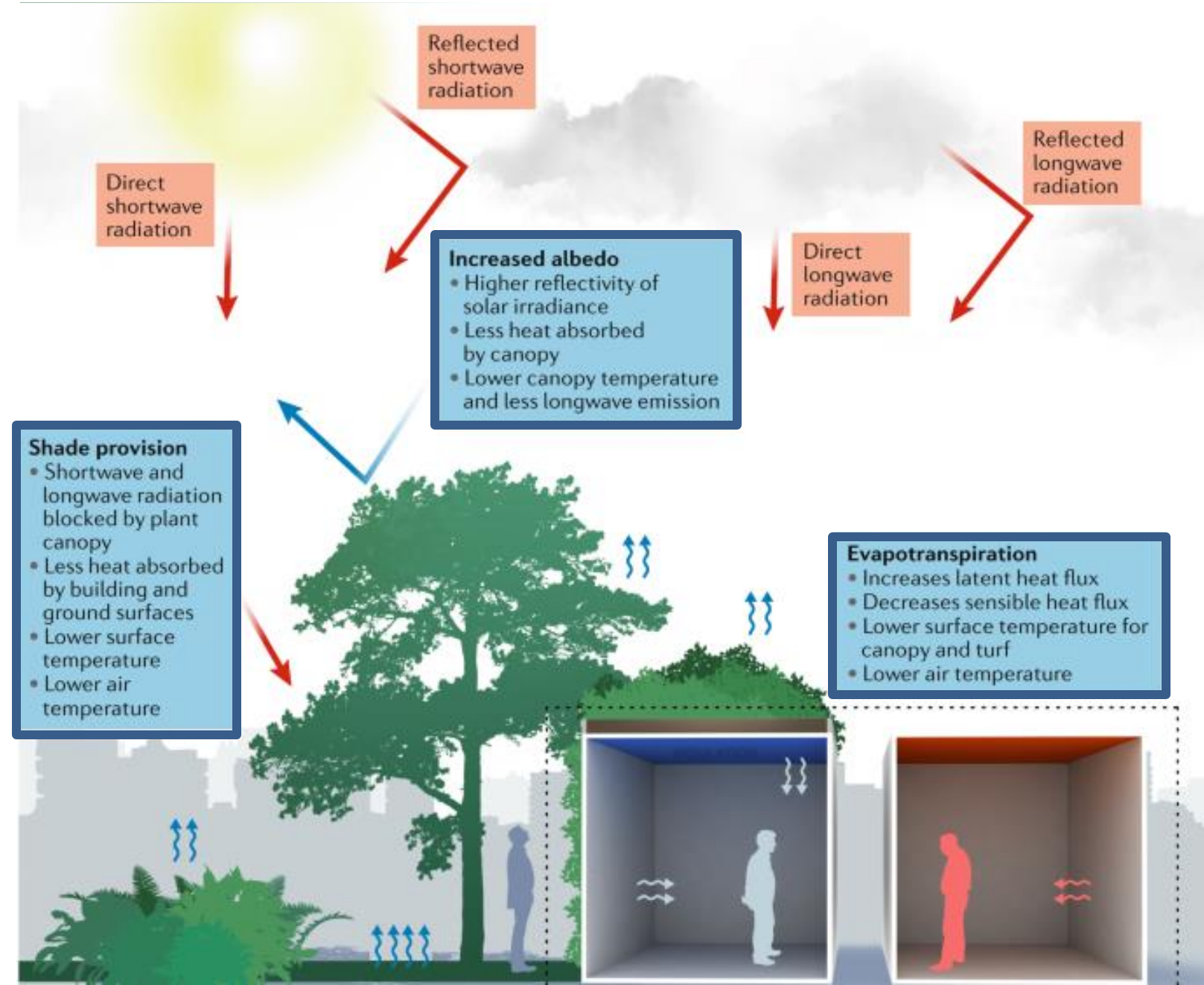
Vltava River

Industrial zone

Land surface temperature  
18 June 2022



# Cooling Mechanisms

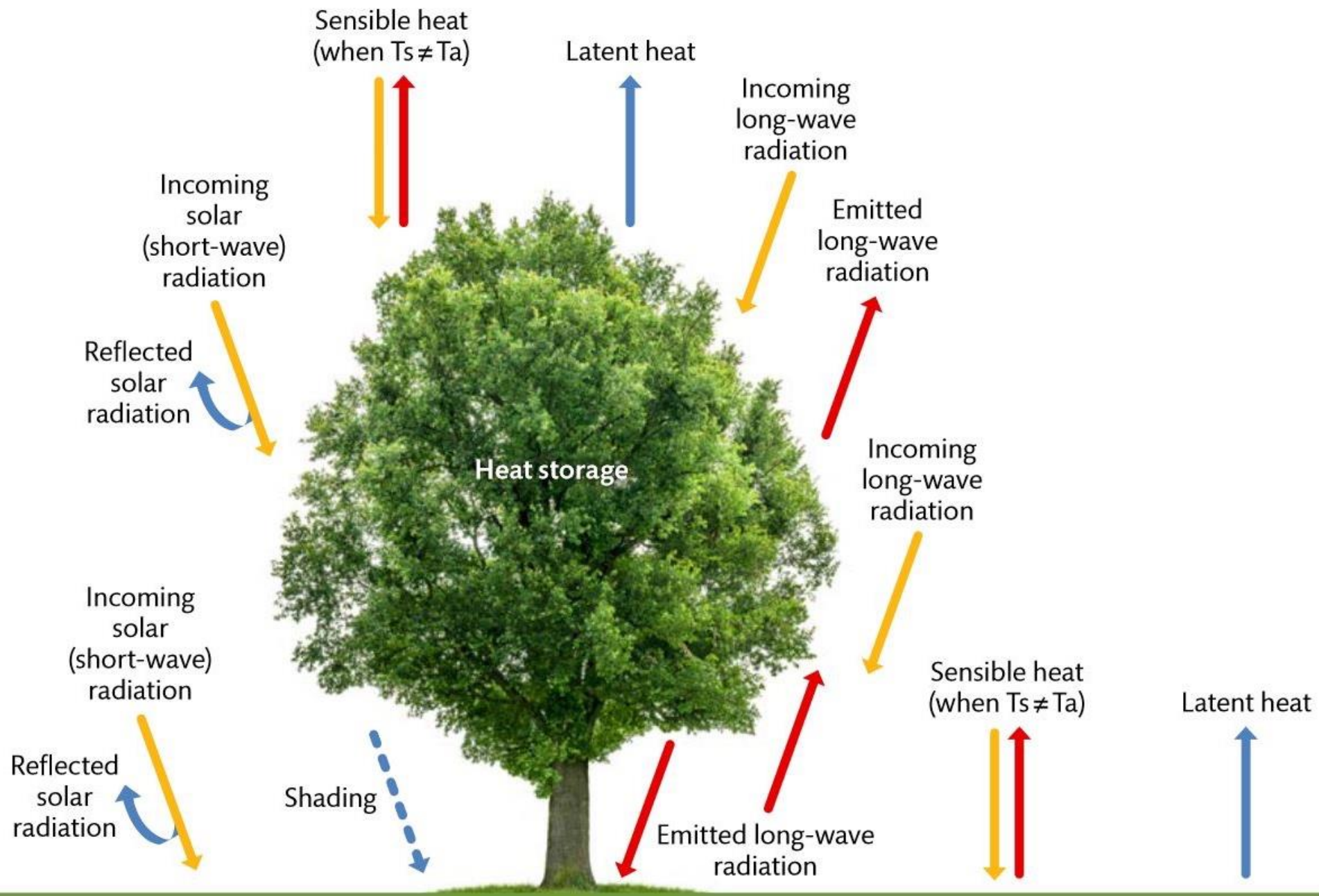
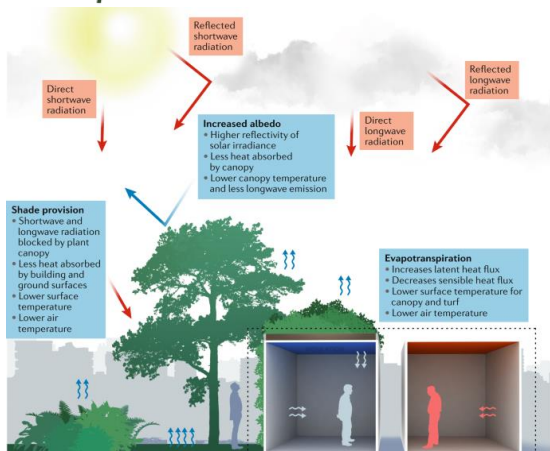




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## Emphasis on Trees

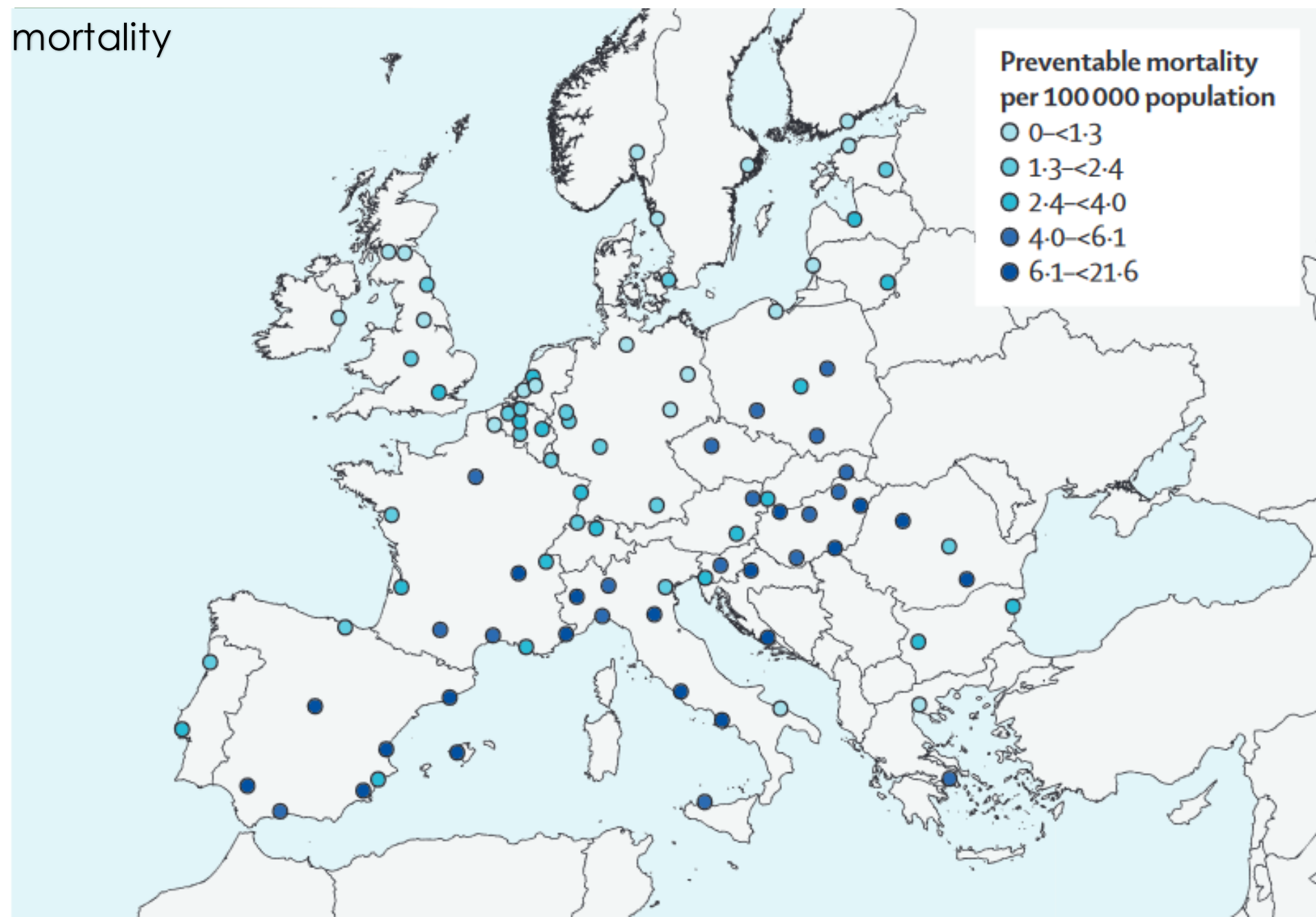
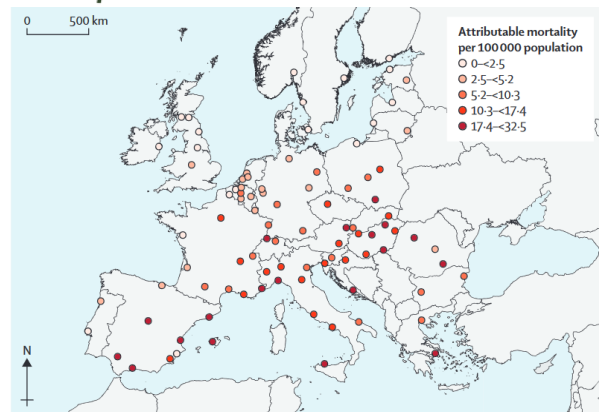




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## Tree Cover decreases heat-related mortality

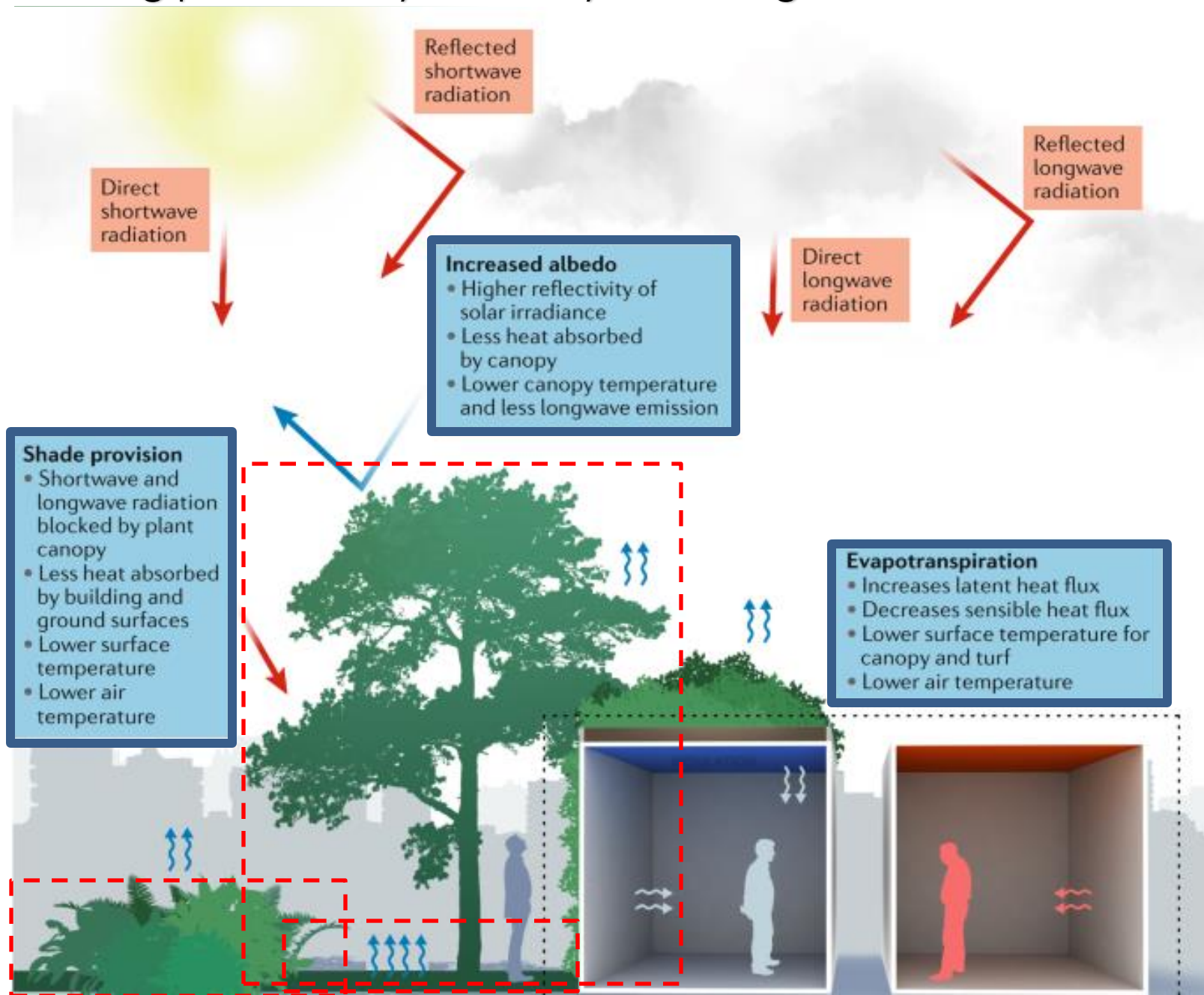




Urban vegetation is more than just



## Cooling provided by other layers of vegetation

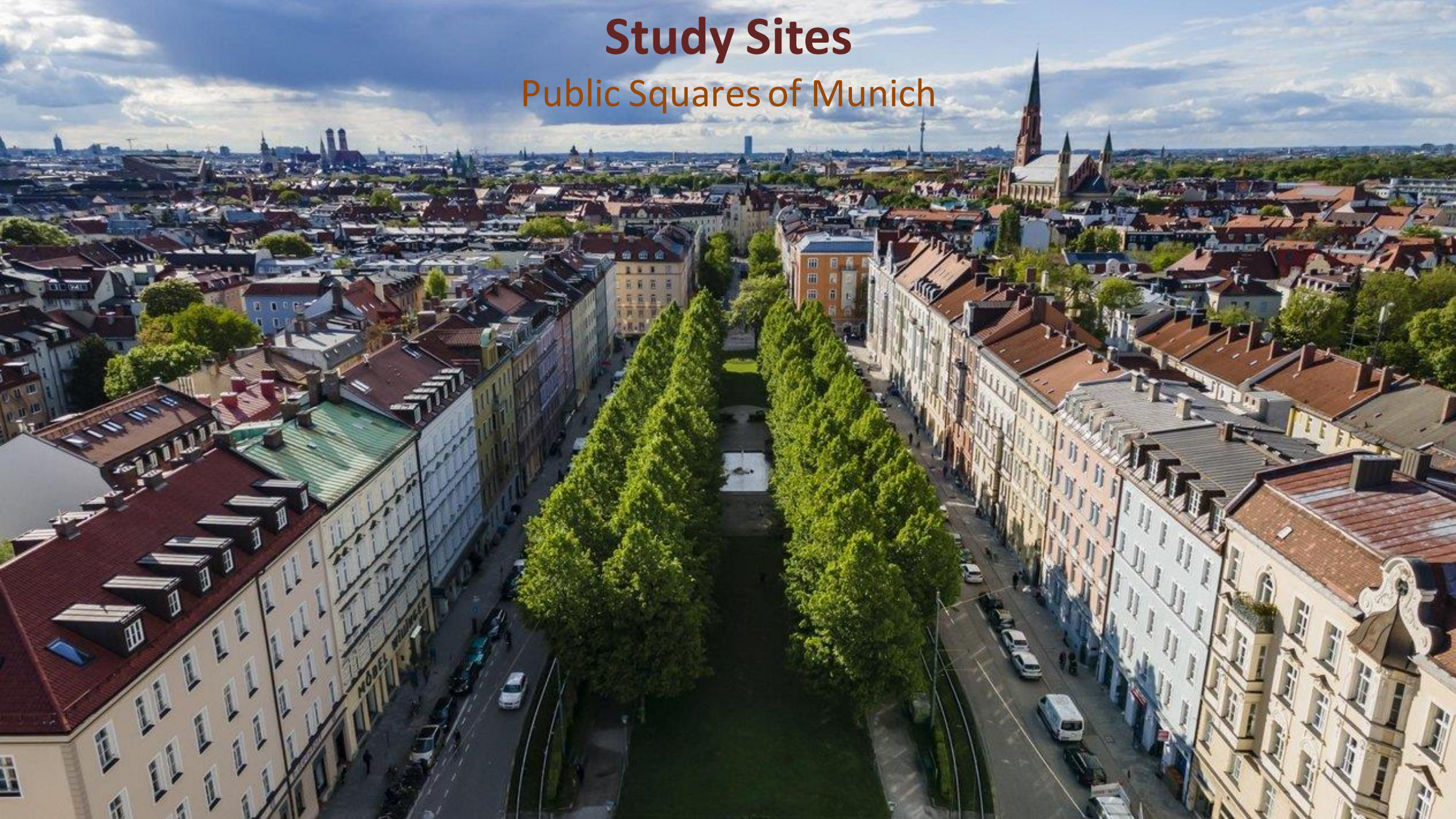


Cooling benefits between the different layers of the vegetation?



# Study Sites

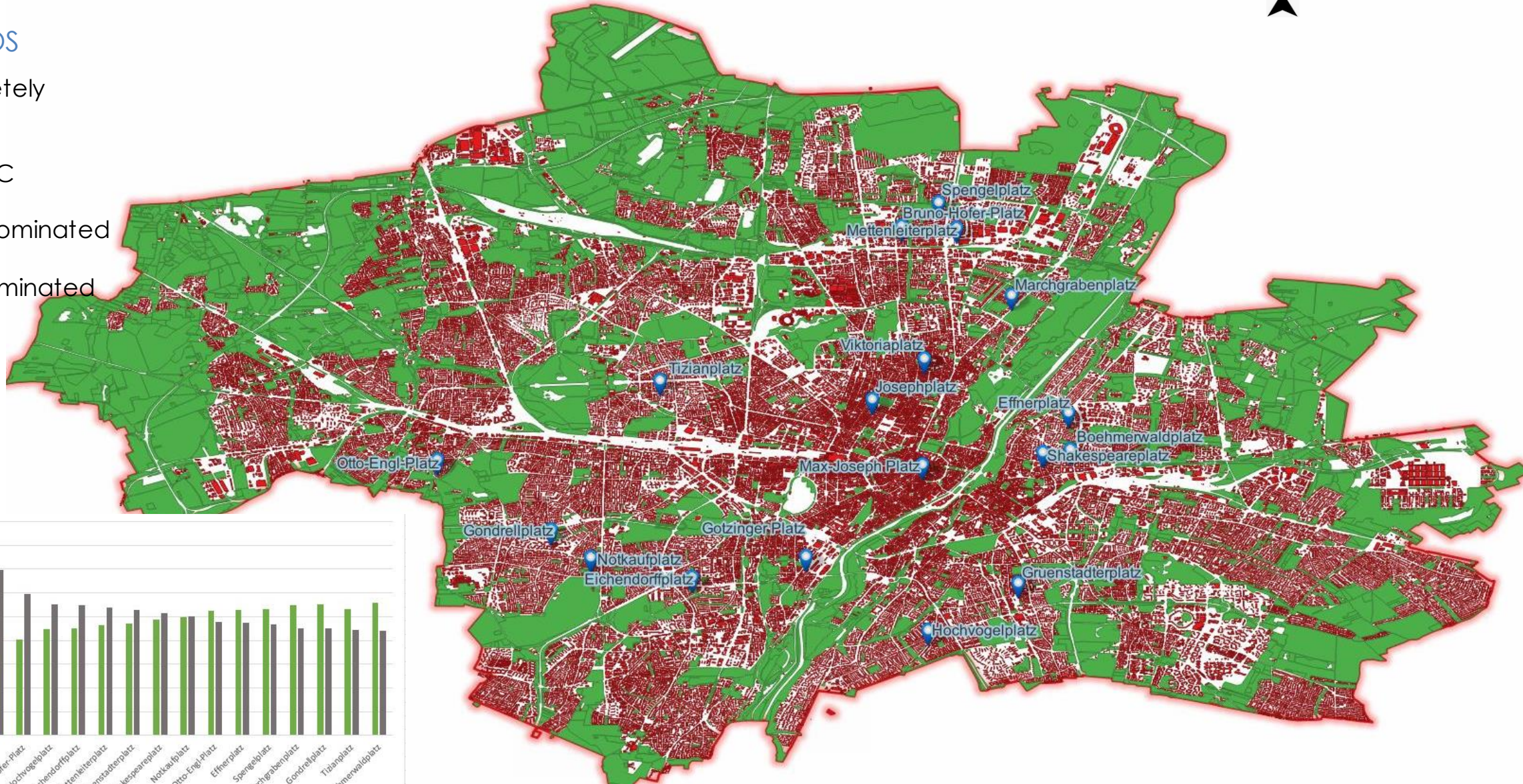
## Public Squares of Munich





## • SCENARIOS

- Completely Sealed
- Mixed LC
- Grass Dominated
- Tree Dominated





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## Experimental Design

Sun – Shrub = ▲

### Microclimate Measurements

- Air Temp, Relative Humidity, Radiation, Surface Temperature, Wind Speed, Black Globe
- July and August (Three Repeations)
- Sunny, Cloudless and warm days
- From 11 a.m. to 4 p.m

### Ecophysiological Measurements

- Stomatal Conductance, Transpiration, Net Assimilation Rate
- Soil Moisture and Soil Temperature





## Measured Shrub Species



*Cornus sanguinea* (Common Dogwood)

- Ornamental
- Non-Native
- Wood Anatomy – Diffused Porous
- Shrub height between 0.8 to 1.3m



*Carpinus betulus* (European Hornbeam)



*Syringa vulgaris* (Common Lilac)

- Ornamental
- Non-Native
- Wood Anatomy – Diffused Porous
- Shrub height between 0.8 to 1.3m

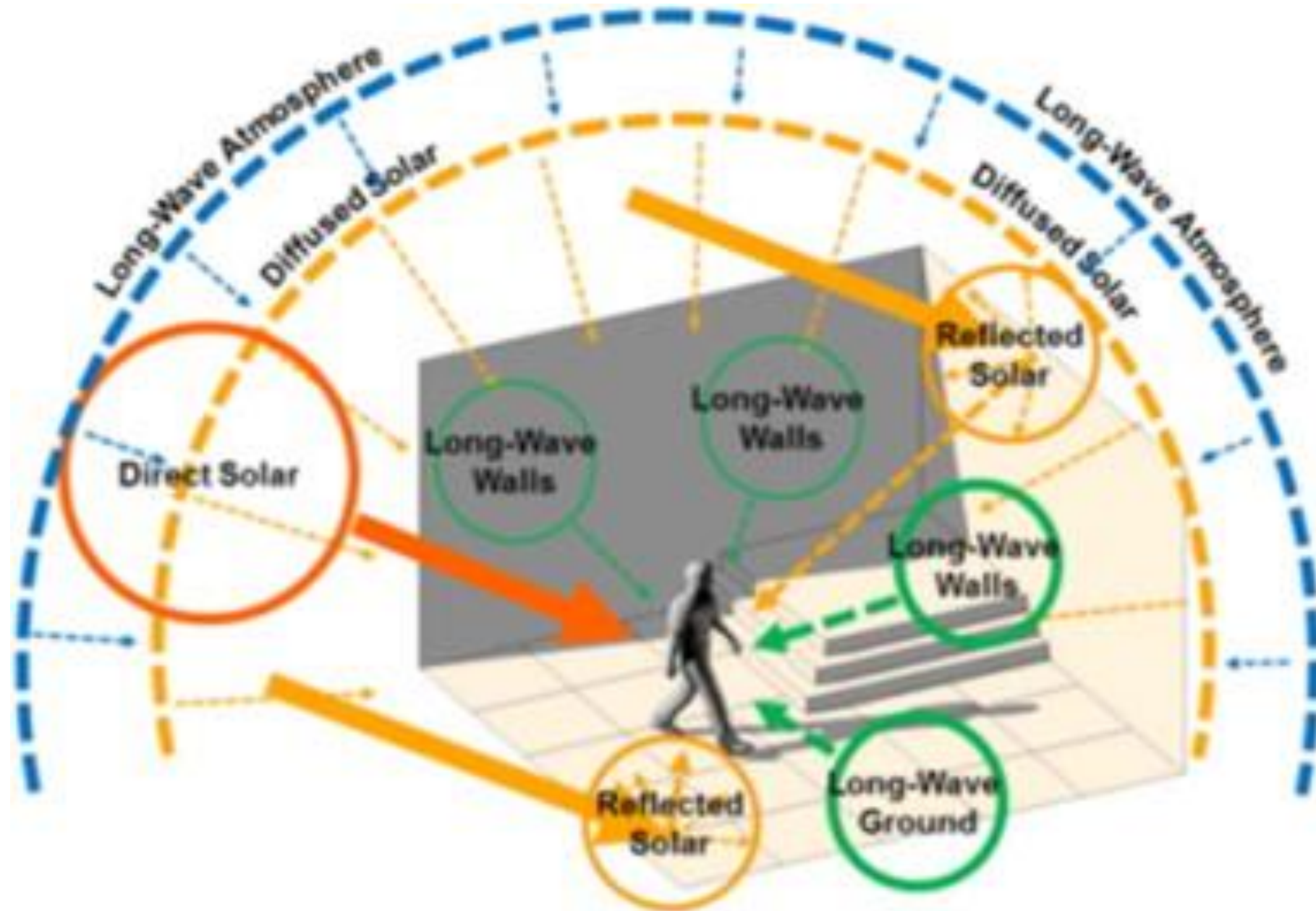


*Forsythia viridissima* (Green-Stemmed forsythia)



## Mean Radiant Temperature ( $T_{mrt}$ )

- $T_{mrt}$  summarizes the effects of all radiant heat fluxes
- $T_{mrt}$  between 55°C to 60 °C = Moderate Heat Stress
- $T_{mrt} > 60$  °C = Extreme Heat Stress



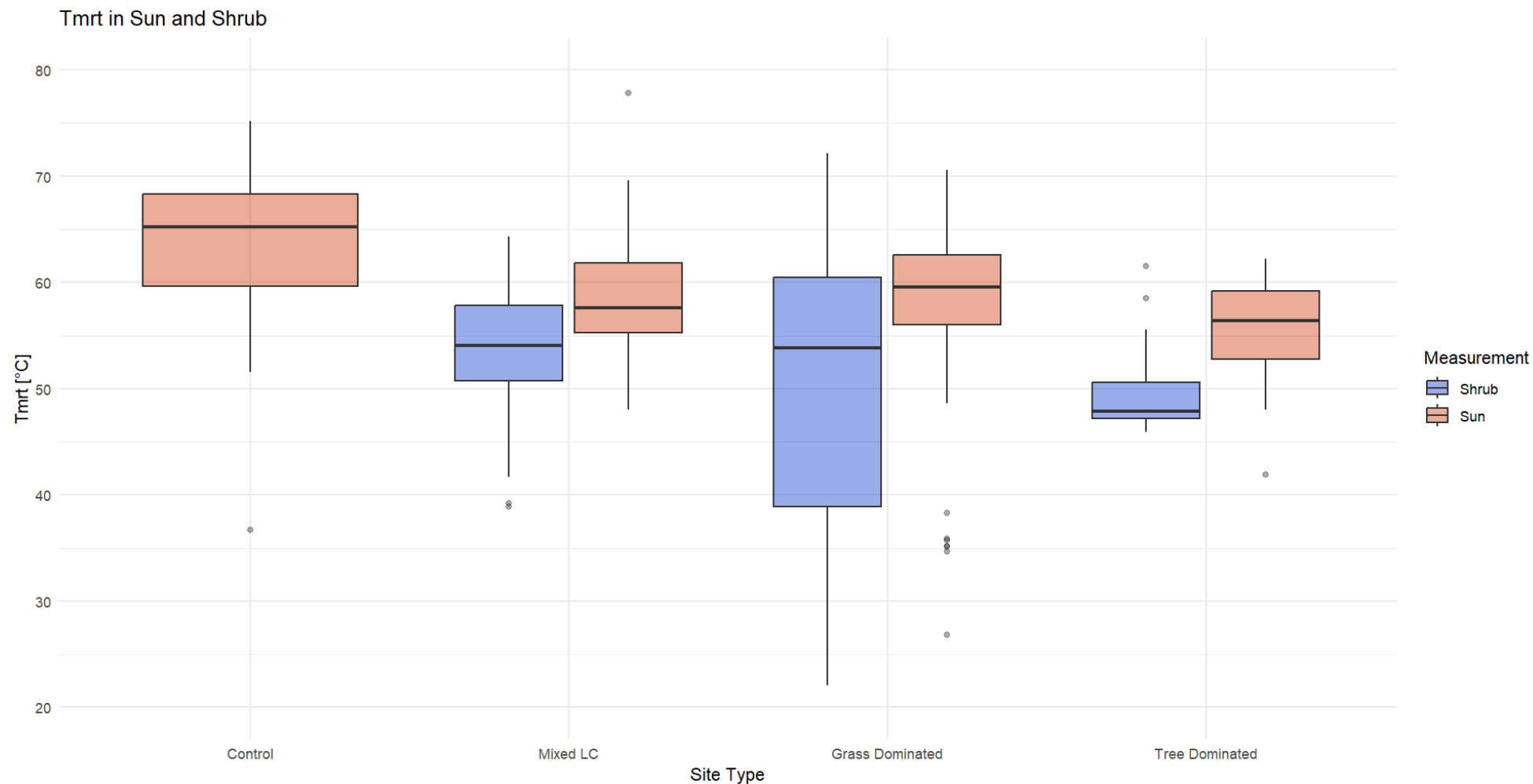


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# Differences in Tmrt

Sun - Shrub = ▲



Mean▲ = 6.2 °C



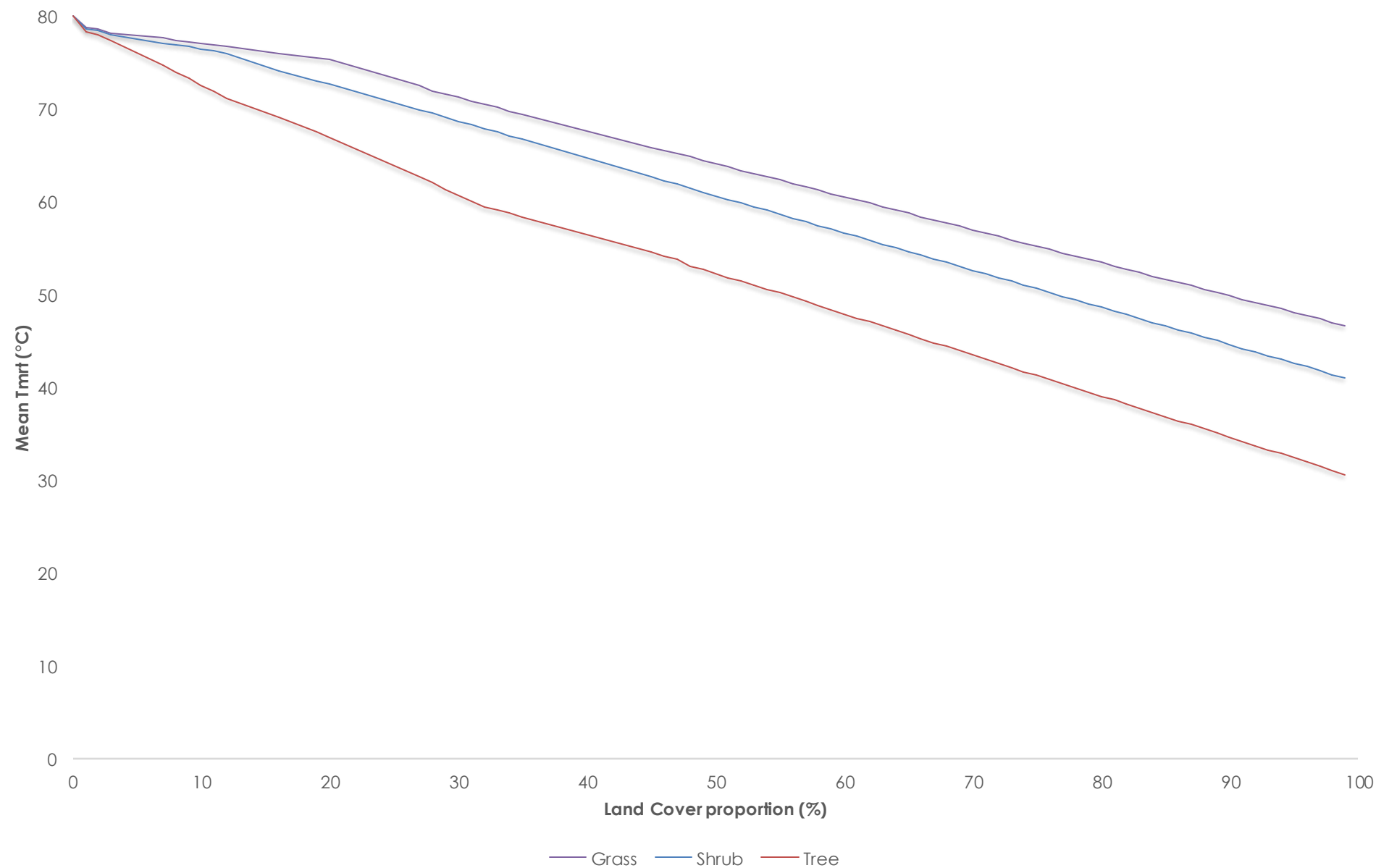
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# Vegetation Structure

Moderate Heat Stress reduced by

- 35% Tree Cover
- 60% Shrub Cover
- 75% Grass Cover





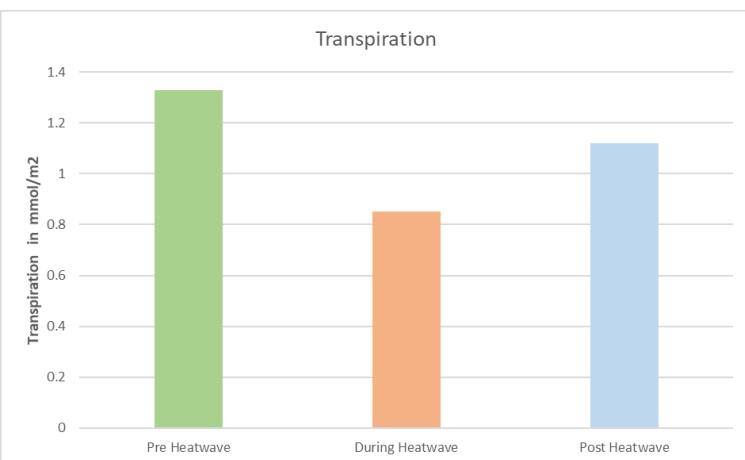
Pre Heatwave



During Heatwave



Post Heatwave

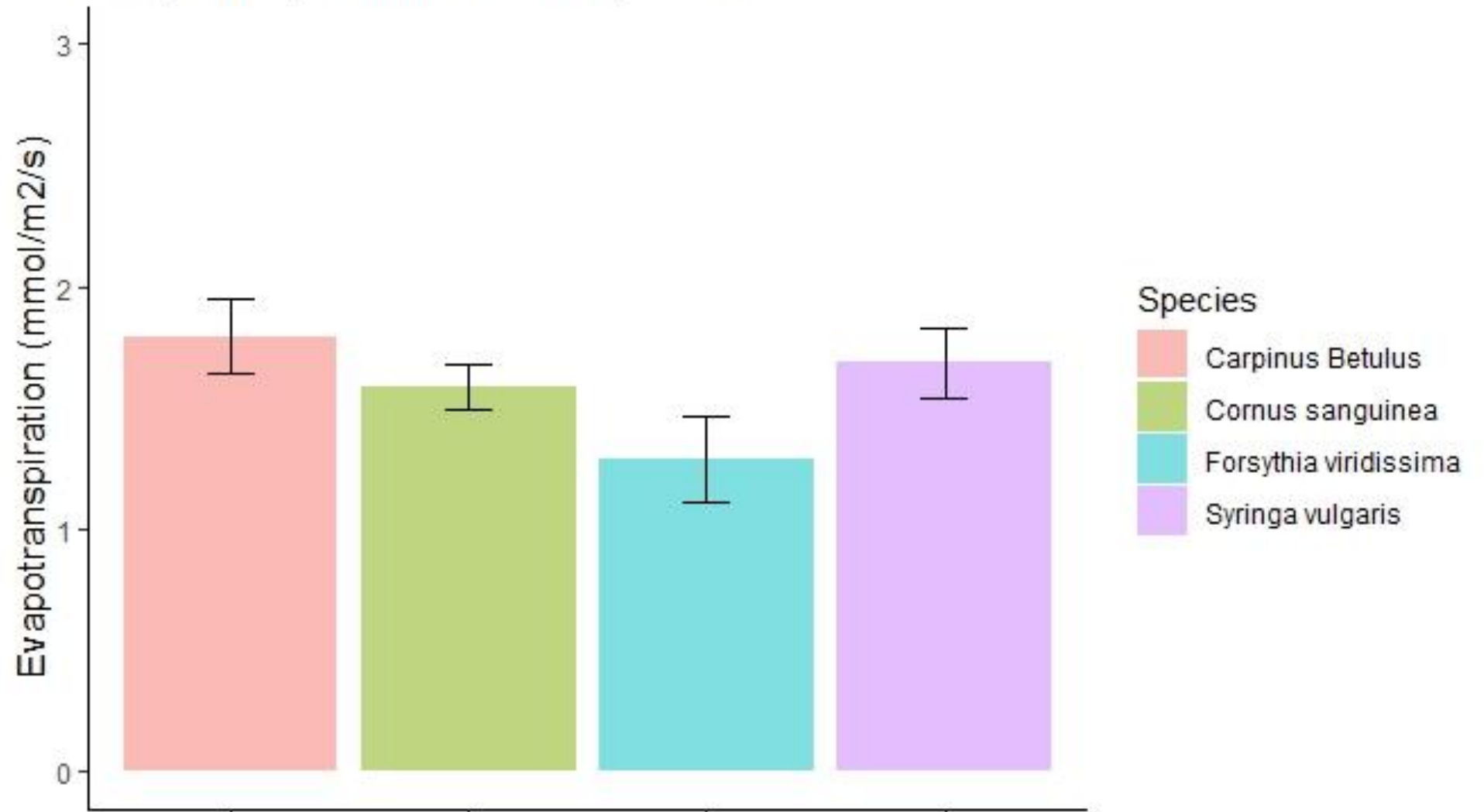


~40% decrease in transpiration



~ 1/3rd of latent heat as  
compared to tree

### Evapotranspiration of Shrub species





# Thank you

Nayanesh Pattnaik | TU Munich

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Food and Agriculture  
Organization of the  
United Nations



Arbor Day  
Foundation



POLITECNICO  
MILANO 1863



International Society of Arboriculture



Smithsonian



FOREST SERVICE  
U.S.  
DEPARTMENT OF AGRICULTURE

# **2nd** **World** **Forum on** **Urban** **Forests**

**2023**



**World Forum on  
Urban Forests**



# 2nd World Forum on Urban Forests

Washington DC, 2023

## Monitoring urban surface temperatures using UAV-derived thermal imagery

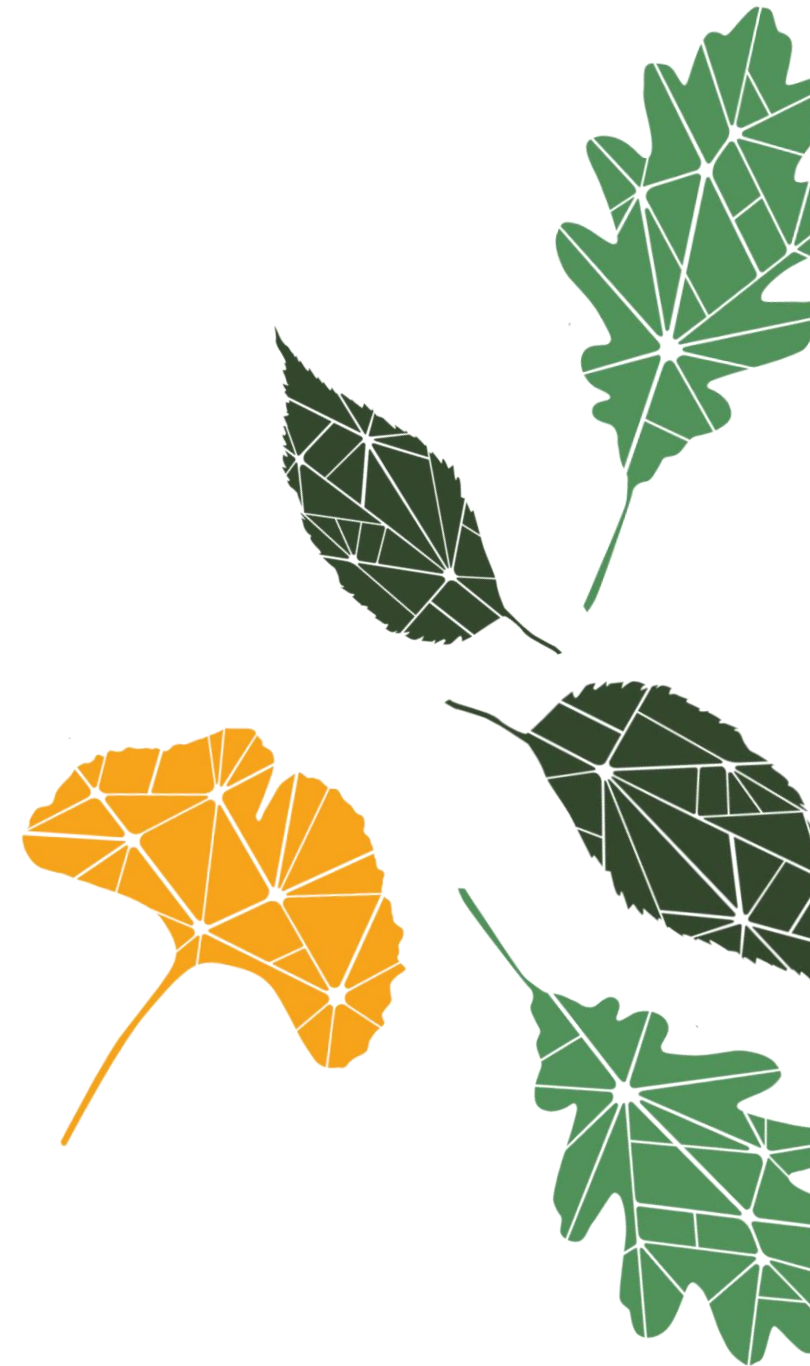
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Presented by

Katrina Henn

Dr. Alicia Peduzzi, Assistant Professor

Sudhir Payare  
Warnell School of Forestry and Natural Resources,  
UGA



 PRECISION FORESTRY LAB

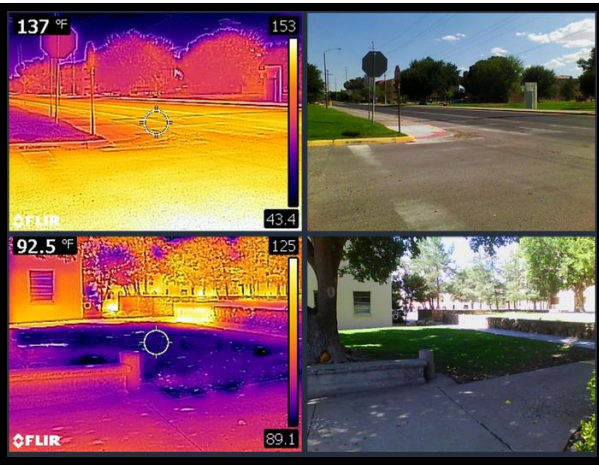


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Warnell School of Forestry  
& Natural Resources

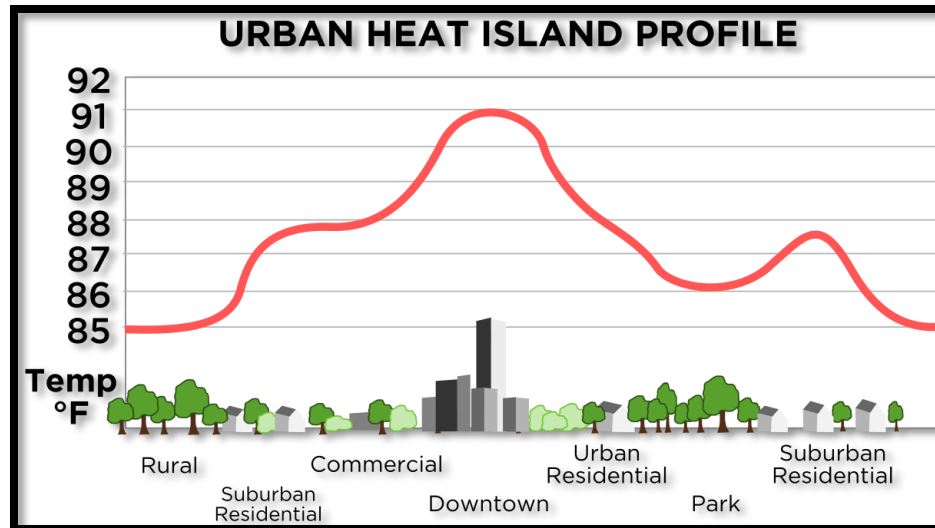


## Background: Why is urban heat important?

- Urban area hotter than surrounding rural area
- Day- & night-time effects
- Marginalized communities more affected



[Heat.gov](https://heat.gov)



[Royal Meteorological Society](https://www.royalmeteo.org)



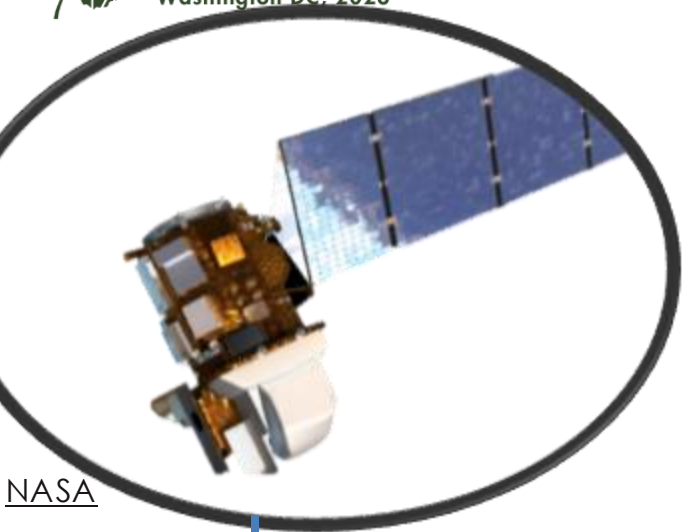
[One Tree Planted](https://www.onetreeplanted.org)



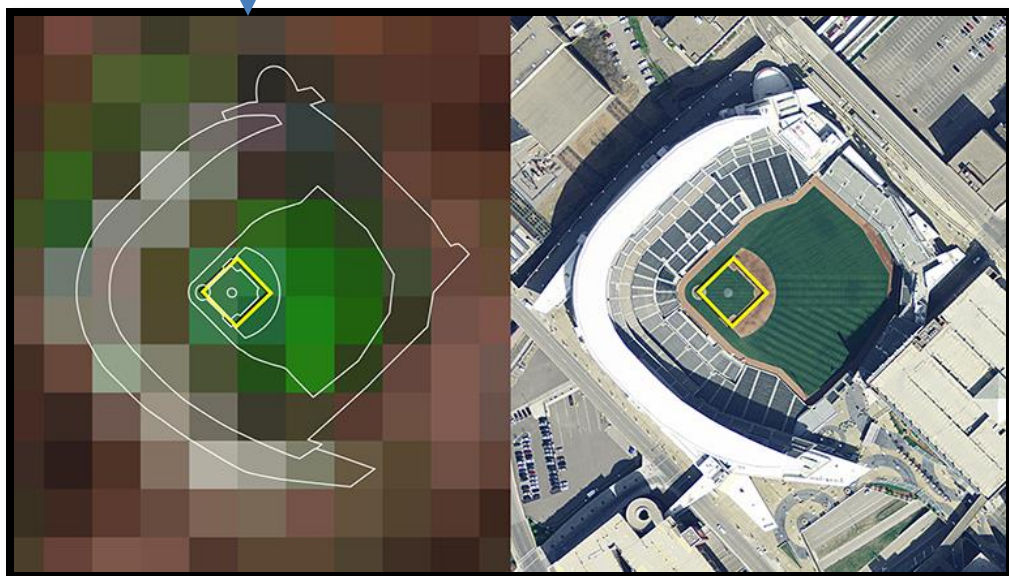
# Background: How are we currently measuring urban heat?

- Levels
  - Satellite (spaceborne) level
  - Ground
- Surface vs. air temperature
- Resolution

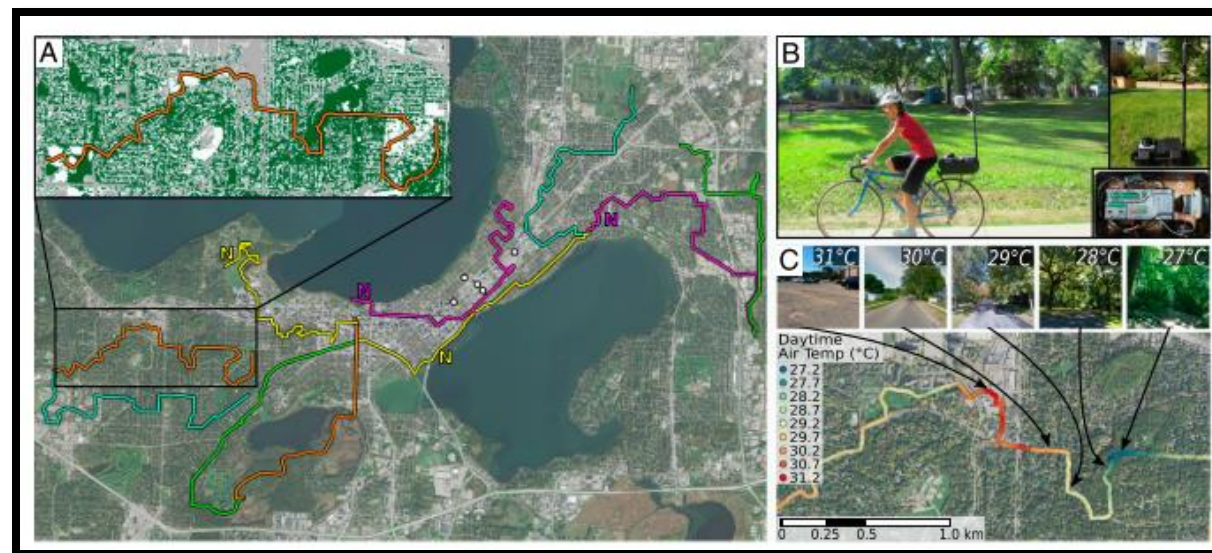
Plane?  
UAV?



NASA



NASA



Ziter et al., 2019

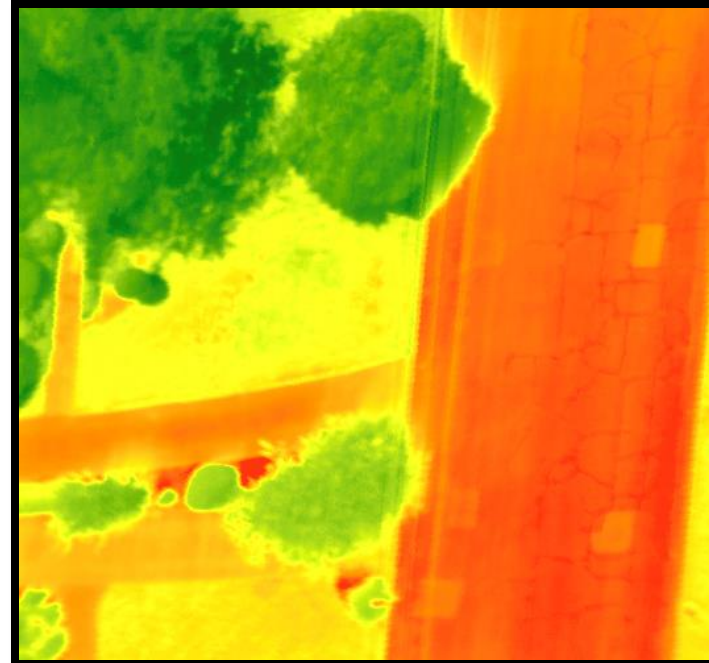


# Objectives: Two-pronged approach

1. Demonstrate UAV and thermal application in urban environment



2. Analyze urban surface differences, shaded & non-



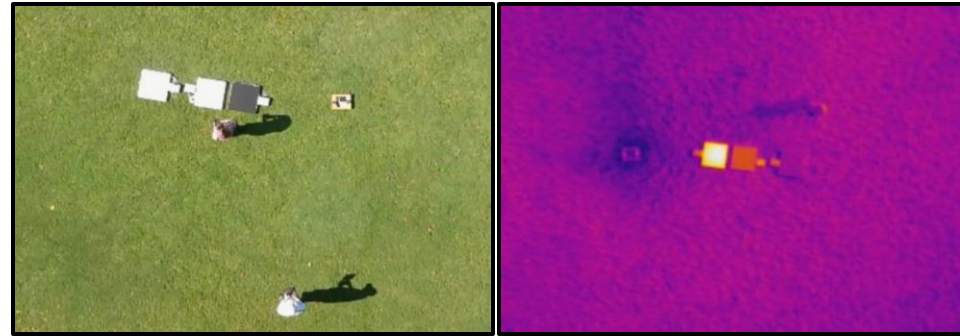
# Methods: Initial testing

UAV with FLIR camera  
( $\pm 5^{\circ}\text{C}$ )

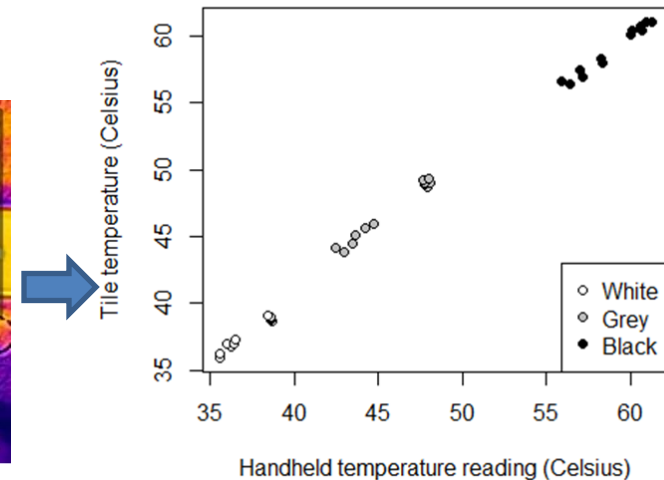
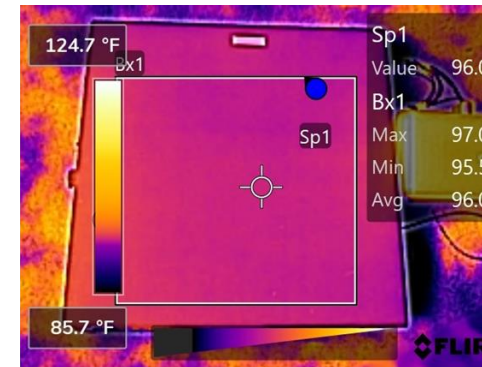
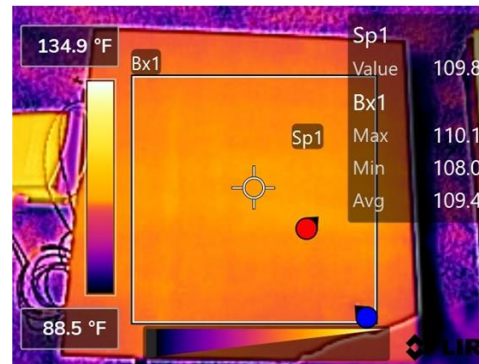
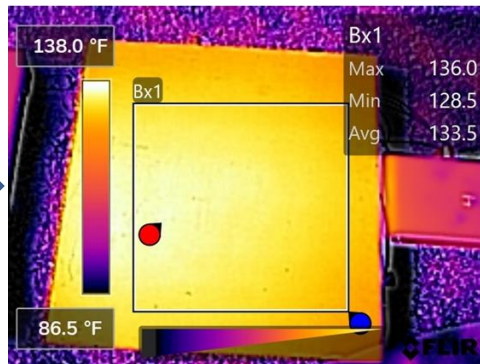


Freerangestoc  
k,  
FLIR

Surface thermal imagery from UAV



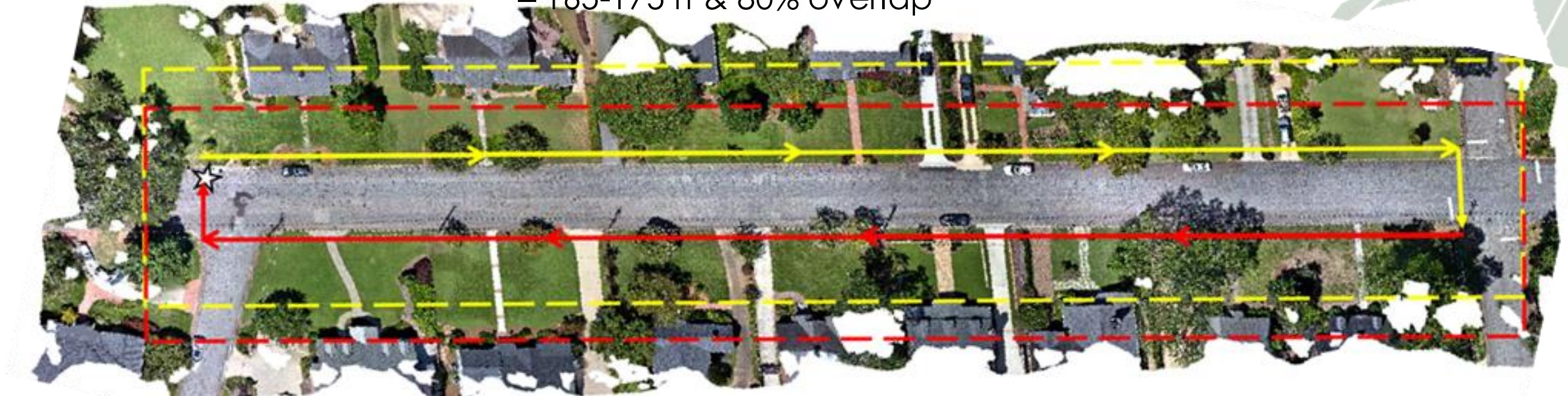
Ground check: Tile, handheld, & UAV





## Methods: UAV application

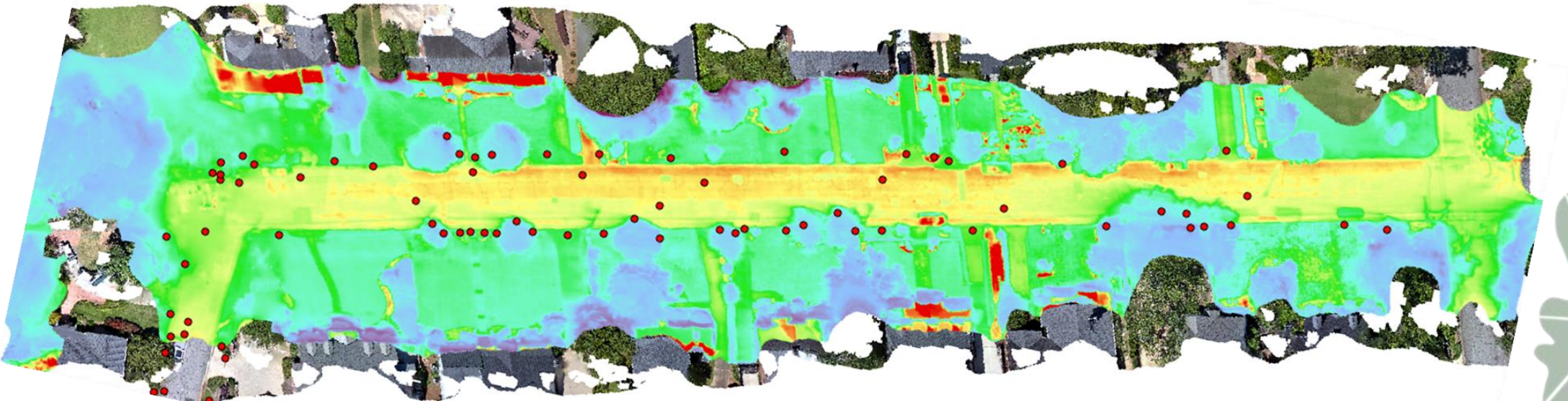
- Transects = balance between safety and practical application
  - Regulations + courtesy
- Both sides of street. Low enough for detail, high enough for image overlap.
  - 165-175 ft & 80% overlap





## Methods: Ground data collection

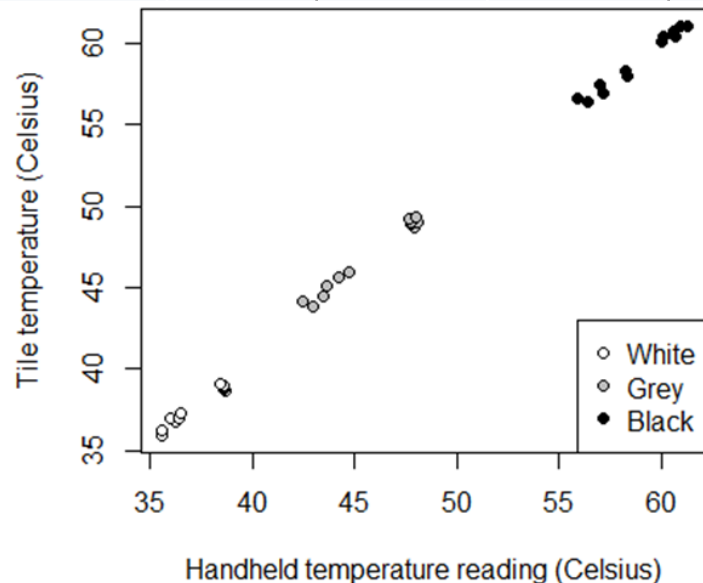
- Ground data collected at same time as flight
  - Surface type & Shaded or unshaded
  - Audio recording during collection
- GPS point taken for each point
- Handheld reading compared to UAV reading



# Results: Handheld and UAV accuracy

- Handheld = accurate (n = 36)

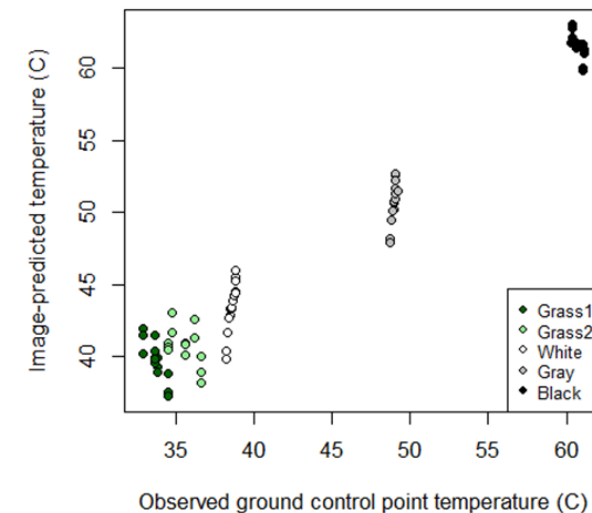
White		Gray		Black		Measurement Error (%)
Handheld FLIR	Average Tile Reading	Handheld FLIR	Average Tile Reading	Handheld FLIR	Average Tile Reading	White Gray Black
37.3	37.8	45.7	46.9	58.9	58.9	1.3% 2.6% 0.1%



- Majority of UAV thermal image readings fell within FLIR-specified  $\pm 5^\circ \text{C}$ 
  - (n = 38/45, or 84%) for tiles, worse for grass (7/30, or only 23%)
  - For neighborhoods so far: 222/278 (80%)

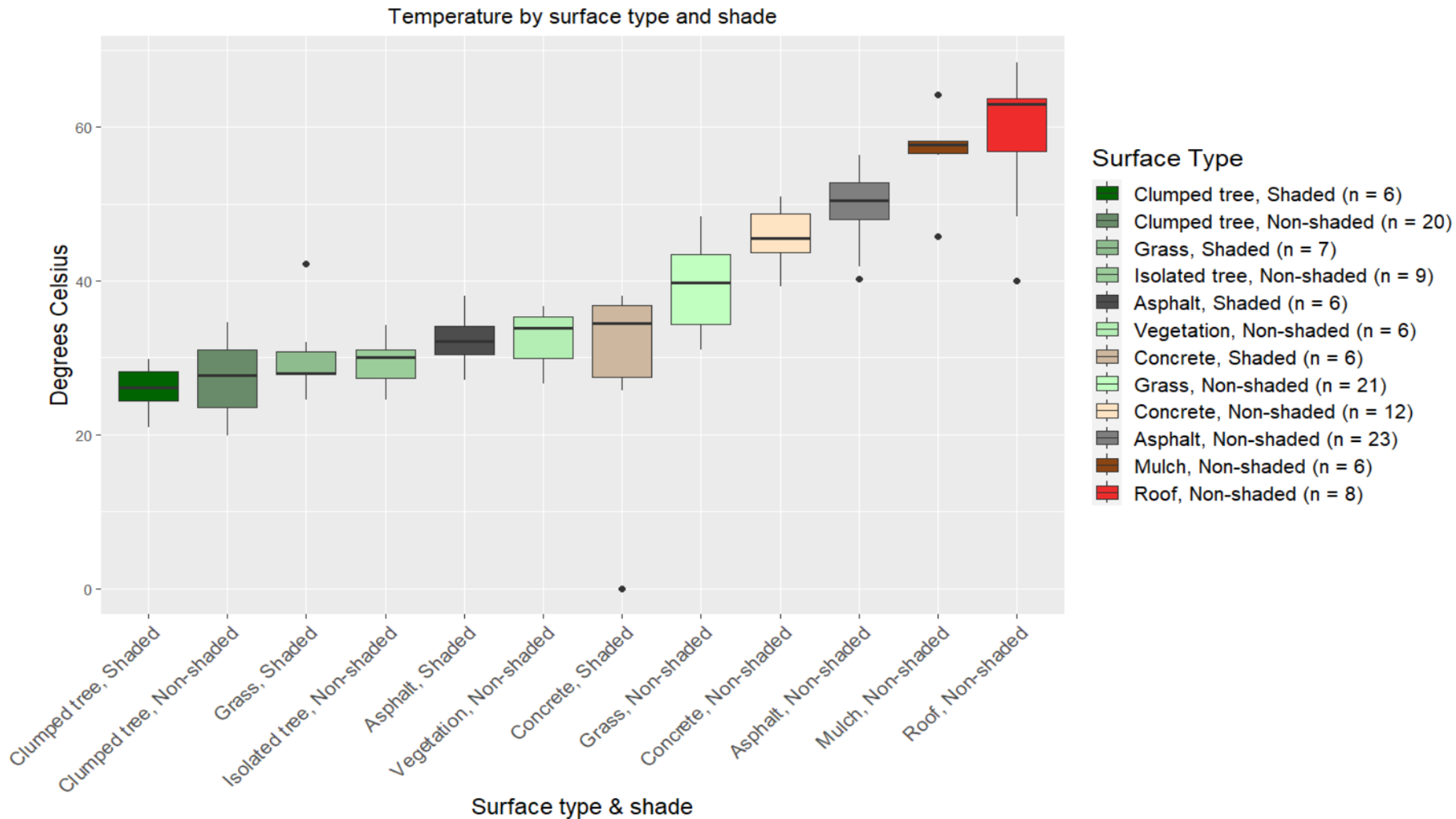
White Measurement Error (%)	Gray Measurement Error (%)	Black Measurement Error (%)
12.5	3.61	1.32

Predicted Temperature to True Temperature





# Results: Urban surface temperatures



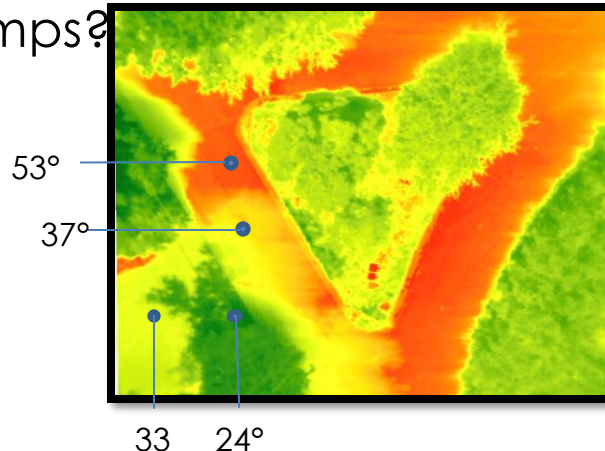
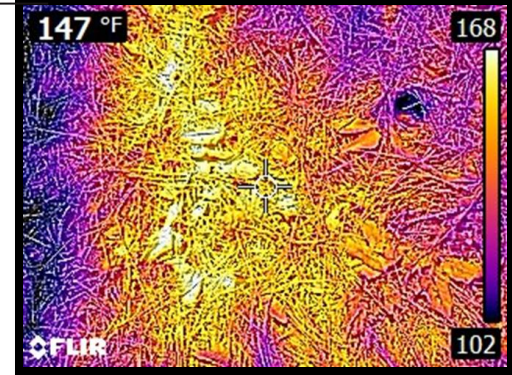


# Takeaways

- UAV can measure urban temperatures
  - There is a way to fly urban areas while minimizing risk
  - Person with technical knowledge needed
- Even non-shaded greenery = some of the coolest surfaces
  - Non-green natural surfaces appear hotter—comparable?
- Tree configuration (clumped vs. isolated) might show temperature differences in canopy temperature (Alonzo et al., 2021)
  - Does configuration make a difference in surrounding surface temps?



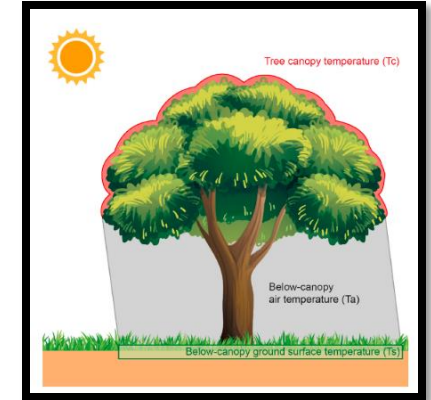
[Wikimedia Commons](#)





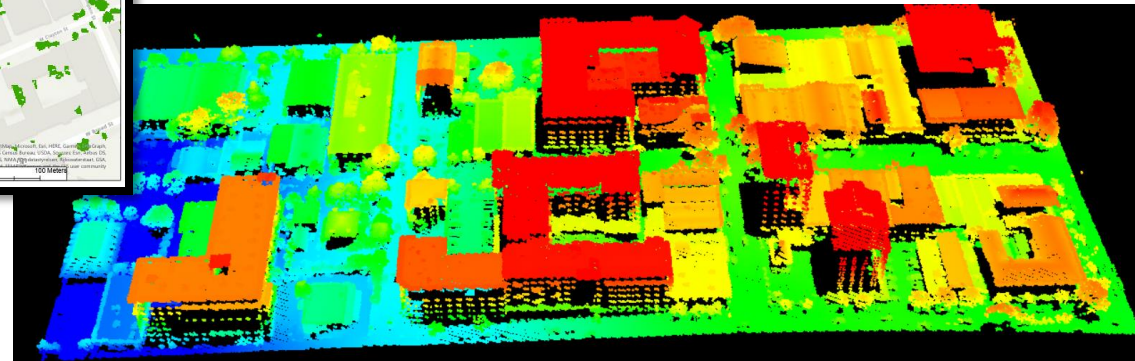
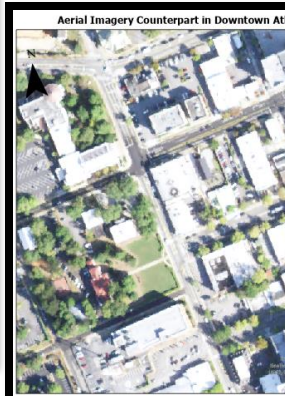
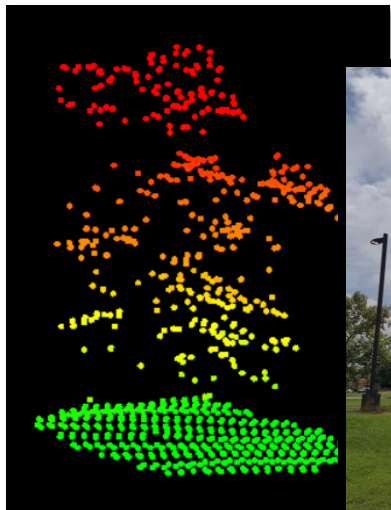
## Takeaways (continued)

- More work required in:
  - Tree characteristics effects and spatial configurations of trees (Cai et al., 2022; Davis et al., 2016; Rafiee et al., 2016)
  - Relationships among canopy temperature, air temperature, and surface temperature (Cheung et al., 2021)
  - Spatial configurations of surrounding environment & trees (da Rocha et al., 2017; Oke & Stewart, 2012; Yu et al., 2019)
- Higher resolution thermal imagery from UAV makes more detailed data fusion possible



Cheung et al., 2021

Accounting for  
3-  
dimensionality



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- Yu, X., Jingyi, L., & Yuan, H. (2019). A Review Of The Relationship Between Urban Greening Morphology And Urban Climate.
- Ziter, C. D., Pedersen, E. J., Kucharik, C. J., & Turner, M. G. (2019). Scale-dependent interactions between tree canopy cover and impervious surfaces reduce daytime urban heat during summer. *Proceedings of the National Academy of Sciences*, 116(15), 7575-7580.



# Thank you

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& Natural Resources

# **2nd** **World** **Forum on** **Urban** **Forests**

**2023**



**World Forum on  
Urban Forests**



# 2nd World Forum on Urban Forests

Washington DC, 2023

## Session 1.4 - In the Cool of the Day

Impacts of water restriction on the development of urban trees and their associated climate services.



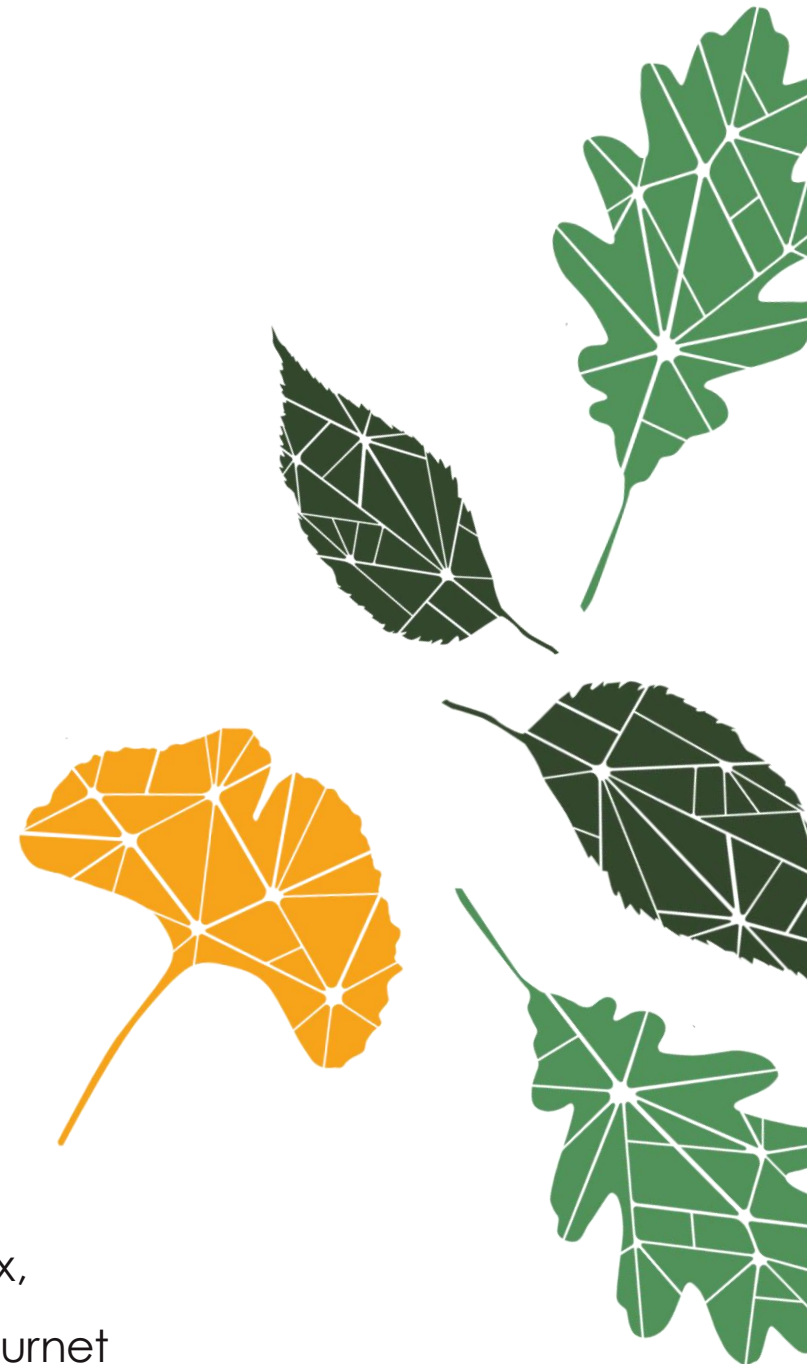
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Presented by

Dorine Canonne, Sabine Demotes-Mainard,  
Marc Sauréau, Julien Thierry, Benedicte  
Dubuc,

Lydie Ledroit, Denis Cesbron, Camille Lebras, Lydia Brialix,

Dominique Lemesle, Sophie Herpin, Pierre-Emmanuel Bournet



The background is a photograph of a modern building with a vertical garden facade. The building features multiple levels of balconies, each with a planter box containing various green plants and hanging vines. The building's structure is composed of grey concrete columns and horizontal bands. In the foreground, on the right side, there are two stylized leaf graphics. The larger one is green with a black outline and internal vein structure. The smaller one is yellow with a black outline and internal vein structure. Both leaves have a grid-like pattern of veins.

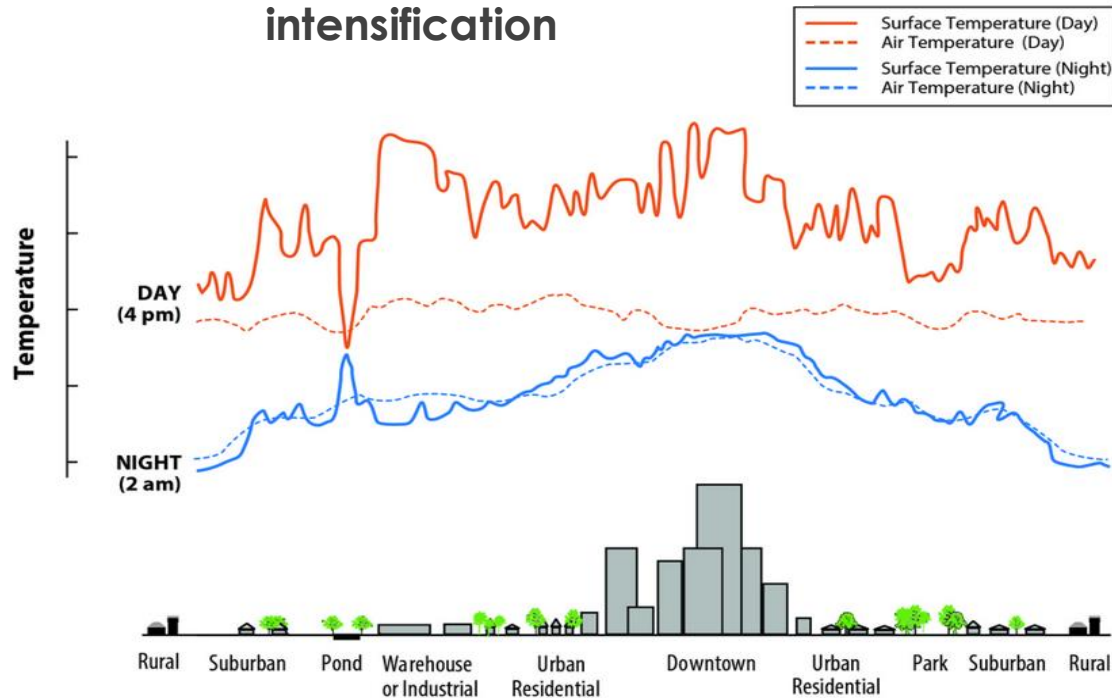
Introduction

Context & Objectives



- **Ongoing climate changes**, e.g. ↗ global average air temperature  
IPCC, 2012

- **Urban Heat Island (UHI) intensification**



Oke, 1981; US EPA, 2014

↗ **Human thermal stress in cities**

&

- Increasing **urbanization** trend, i.e. ↗ in the number of people exposed to these extreme climatic events

United Nations,  
2019

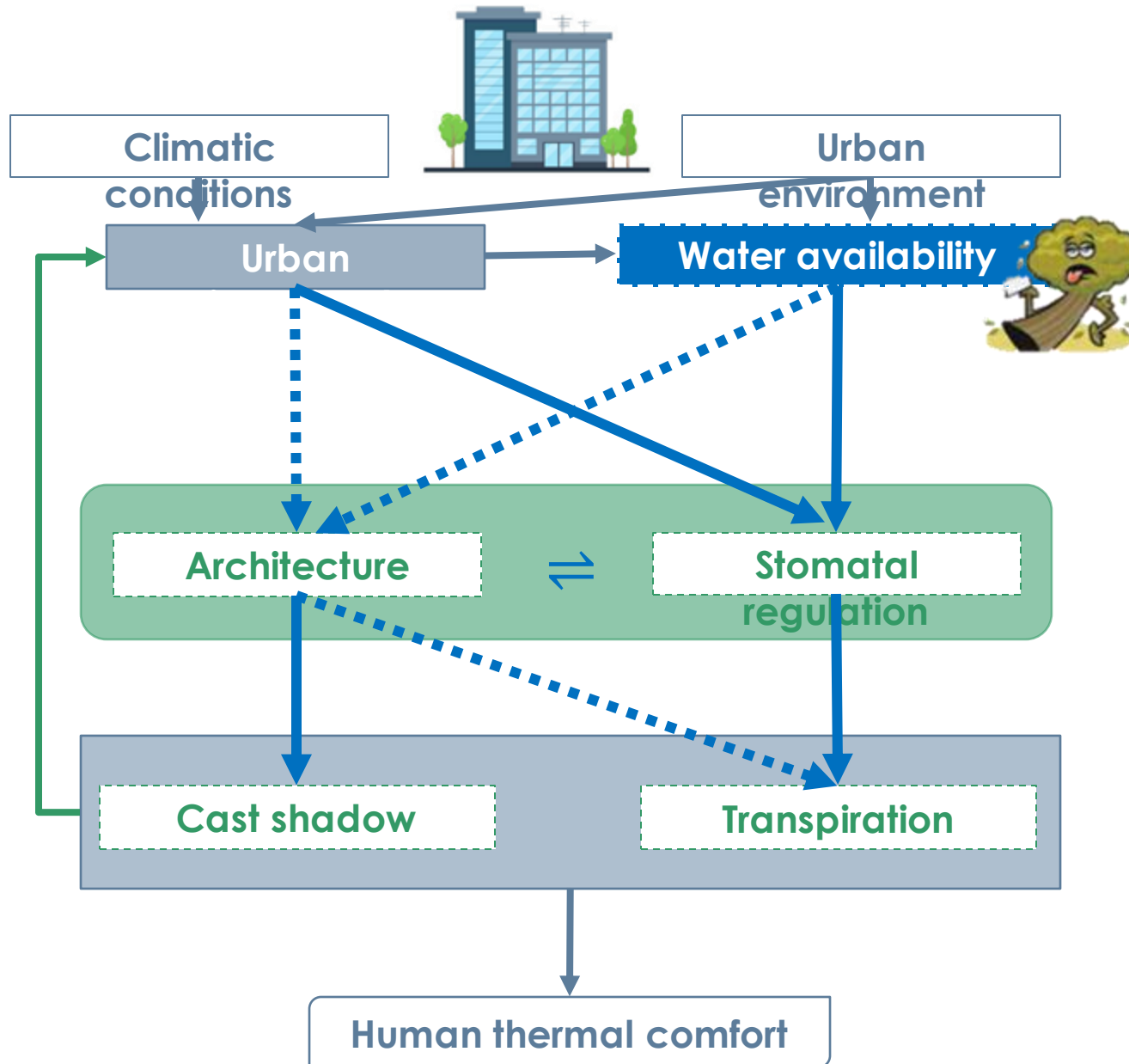
⇒ **Identify solutions for adapting to increasing heat: trees in cities are a promising line ...**  
**... but there is a need to account for increasing droughts**

Bühler et al. 2016; Rahmstorf & Ennos 2016; Rötter et al. 2021



Short  
term  
effects →

Medium  
term  
effects →



## Objectives

1. Analyze the effects of a drought period on the **architectural development** and the transpiration of alignment trees in a canyon street
2. Characterize **their consequences on the cooling services**

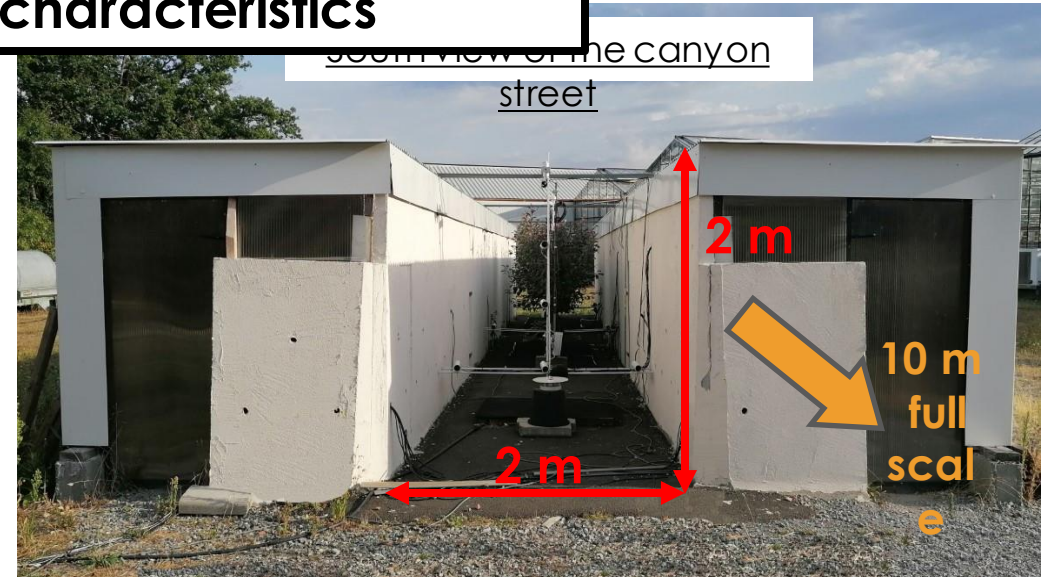
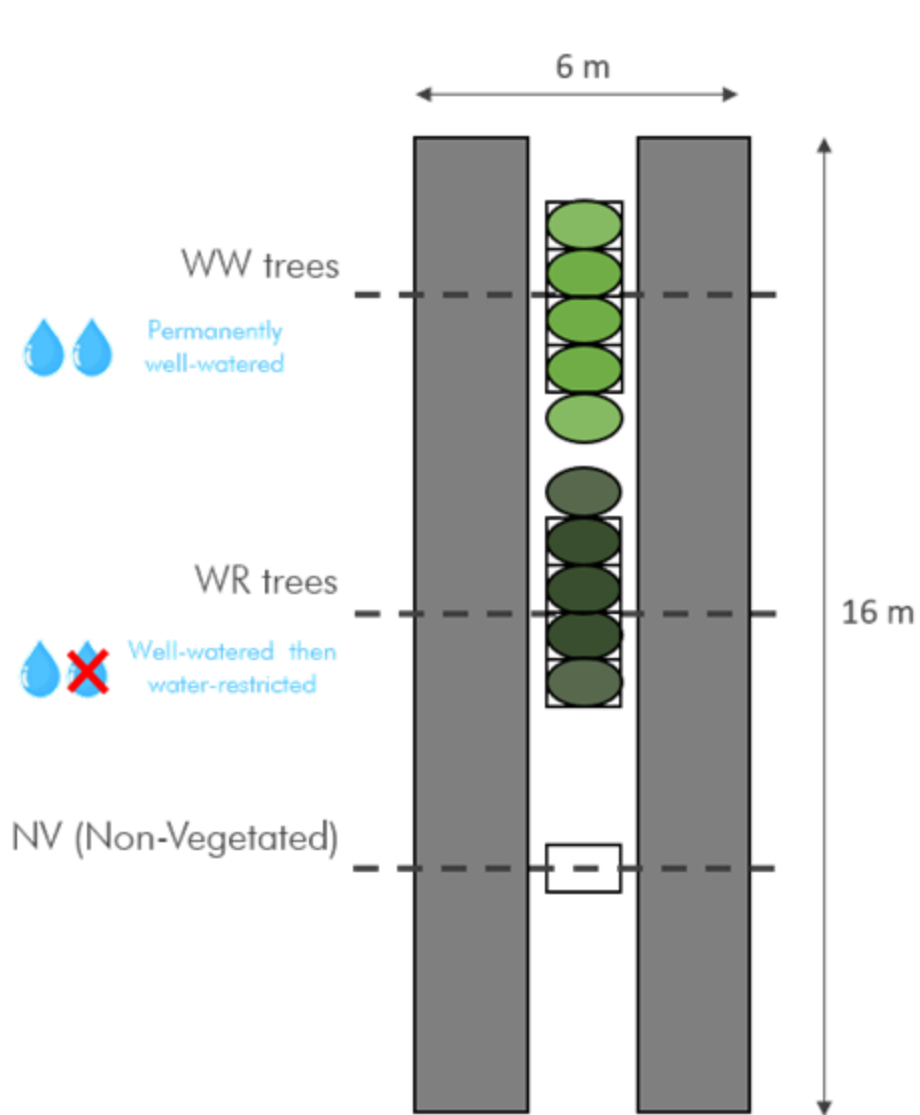
Material & Methods

Facility & Measurements





## Experimental facility characteristics



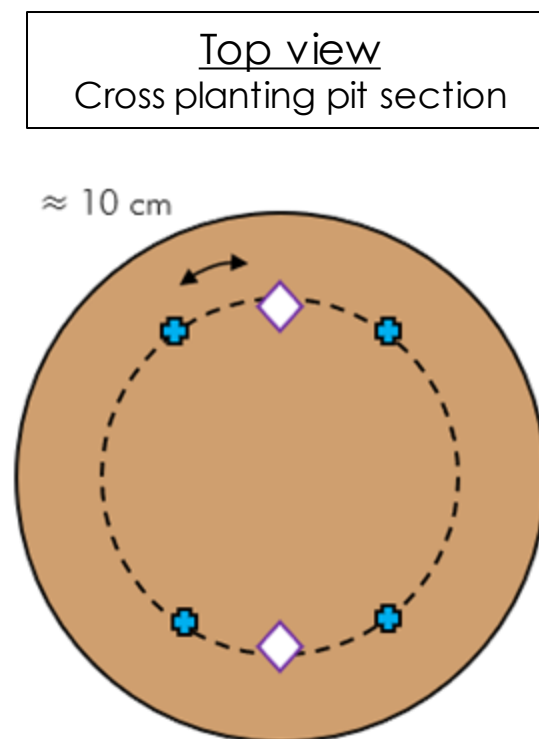
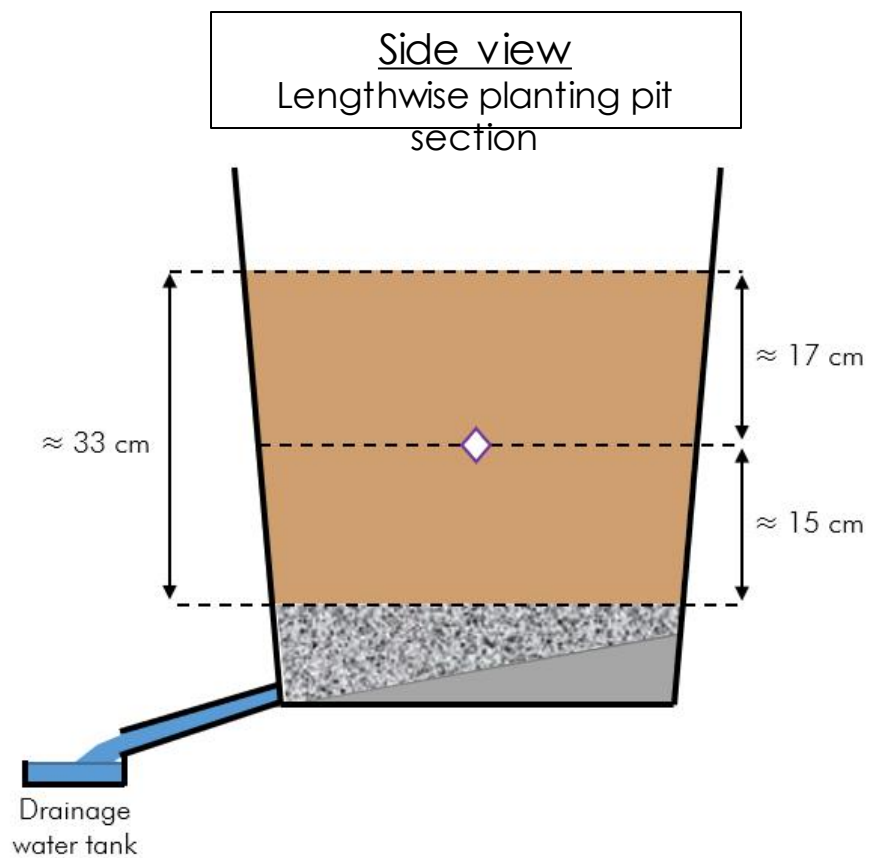
A WW tree on July 5<sup>th</sup>,  
2022

- Width : 2 meters
- Height : 2 meters
- Aspect ratio : 1
- Scale : 1/5
- 2 vegetated zones
- 1 non-vegetated zone
- 1 species *Malus Coccinella*<sup>®</sup> 'Courtarrow'

## Ground measurements

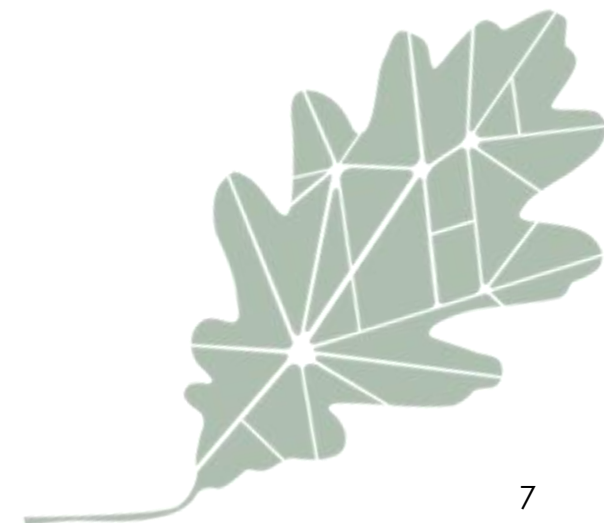
Bioclimatic sensors to characterize.

### ➤ Water availability in the soil



W ↔ E

◊ Capacitive probe [ $\text{m}^3_{\text{water}}$  /  $\text{m}^3_{\text{soil}}$ ]  
+ Dripper

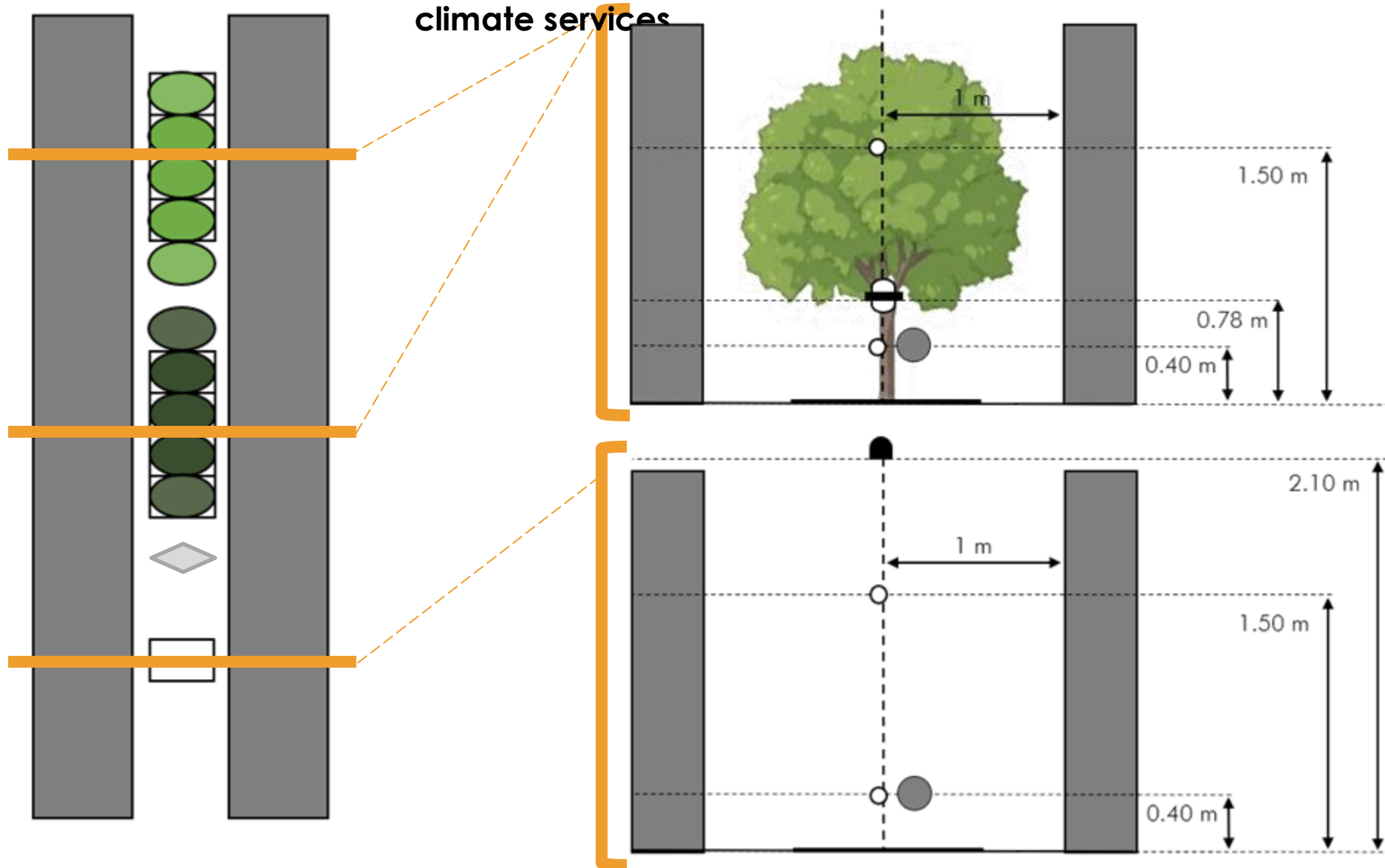




## Climate measurements

➤ Climatic conditions both in vegetation and ground zones of the street & tree

climate services



- Air temperature [ $^{\circ}\text{C}$ ] and relative humidity [%]
- Globe temperature [ $^{\circ}\text{C}$ ]
- ◐ Incident short wavelength radiation [ $\text{W m}^{-2}$ ]
- ◑ Incident and reflected short wavelength radiation [ $\text{W m}^{-2}$ ]
- ◇ Wind speed [ $\text{m s}^{-1}$ ]



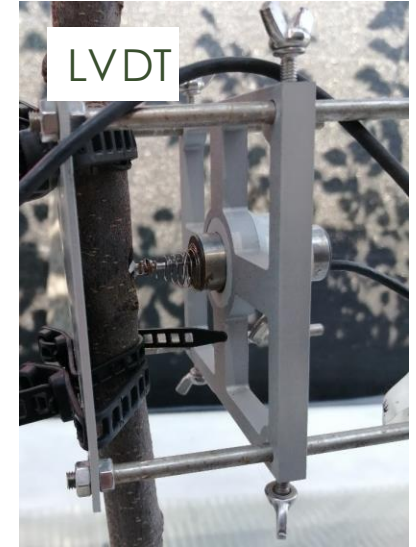
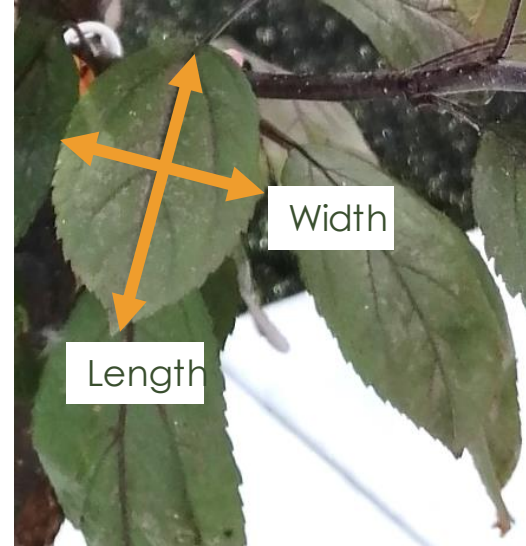
## Ecophysiological measurements

### Tree measurements

ize:

#### - Organ characteristics:

- Leaf and stem numbers and dimensions (length & width) *by manual measurements*
- Trunk diameter variations *using LVDT*



#### - Crown characteristics:

- Tree leaf area using allometric relationships *based on manual measurements of leaf length, leaf width and total foliated length of the axes*
- Crown geometry such as

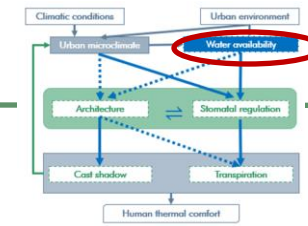
- Leaf Area Index (LAI), Leaf Area Density (LAD) *calculated from tree leaf area and crown geometry*



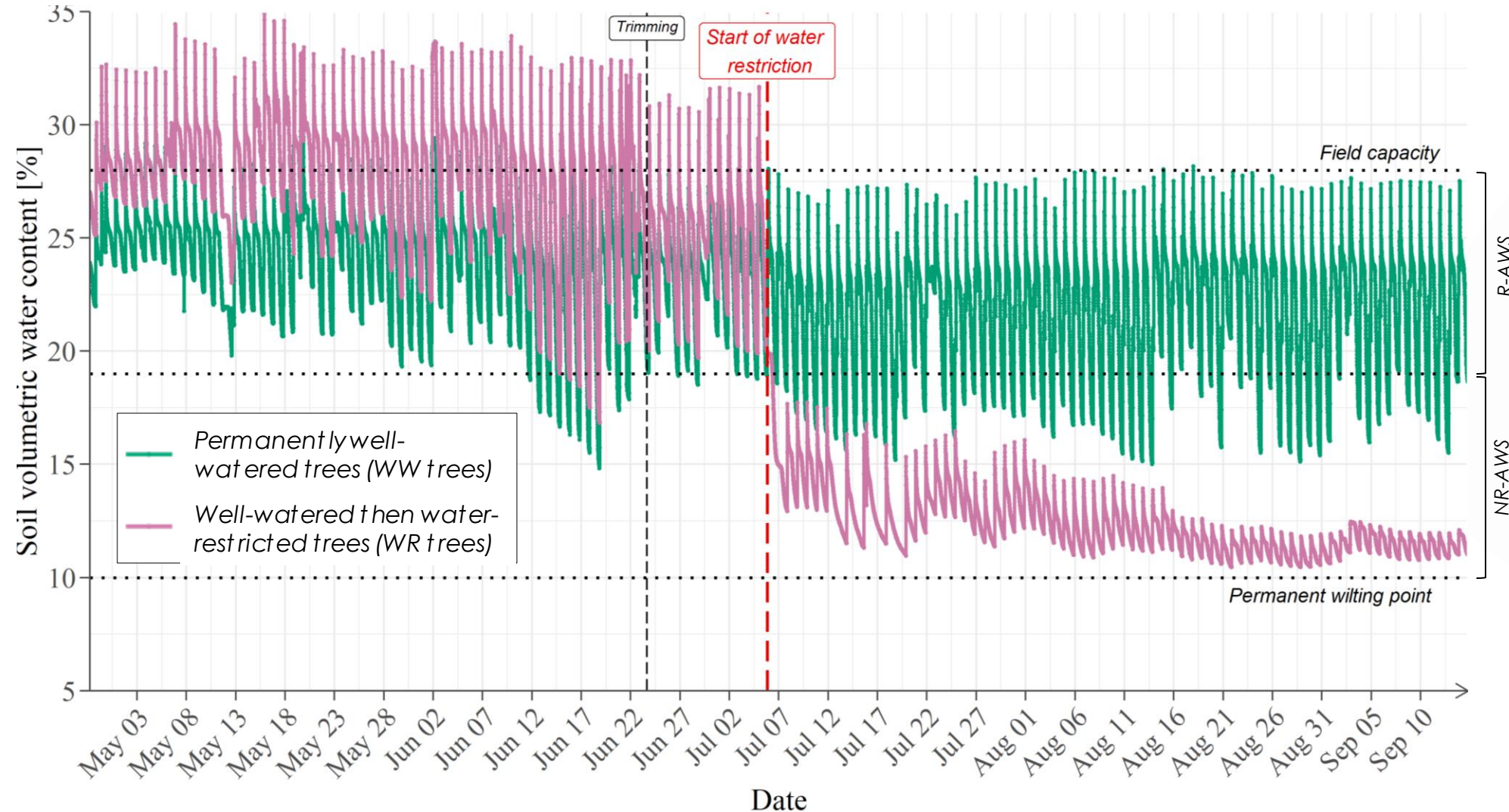


Results

# Characterization of water regime



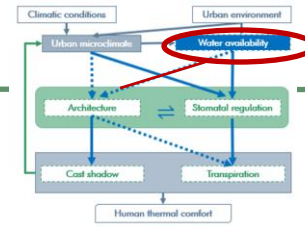
## Evolution of average soil volumetric water content



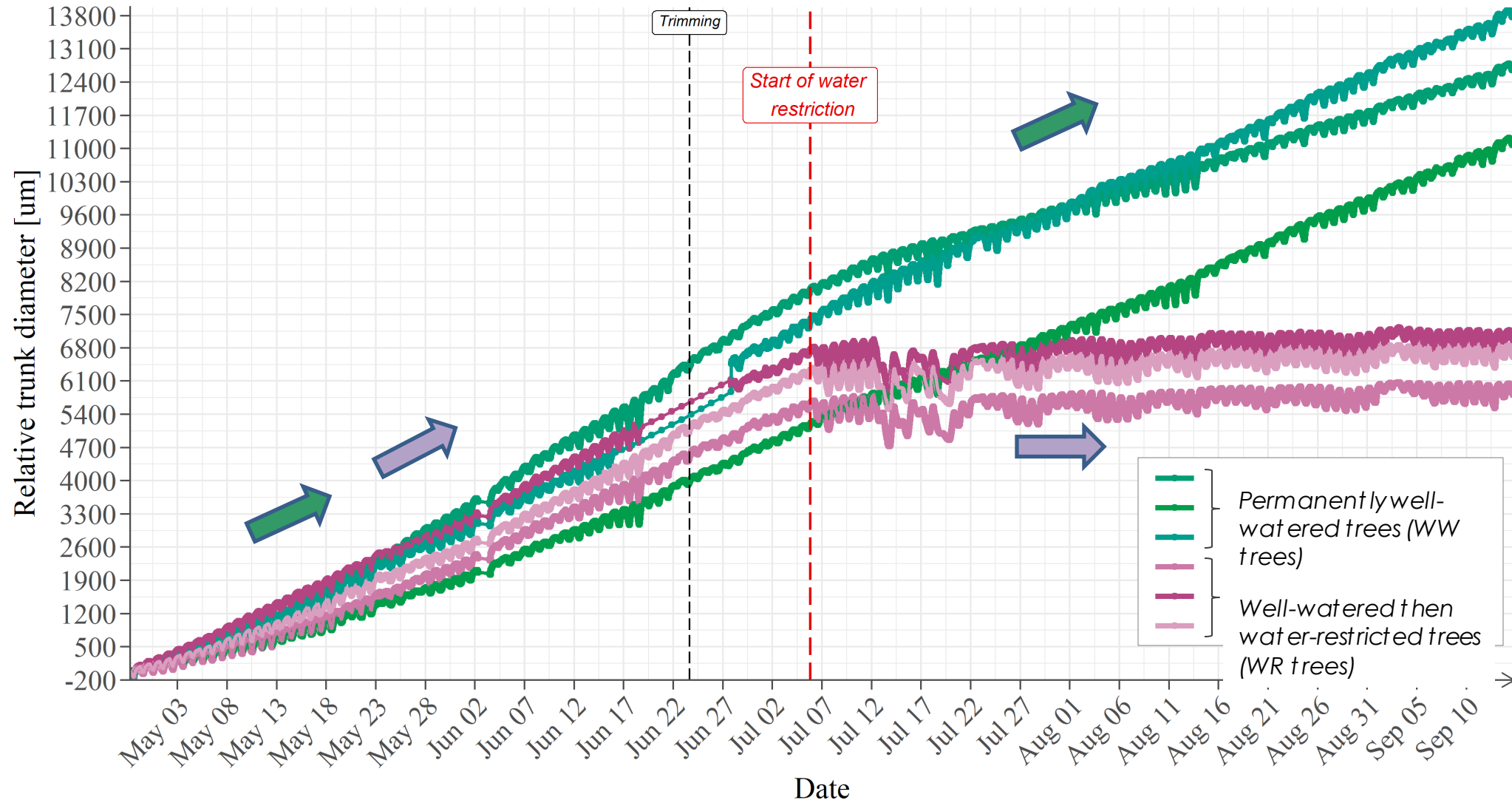
- **Before WR starts:**  
Soil volumetric water content in the readily available water storage (R-AWS) = **Well-watered conditions** for both WW trees & WR trees

- **After WR starts:**
  - Soil volumetric water content in the R-AWS = **Well-watered conditions** for WW trees
  - Soil water content in non-readily available water storage (NR-AWS) =

**Water-restriction**



## Evolution of trunks' micrometric variations of the trees



- **Before WR starts:**  
**Positive trunk secondary growth** for both WW trees & WR trees
- **After WR starts:**
  - Still positive trunk secondary growth for WW trees
  - **No trunk secondary growth** for WR trees

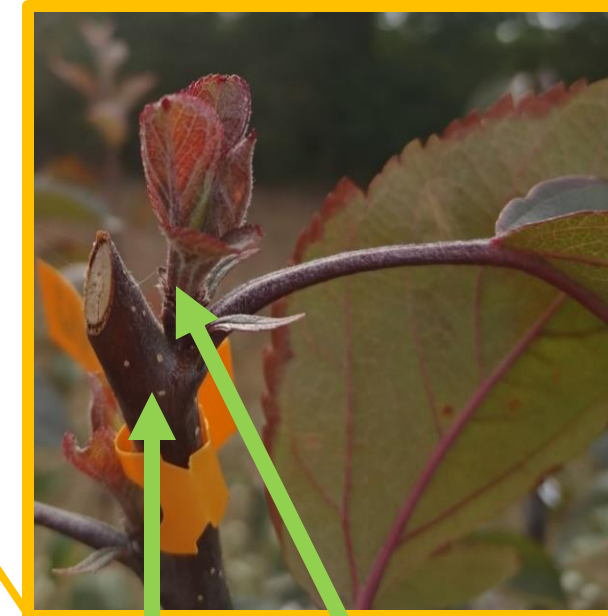


Results

# Impact of water restriction on tree architecture



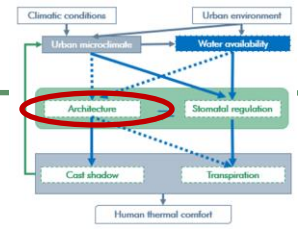
2022.07.22



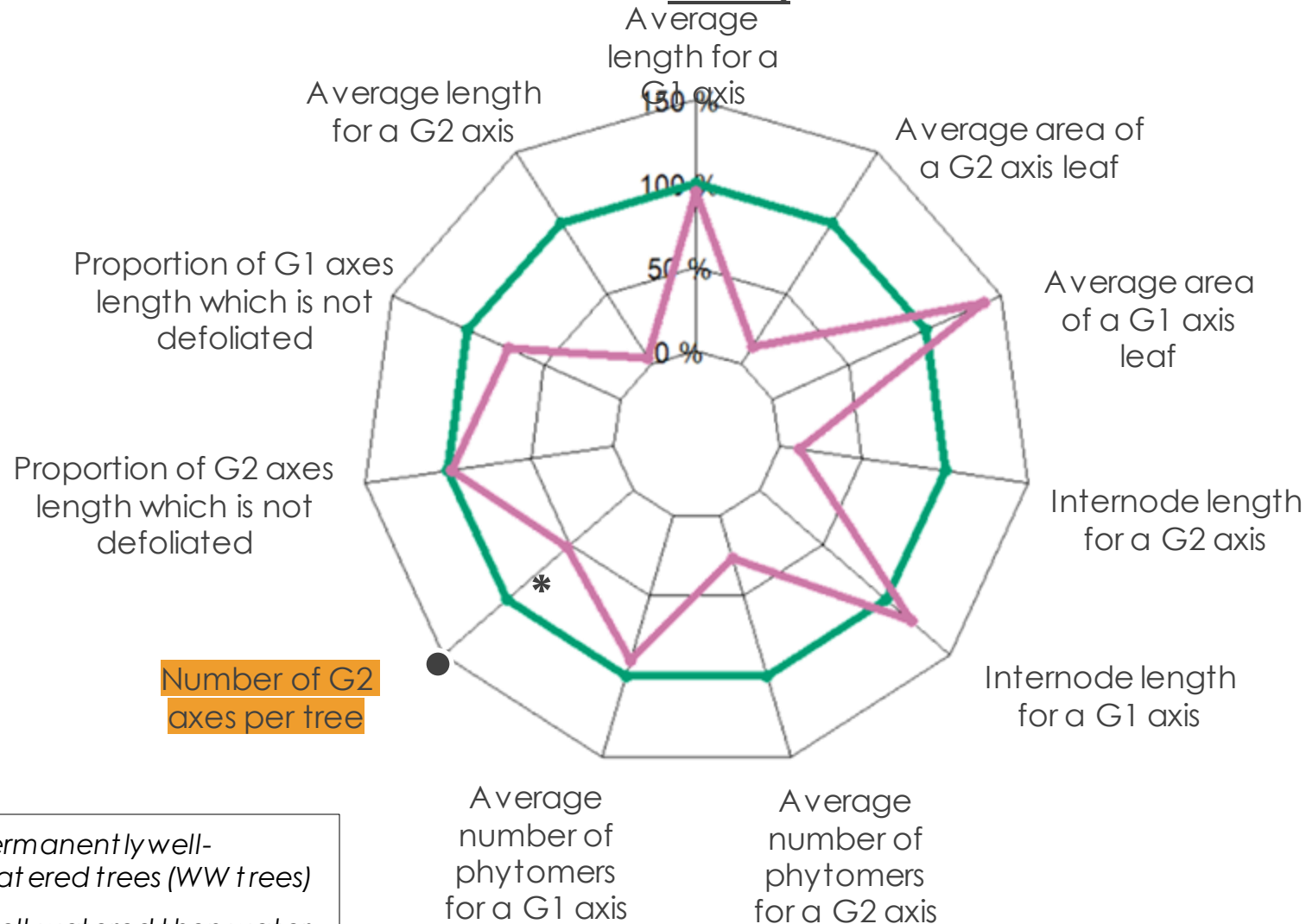
**Trimming to maintain coherent tree dimensions, which resulted  
in new branches development rather than evolution of formerly  
developed axes**



After 7 water-restricted weeks (for WR trees)



### Architectural variables involved in crown structure (relative values)

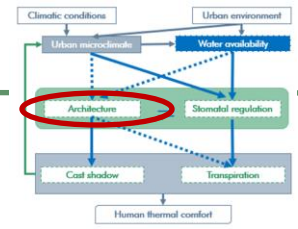


Water restriction implies:

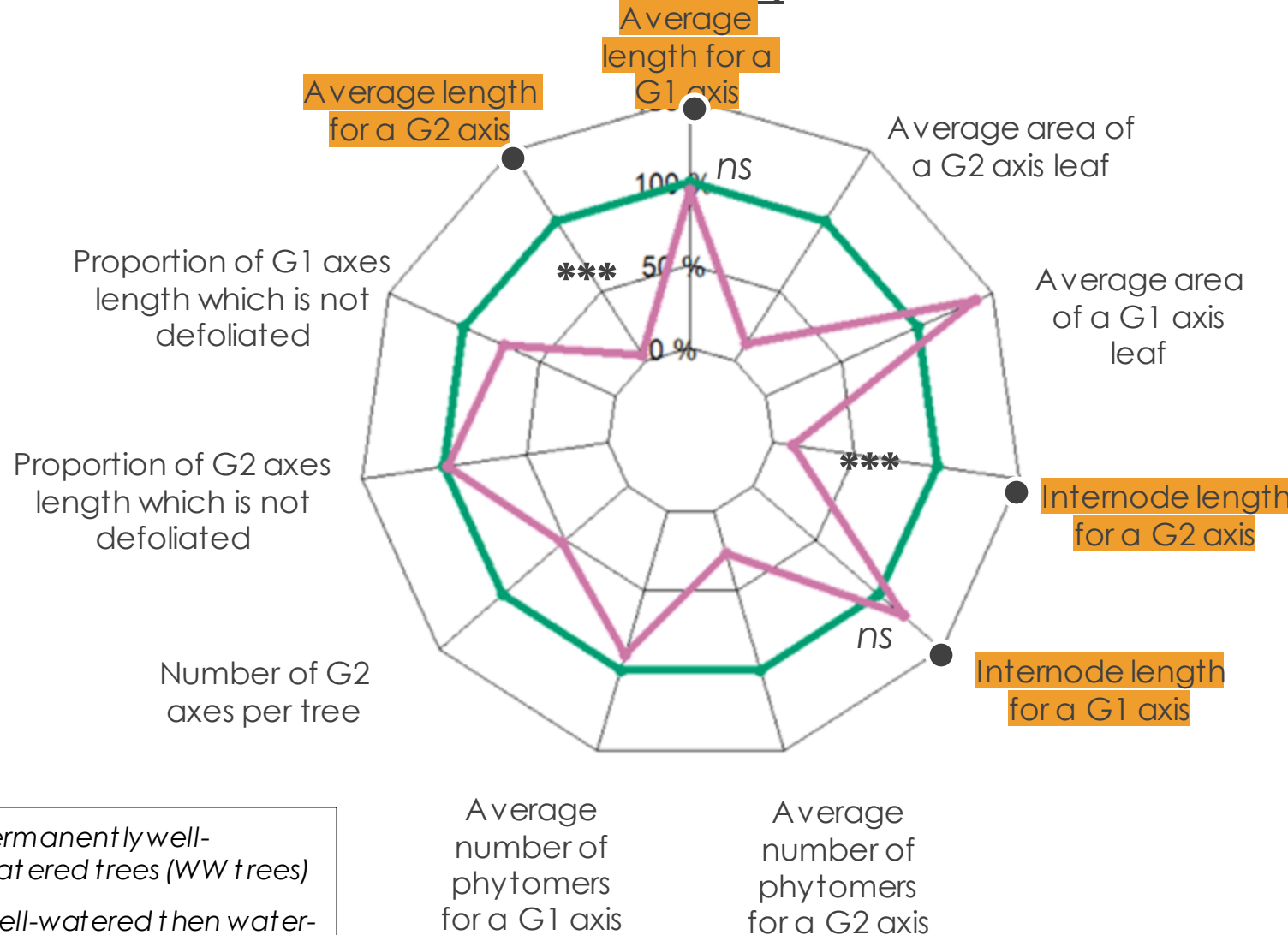
- 1) Branching:**  $\downarrow$  of the number of newly formed axis



After 7 water-restricted weeks (for WR trees)



Architectural variables involved in crown structure (relative values)

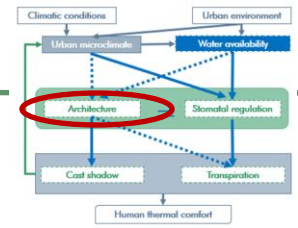


Water restriction implies:

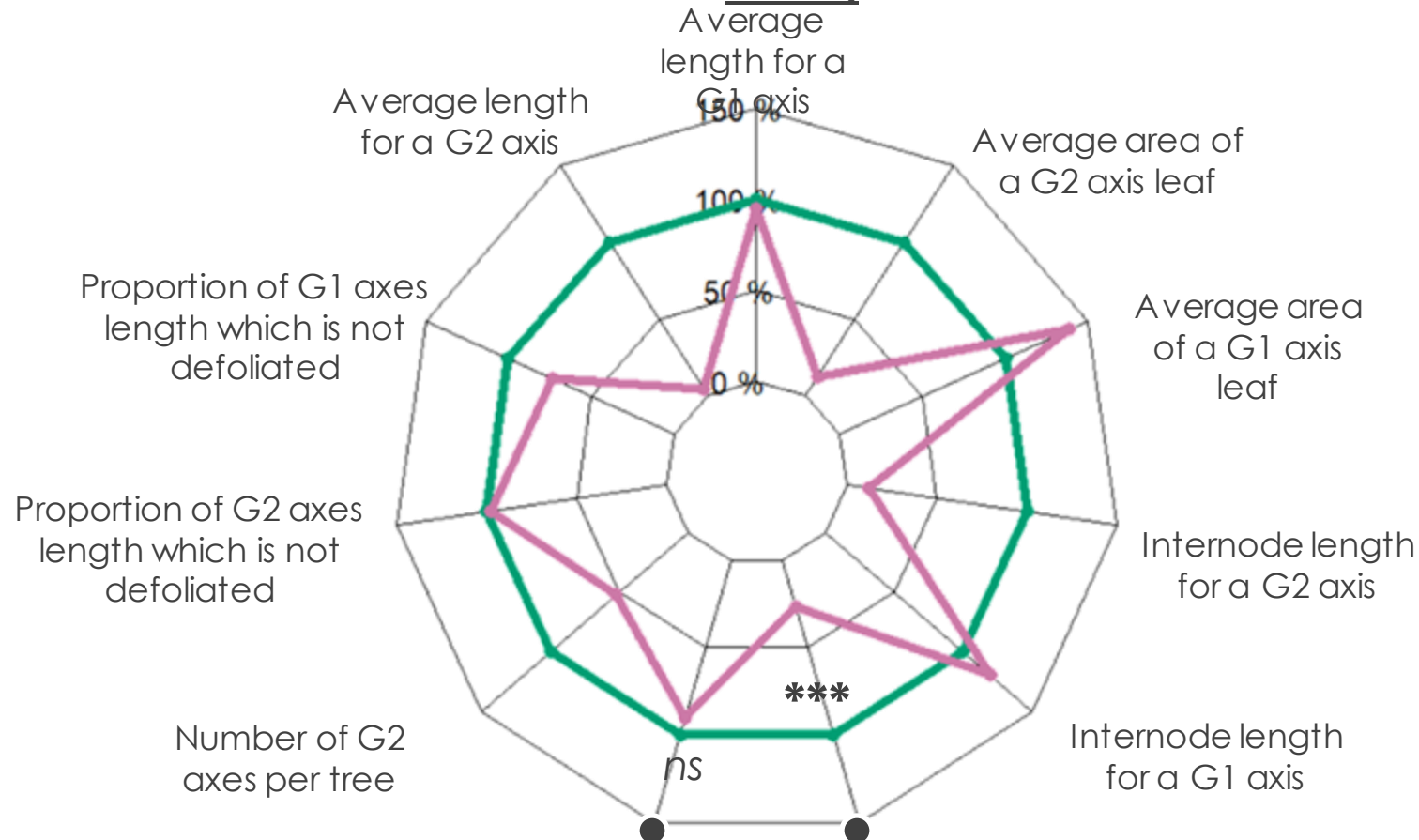
- 1) **Branching:**  $\searrow$  of the number of newly formed axis
- 2) **Elongation** of newly formed axis  $\searrow$



After 7 water-restricted weeks (for WR trees)



**Architectural variables involved in crown structure (relative values)**

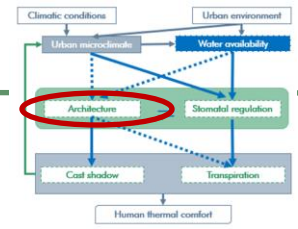


**Water restriction implies:**

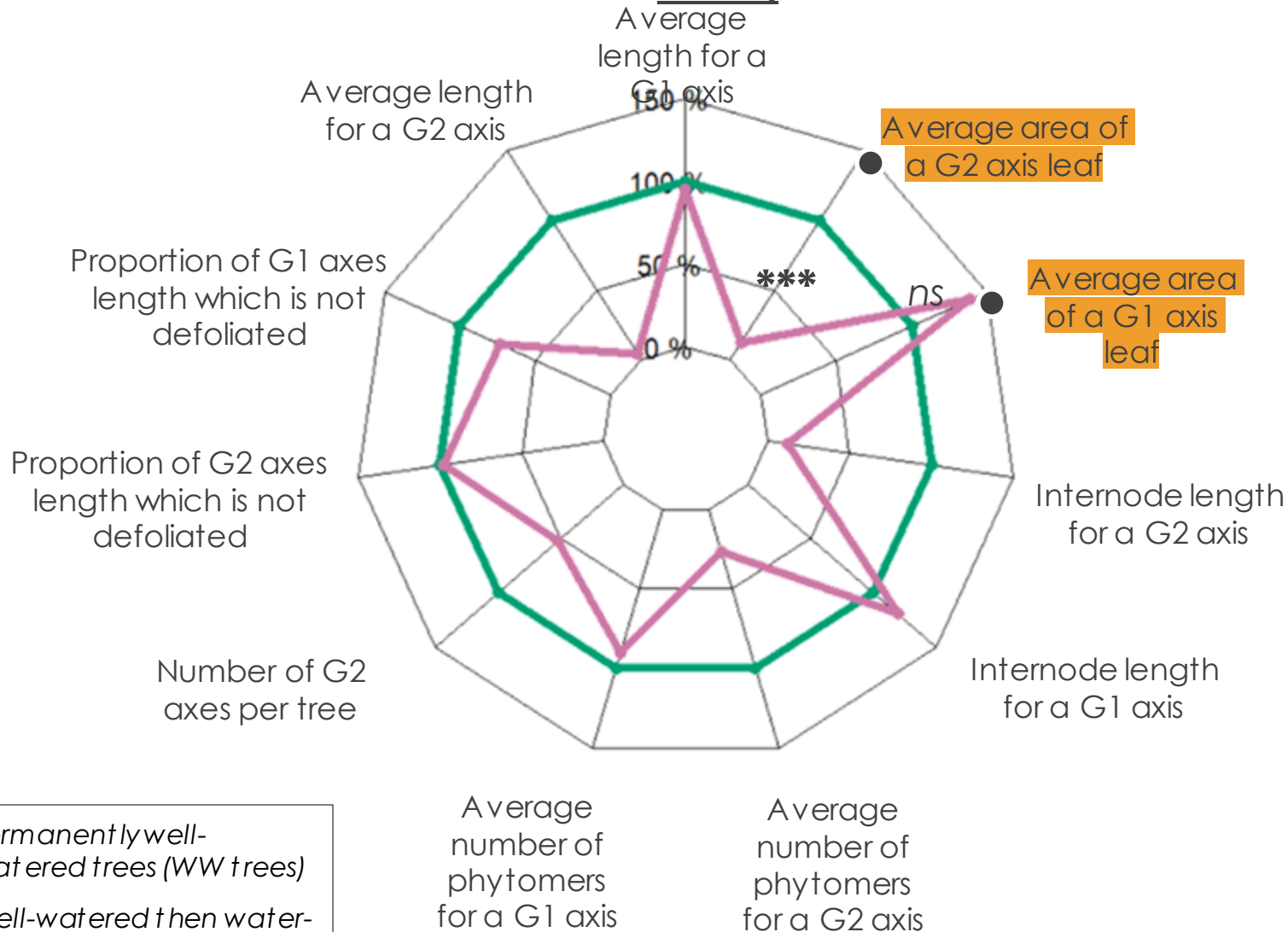
- 1) Branching:**  $\searrow$  of the number of newly formed axis
- 2) Elongation** of newly formed axis  $\searrow$
- 3) Phytomer (and leaf) formation:**  $\searrow$  in newly formed axes



After 7 water-restricted weeks (for WR trees)



**Architectural variables involved in crown structure (relative values)**

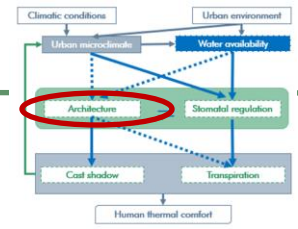


**Water restriction implies:**

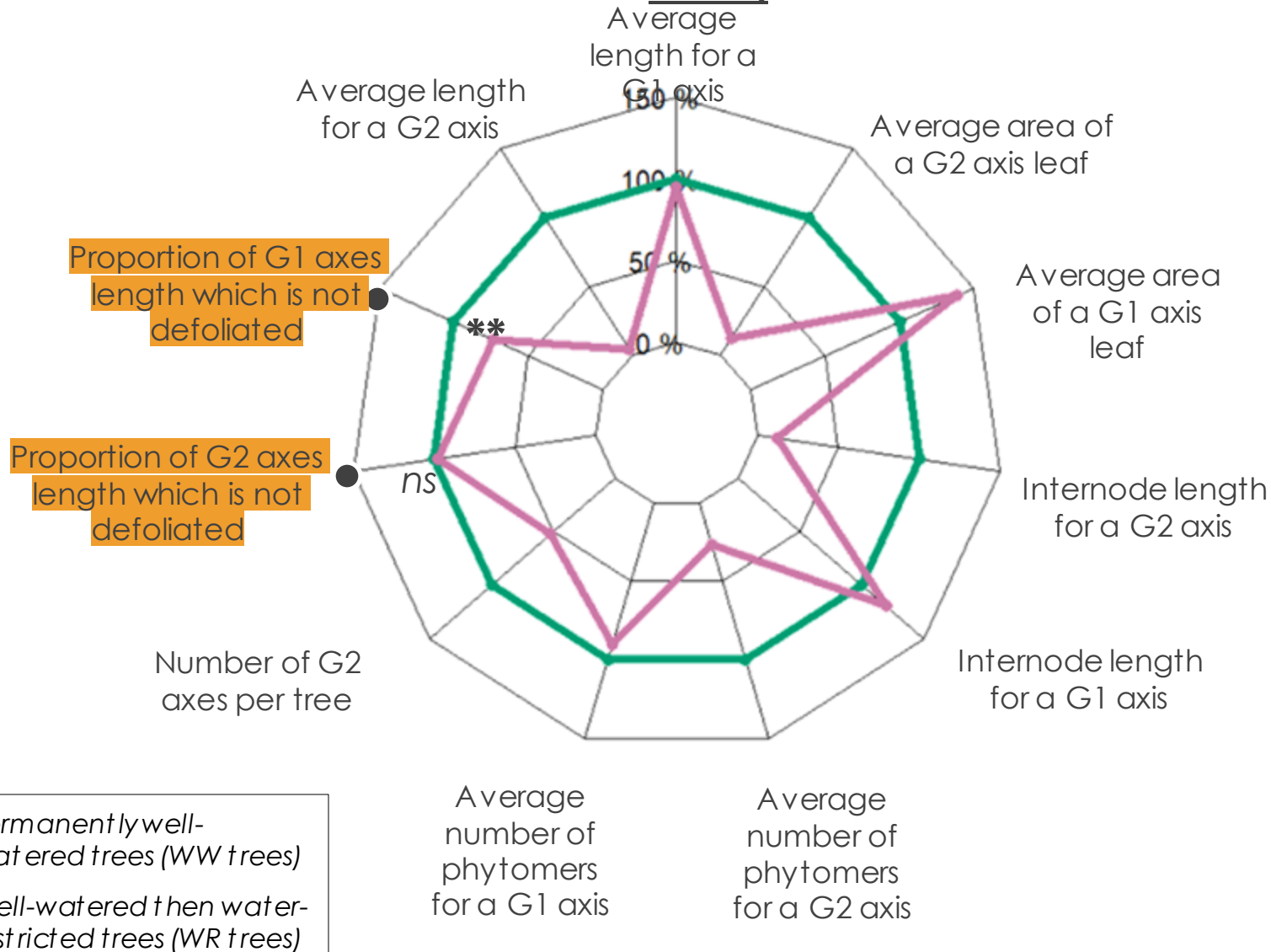
- 1) **Branching:**  $\searrow$  of the number of newly formed axis
- 2) **Elongation** of newly formed axis  $\searrow$
- 3) **Phytomer (and leaf) formation:**  $\searrow$  in newly formed axes
- 4) **Foliar expansion** of leaves carried out by newly formed axis  $\searrow$



After 7 water-restricted weeks (for WR trees)



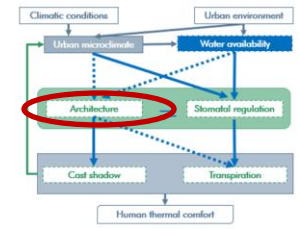
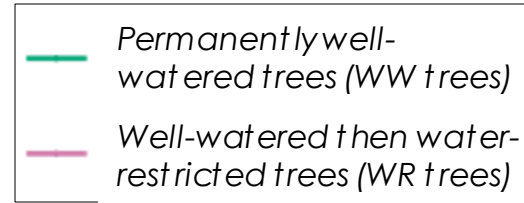
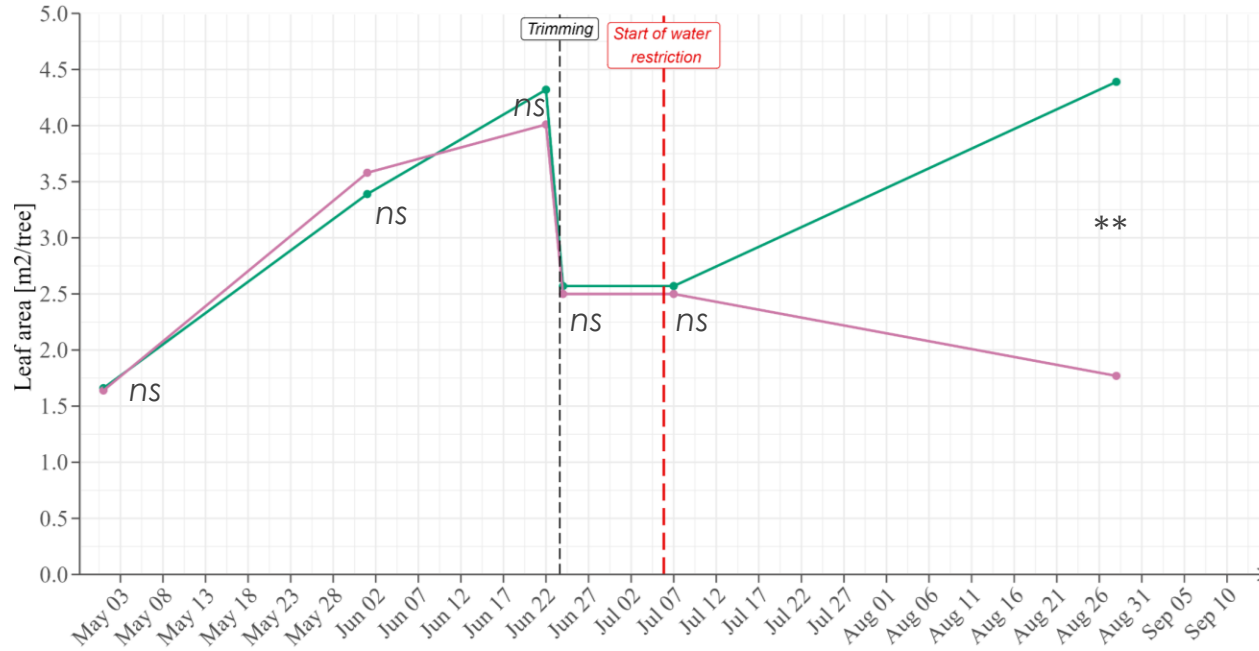
**Architectural variables involved in crown structure (relative values)**



**Water restriction implies:**

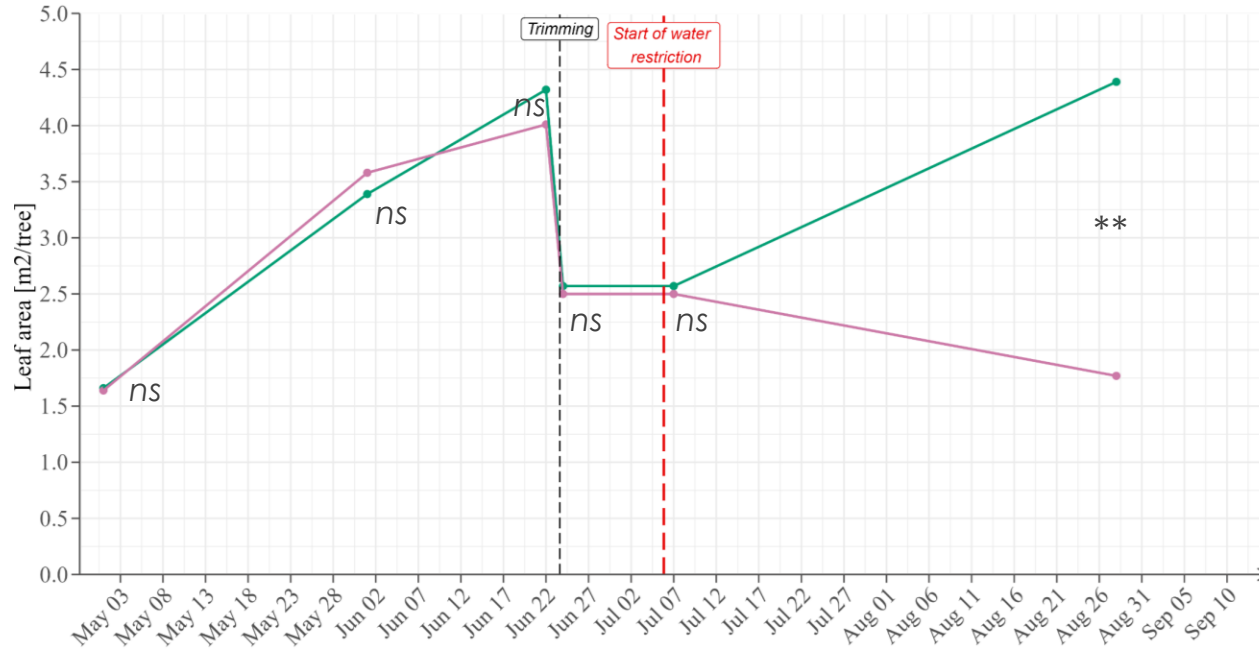
- 1) **Branching:**  $\searrow$  of the number of newly formed axis
- 2) **Elongation** of newly formed axis  $\searrow$
- 3) **Phytomer (and leaf) formation:**  $\searrow$  in newly formed axes
- 4) **Foliar expansion** of leaves carried out by newly formed axis  $\searrow$
- 5) **Defoliation** of formerly formed axes  $\nearrow$

## Evolution of leaf area of the trees

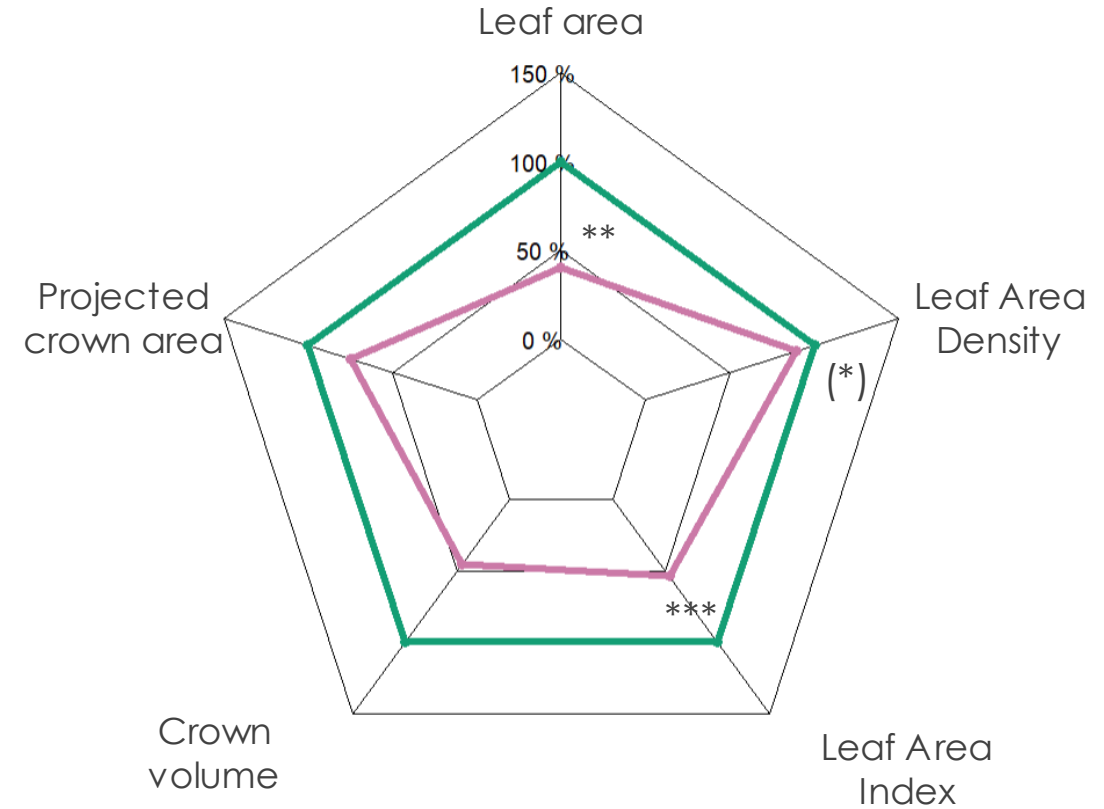
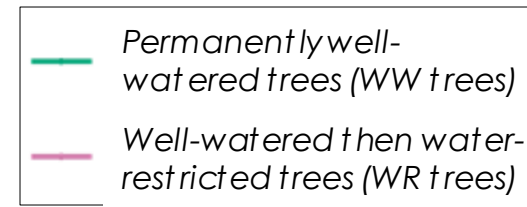


- **Before WR starts:**  
Leaf area of WW trees ≈  
Leaf area of WR trees
- **After 7 water-restricted weeks:**  
Leaf area of WW trees >>  
Leaf area of WR trees,  
meaning **effects of water restriction on architectural processes affect the leaf area**

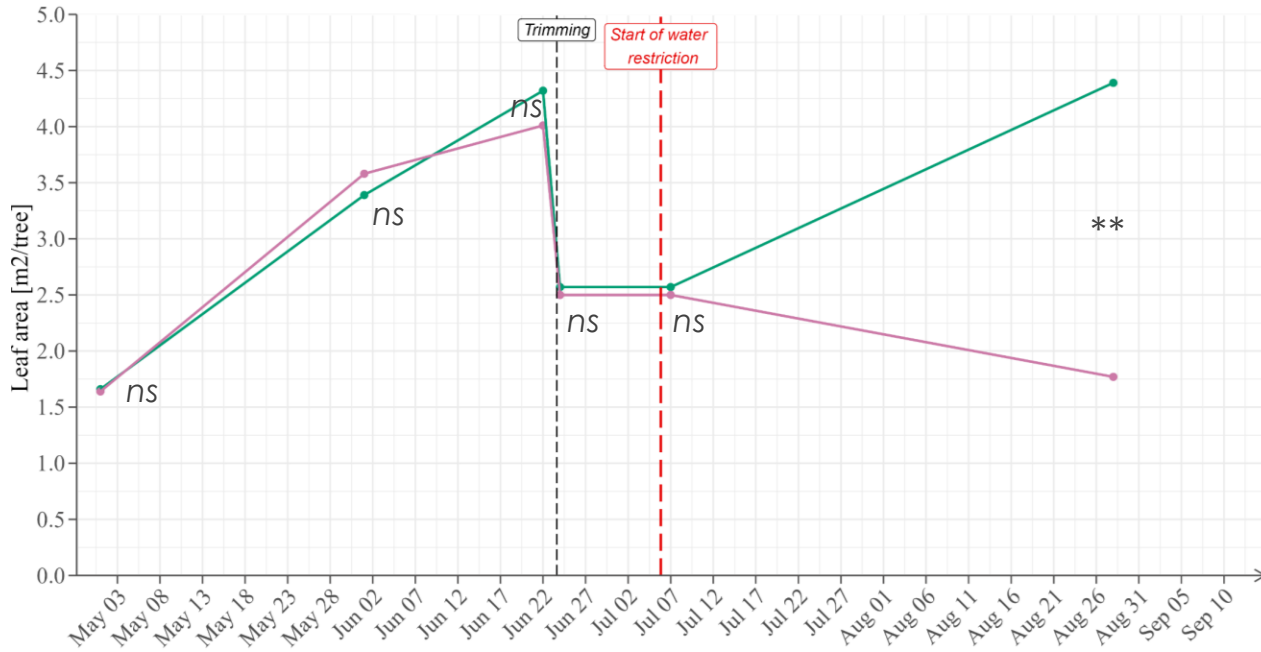
## Evolution of leaf area of the trees



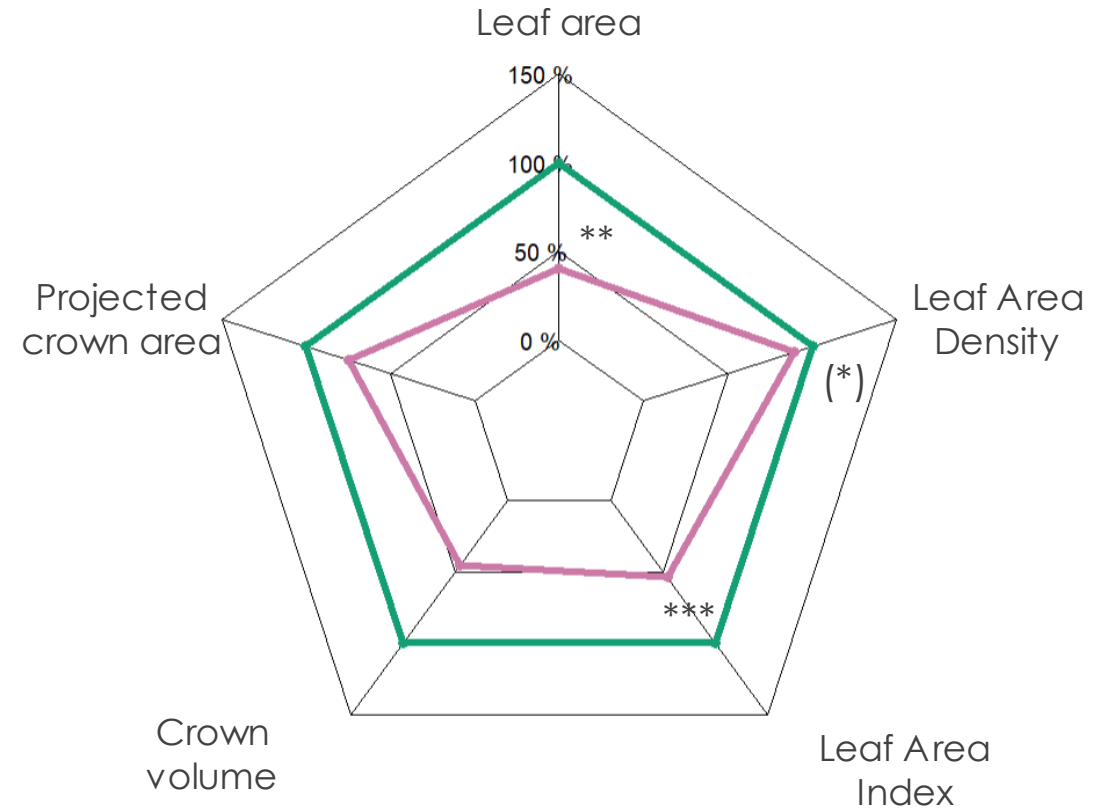
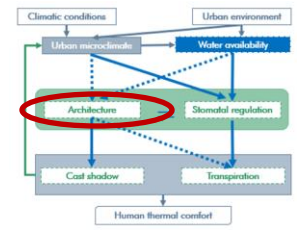
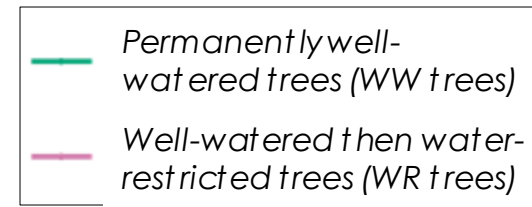
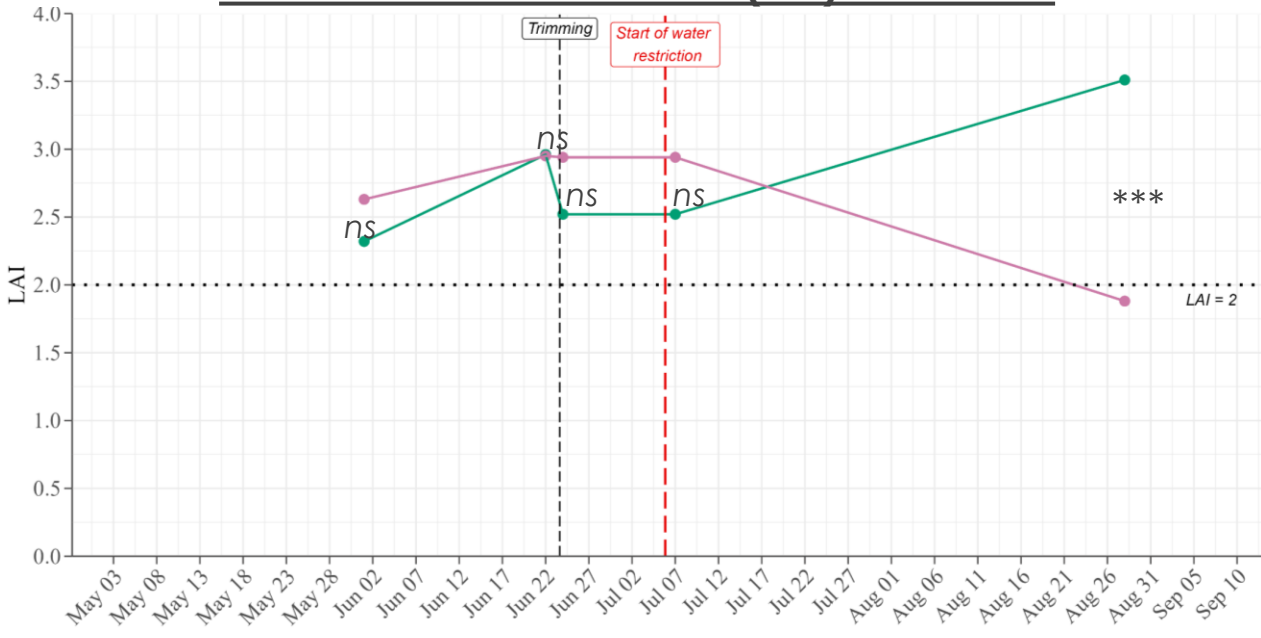
Crown geometry variables such as LAI are reduced after 7 weeks of water restriction due to reduction in leaf area



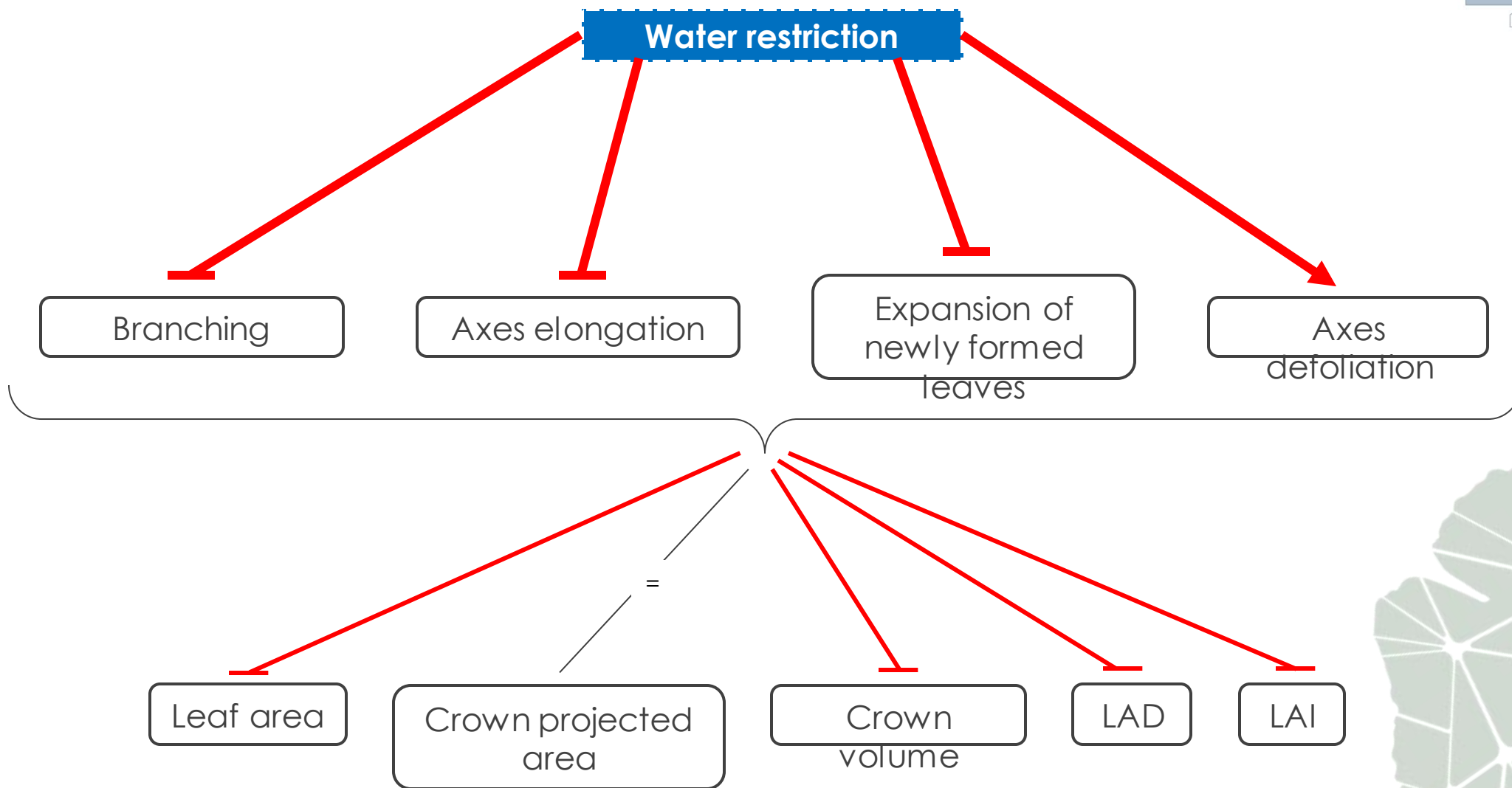
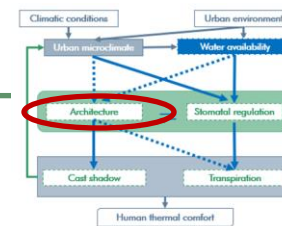
## Evolution of leaf area of the trees



### Evolution of leaf area index (LAI) of the trees

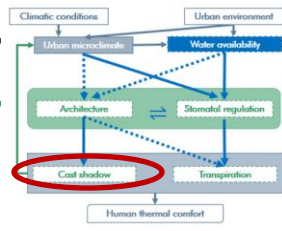


LAI values are **higher than or close to 2 during the whole season**, even after the water-restricted period for WR trees (=1.88)

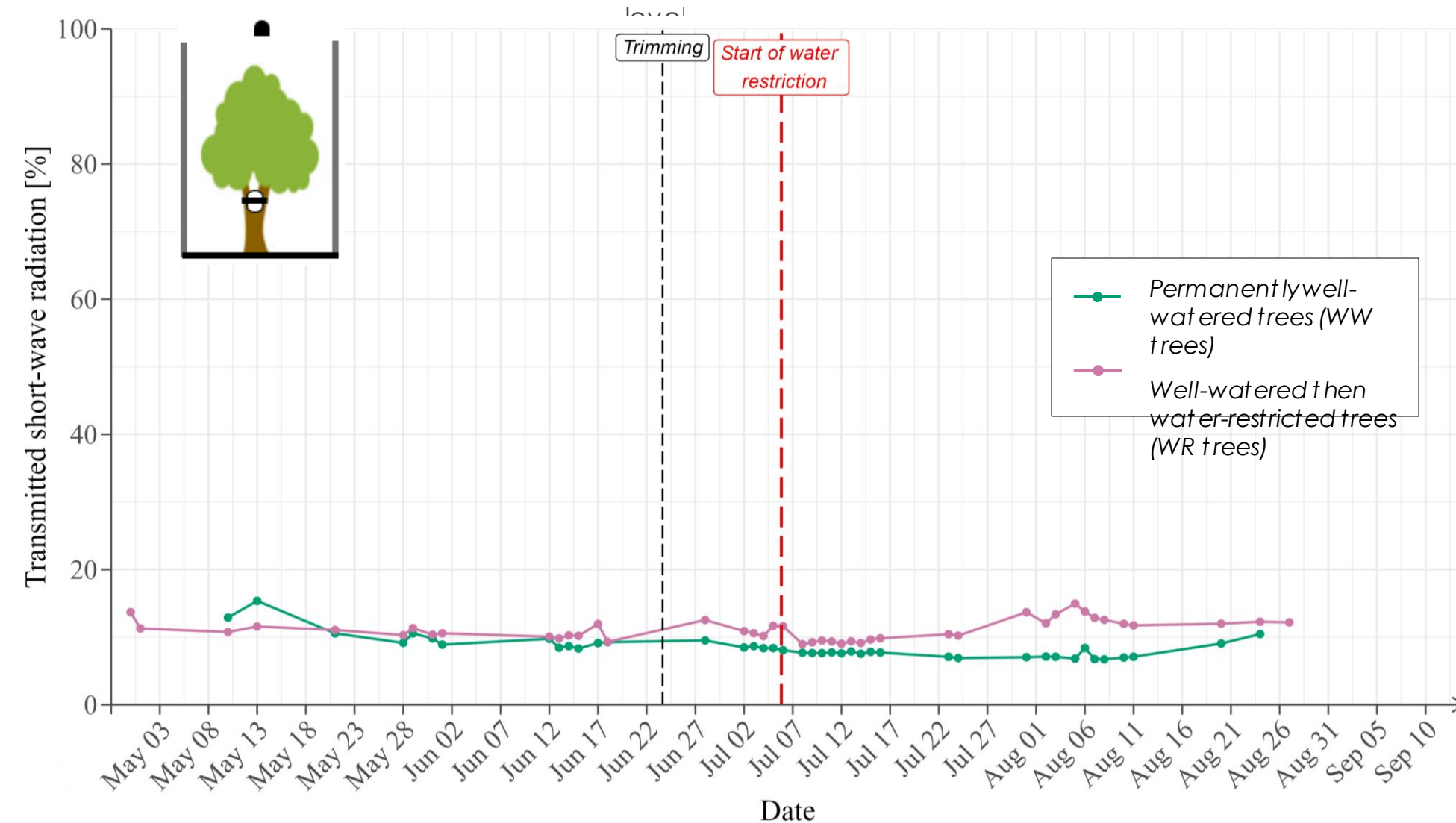


## Results

Impact of tree architectural  
modifications on associated  
climate services



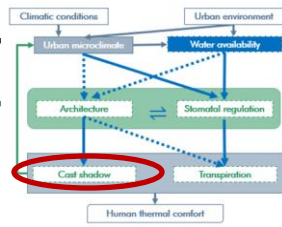
## Evolution of the percentage of short wavelength transmitted radiation measured under the crown (at 0.78 m above street ground)



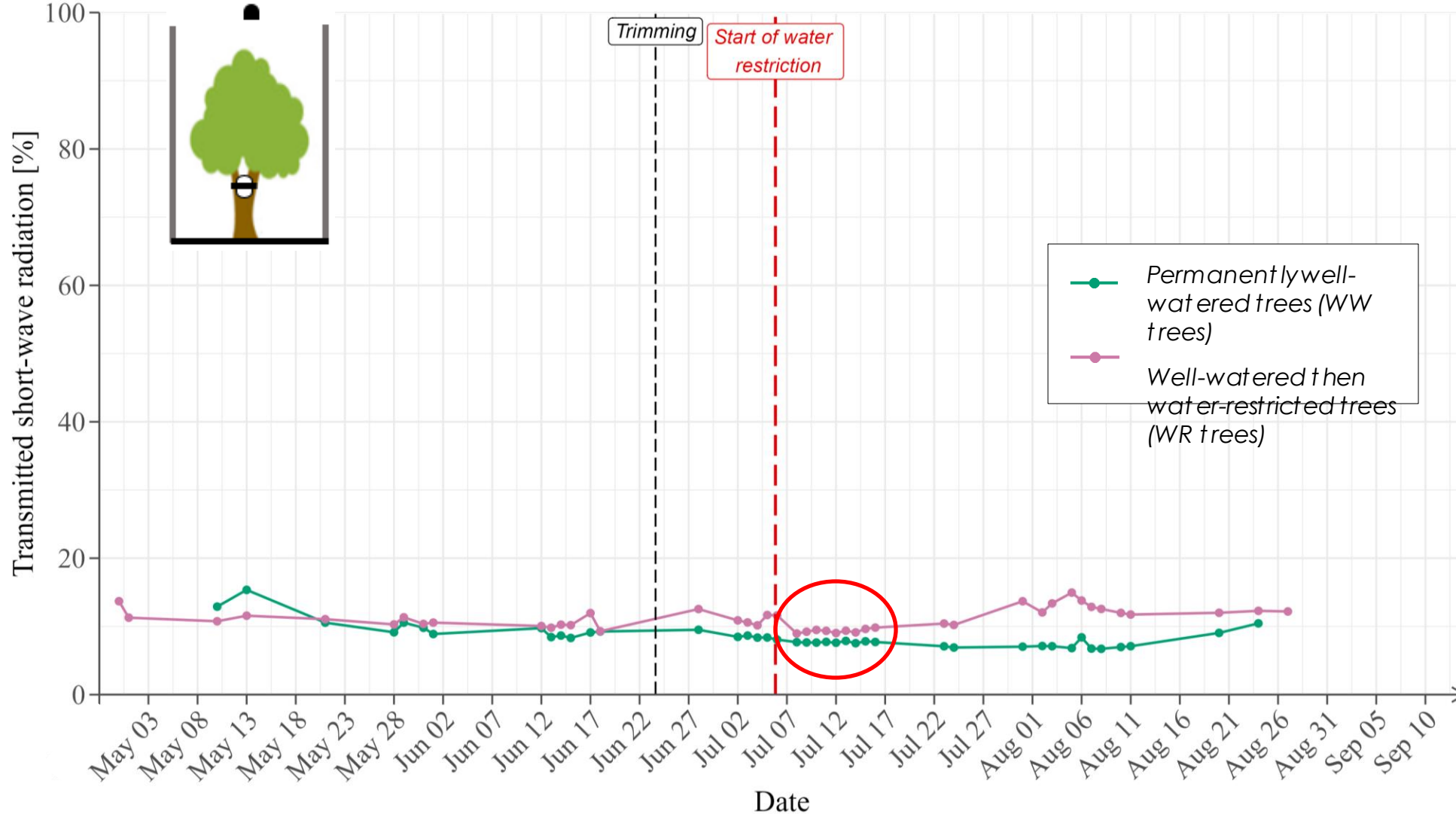
- **Before & after WR starts:**

Both WW trees and WR trees afford strong cast shadow





## Evolution of the percentage of short wavelength transmitted radiation measured under the crown (at 0.78 m above street ground)

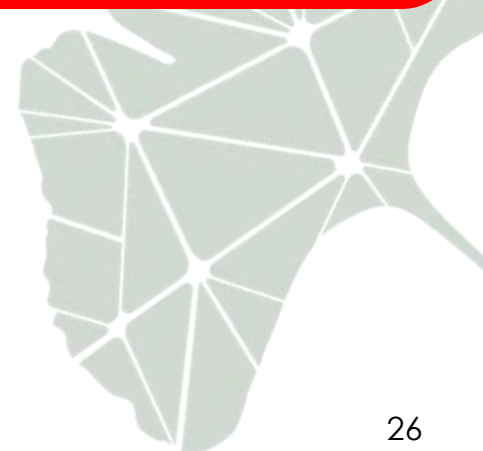


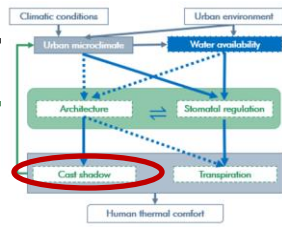
### Before & after WR starts:

Both WW trees and WR trees afford strong cast shadow

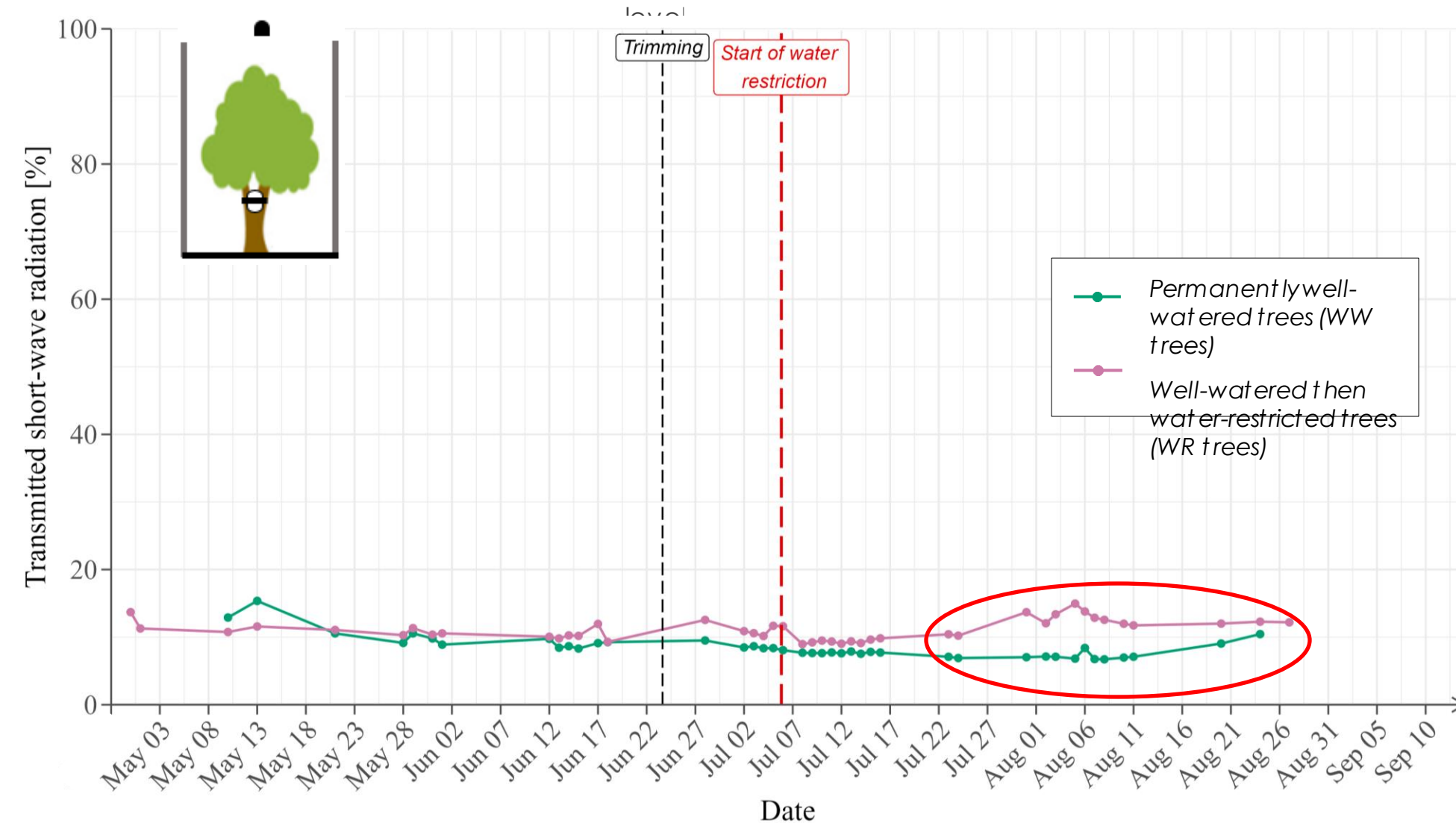
### After WR starts:

1) Cast shadow provided by WW trees  $\approx$  WR trees





## Evolution of the percentage of short wavelength transmitted radiation measured under the crown (at 0.78 m above street ground)



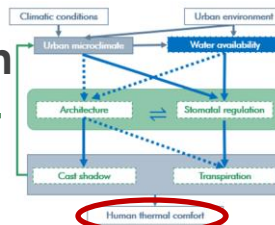
### ○ Before & after WR starts:

Both WW trees and WR trees afford strong cast shadow

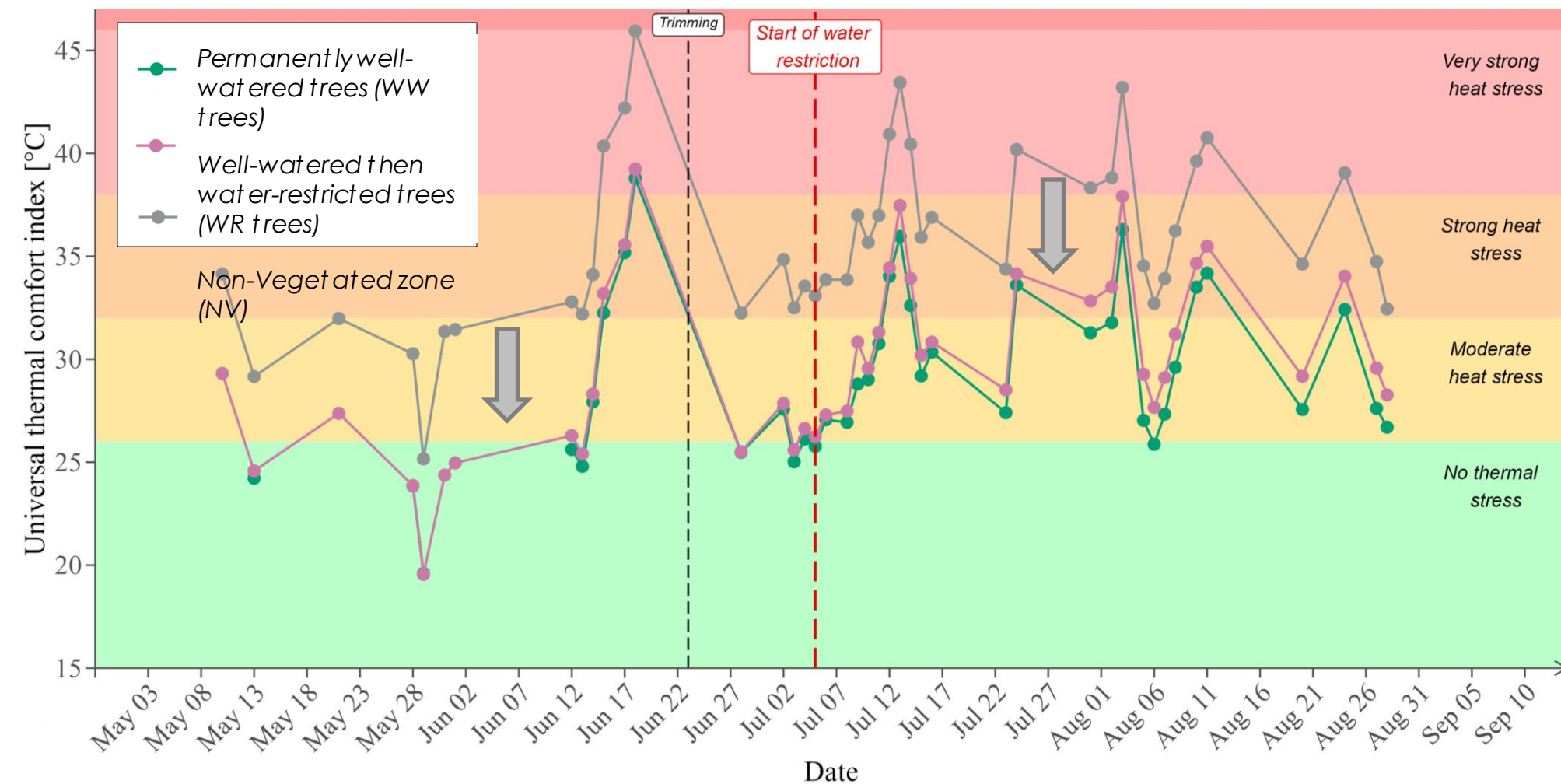
### ○ After WR starts:

1) Cast shadow provided by WW trees  $\approx$  WR trees

2) Cast shadow under WR trees is 5% less than under WW trees



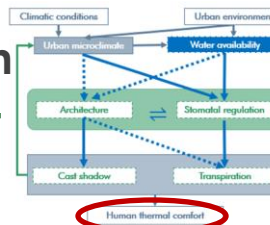
## Evolution of the UTCI at human height (at 0.40 m above street ground level at reduced scale)



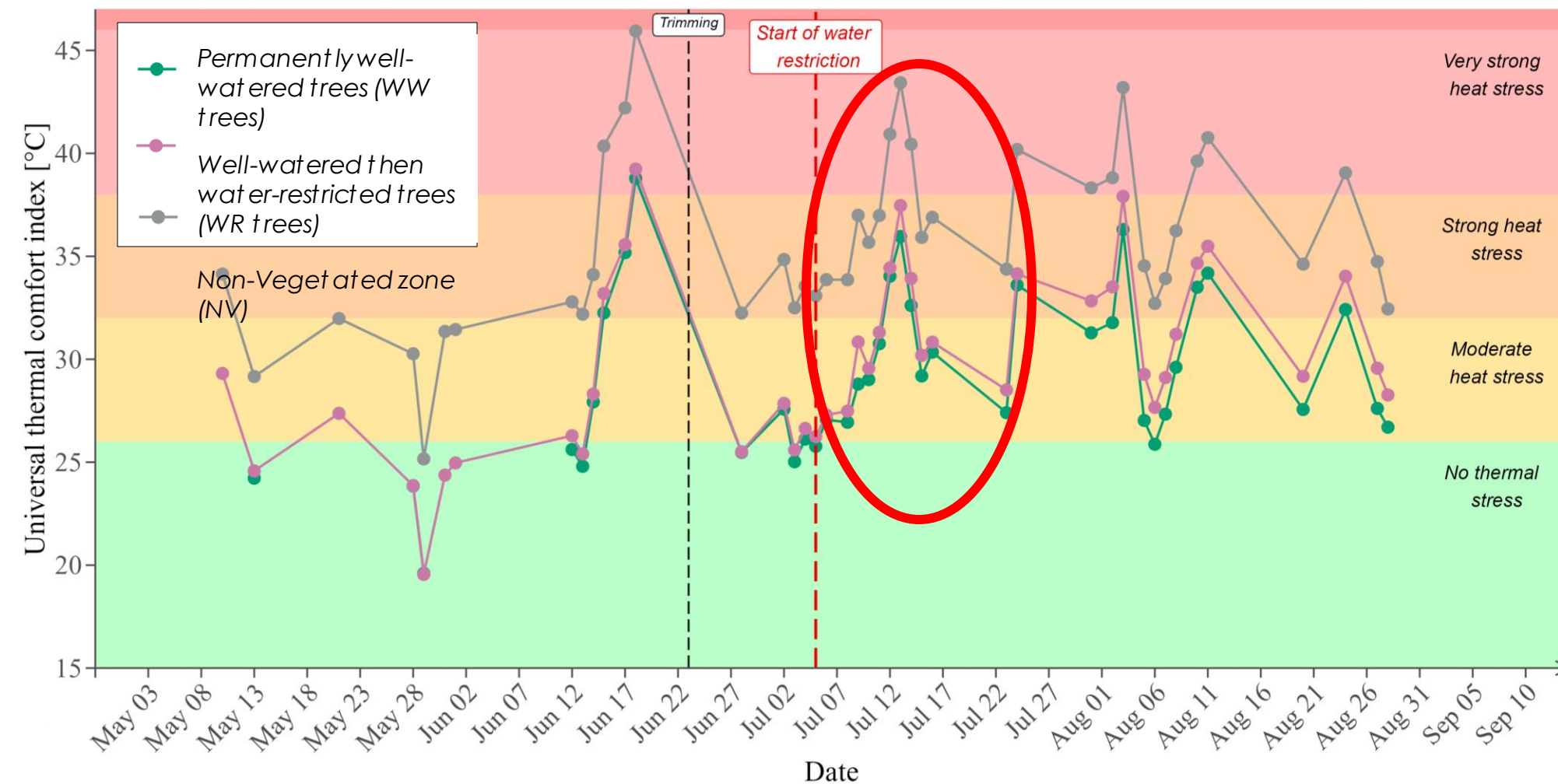
- On the whole study period, i.e. **even when air temperature & hydric restriction** ↗:

UTCI reduced under both WW trees and WR trees





## Evolution of the UTCI at human height (at 0.40 m above street ground level at reduced scale)

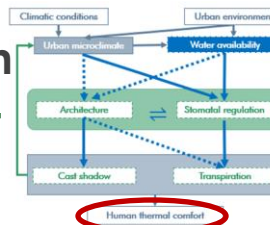


- On the whole study period, i.e. **even when air temperature & hydric restriction** ↗:

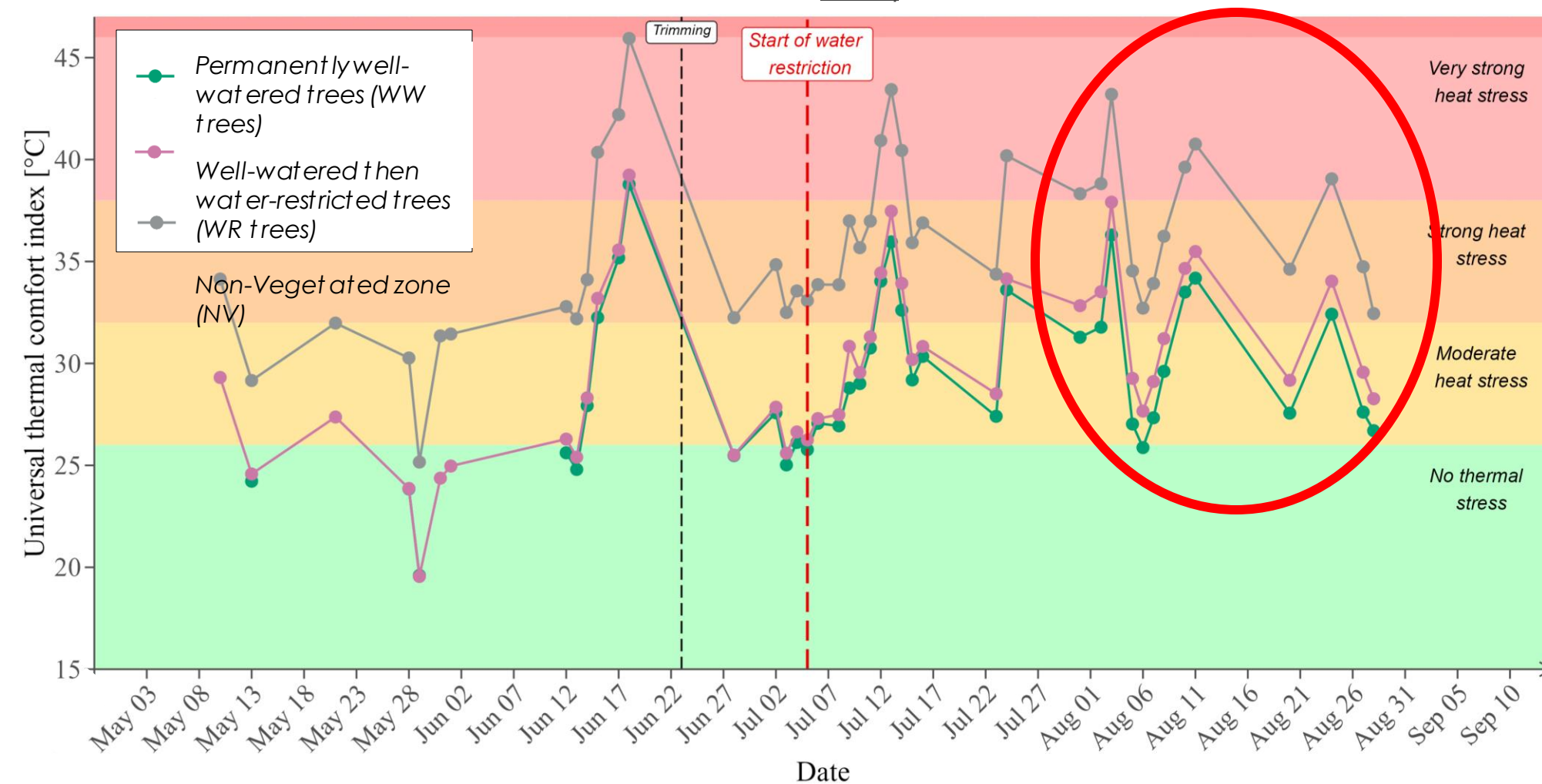
UTCI reduced under both WW trees and WR

- After WR starts:**

1) UTCI provided by WW trees ≈ WR trees



## Evolution of the UTCI at human height (at 0.40 m above street ground level at reduced scale)



- On the whole study period, i.e. **even when air temperature & hydric restriction** ↗:

UTCI reduced under both WW trees and WR

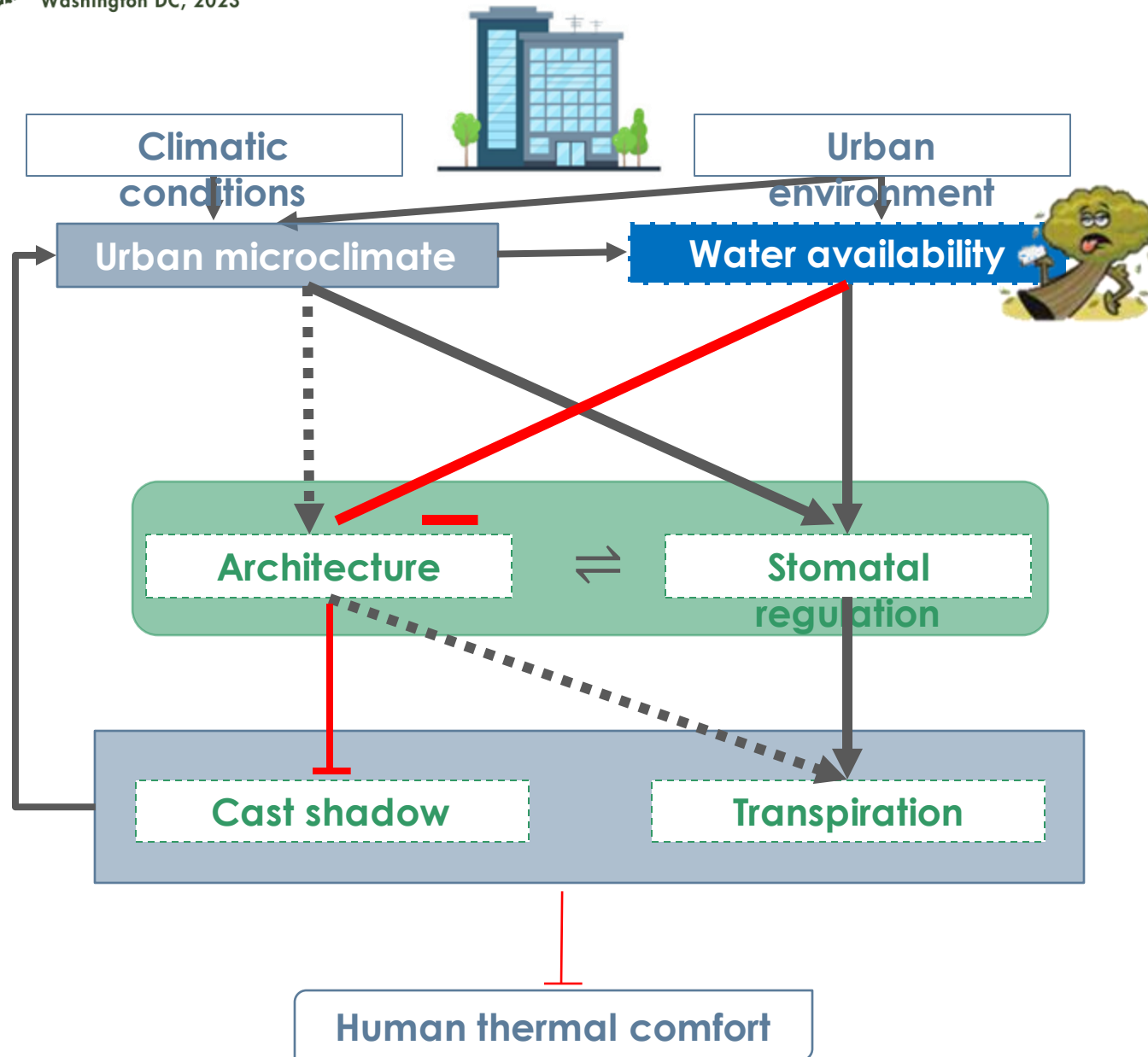
- **After WR starts:**

1) UTCI provided by WW trees  $\approx$  WR trees

2) UTCI provided by WW trees  $>$  UTCI provided by WR trees by  $1.7^{\circ}\text{C}$

## Conclusion & Perspectives



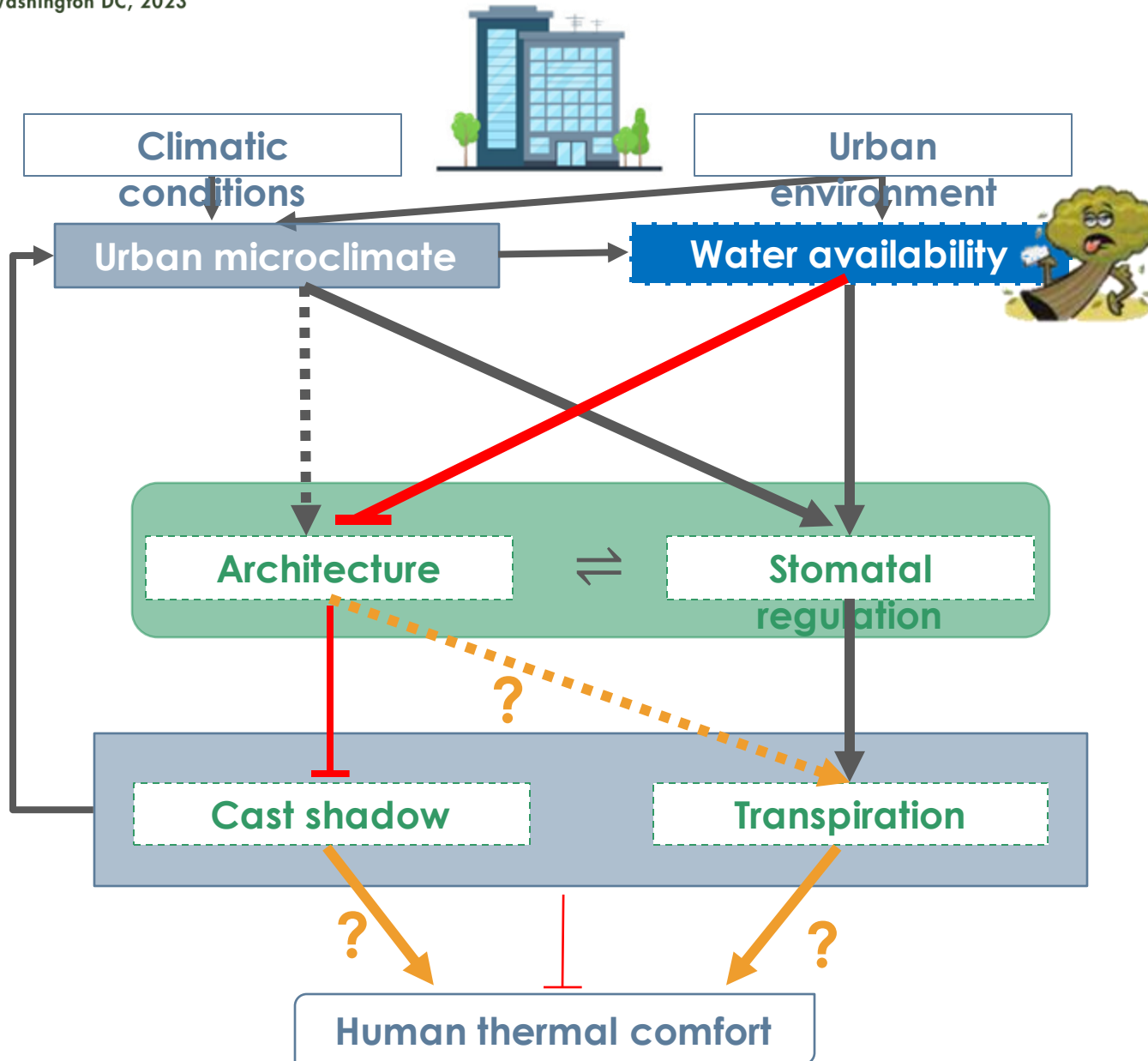


**Take home messages:**

1. Water restriction had strong impacts on architectural processes.
2. These impacts induced major changes in leaf area and leaf area index (LAI) at crown scale ...
3. ... but tree climate services were only little reduced by water restriction.
4. This is probably because the water restriction began late, when a sufficient leaf area was already developed and for a species whose services mainly rely on shade (Mballo et al, 2021)



①



②

## Objectives

1. Analyze the effects of a drought period on the **architectural development** and the transpiration of alignment trees in a canyon street
2. Characterize **their consequences on cooling services**
3. Identify the **architectural and ecophysiological variables that best explain** the variations in climate services over time

③

- Explore the **place of plant taxa** in the tree contribution to improve human thermal comfort

## Special thanks to:

- Financial support: CPER (French ministry for agriculture and food & French Region Pays de la Loire), Regional program "Objectif Végétal, Research, Education and Innovation in Pays de la Loire" (French Region Pays de la Loire, Angers Loire Métropole and the European Regional Development Fund), City of Paris & French Ministry of Education, Research and Innovation through the ANRT (National Association for Research and Technology)
- Contribution in reflection, data acquisition and technical resources (BARRAUD-ROUSSEL Yvette (UR EPHor), BERTHELOOT Jessica (UMR IRHS), BOZONNET Emmanuel (UMR LaSIE), CANNAMO Patrice (UR EPHor), LEVI Rachel (UR EPHor), NGAO Jérôme (UMR Eco&Sol), SAKR Soulaïman (UMR IRHS), WALSER Pascal (UMR PIAF))
- Experimental maintenance: PHENOTIC platform (UMR IRHS)

• Tree



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- United Nations (2019) *World population prospects Highlights, 2019 revision Highlights, 2019 revision*. Department of Economic and Social Affairs.
- US EPA, O. (2014) *Learn About Heat Islands*. Available at: <https://www.epa.gov/heatislands/learn-about-heat-islands> (Accessed: 16 May 2022).

# Thank you

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Food and Agriculture  
Organization of the  
United Nations



# **2nd** **World** **Forum on** **Urban** **Forests**

**2023**



**World Forum on  
Urban Forests**



# 2nd World Forum on Urban Forests

Washington DC, 2023

In the Cool of the Day

## Tree Species Influence in Reducing Urban Heat Island Effects in Local Climate Zones of Nairobi



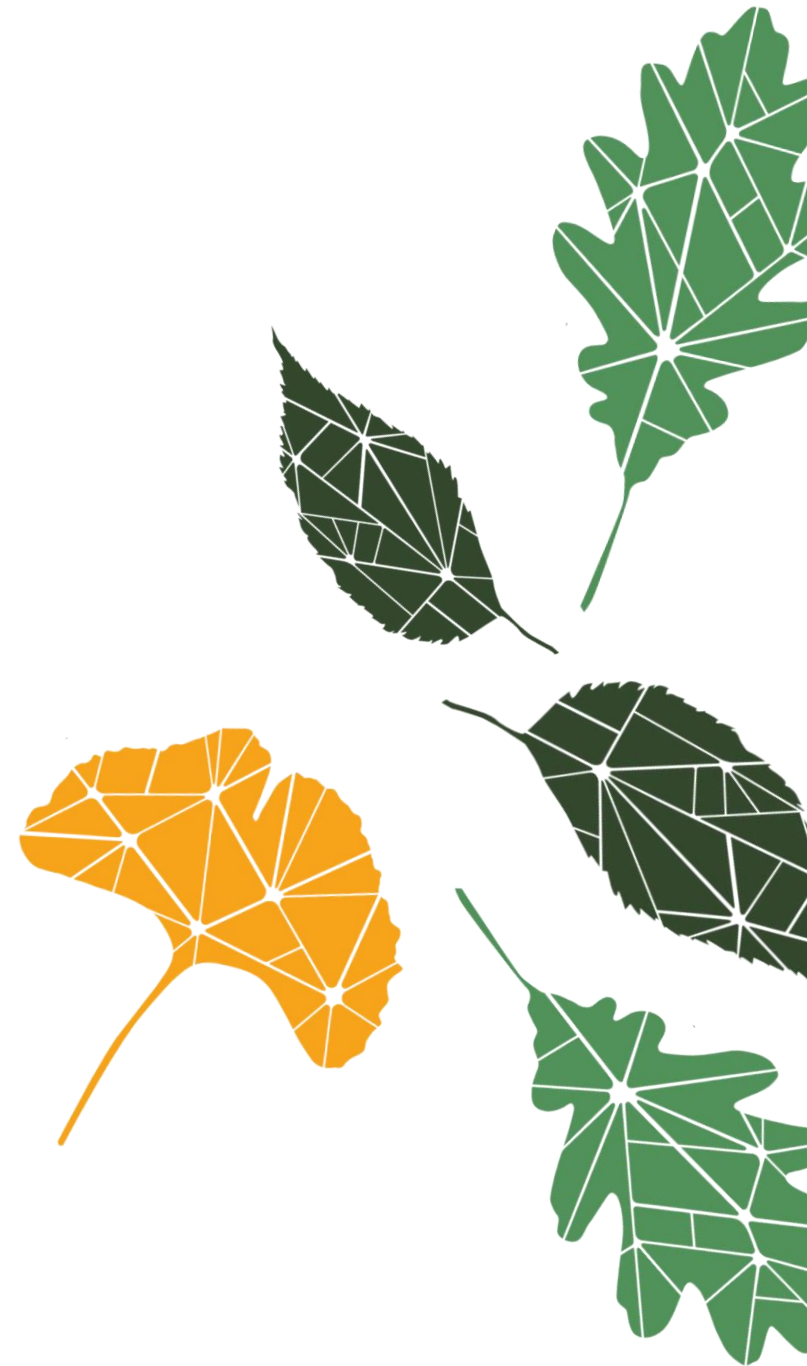
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Presented by

Onyango Sharon Anyango

Landscape Planner and Urban Climate Scientist,  
Jomo Kenyatta University of Agriculture and Technology

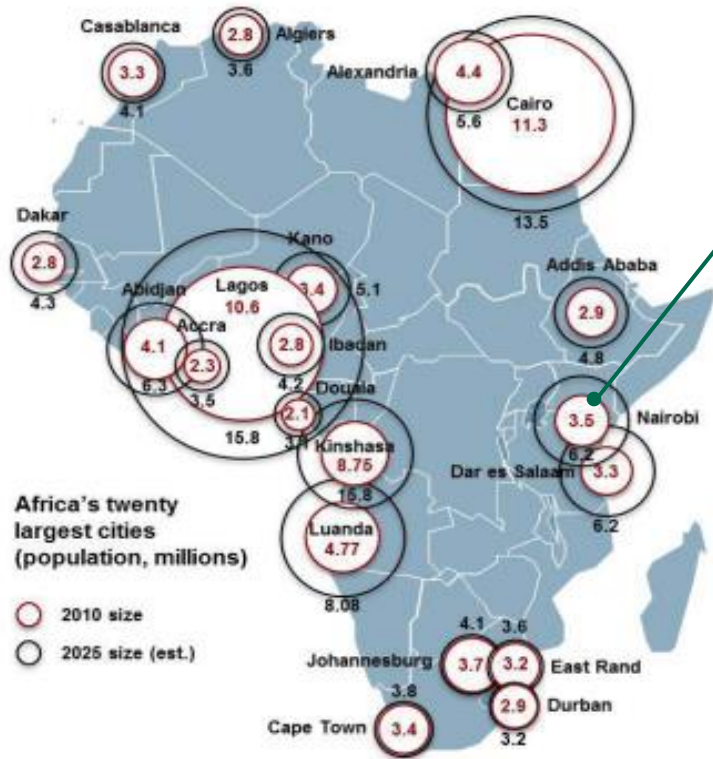
MSc. Landscape Planning and Conservation (Major: Urban  
Climate)





## Introduction:

Africa (1 billion people) 15 - 40%



Source: zonu.com

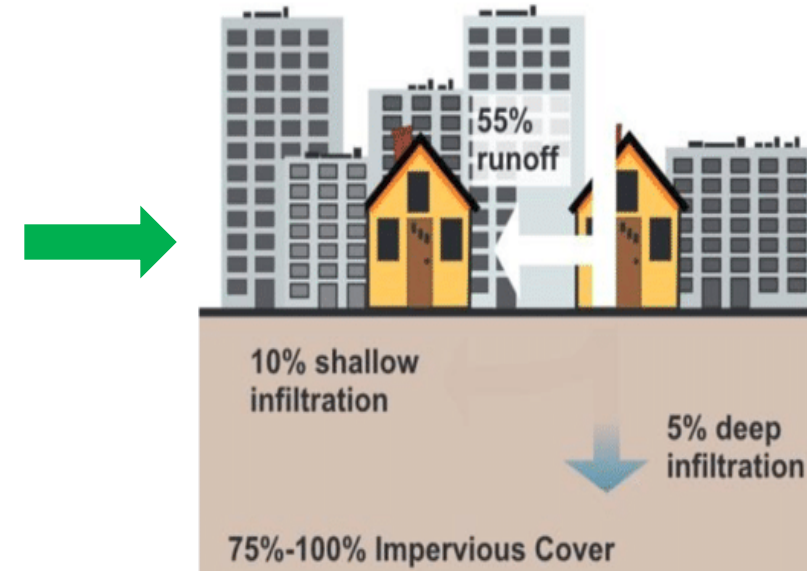
(UN-HABITAT, 2018).

Kenya's Urbanization rate: 29% (Statista, 2021)

Nairobi City: 4.5million (6.2m - 2025)

- Nairobi; the “Green City in the Sun”
- Urban sprawl - loss of forests and other natural areas converted to built-up areas (Tibaijuka, 2007).
- Temperatures in Kenya could increase by about 2°C by 2050 (UNDP, 2017).

Vegetation (UGS) Loss



(UN-SEPA, 2013).

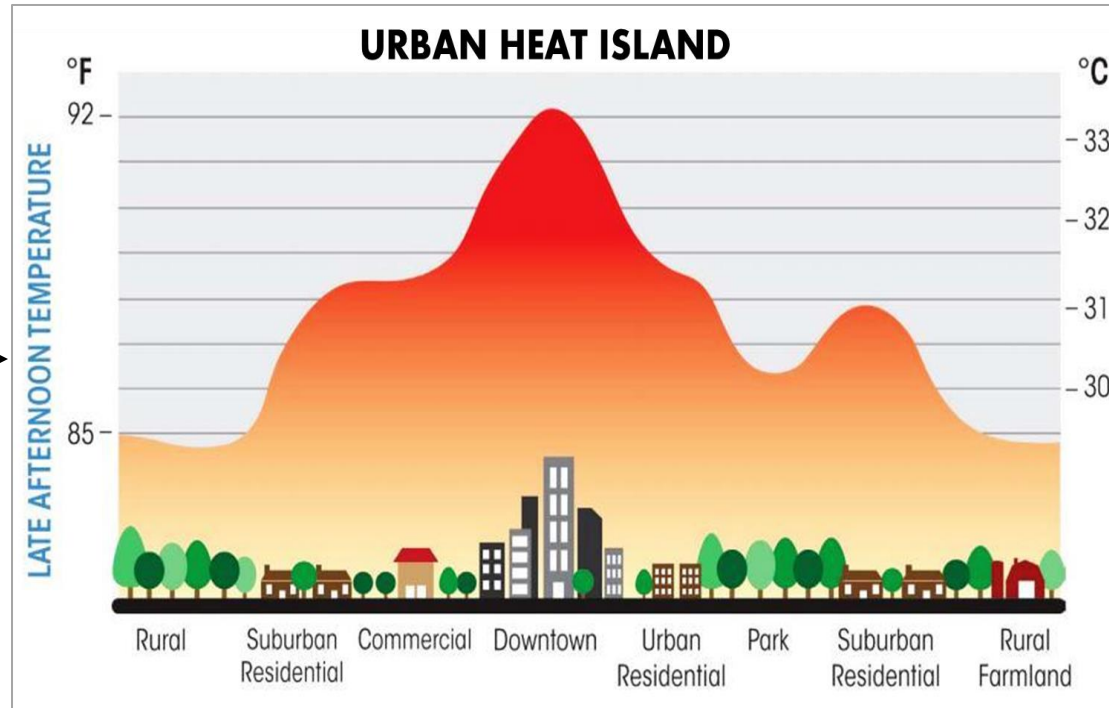


## Problem Statement:

Increasing urban  
population; need  
for infrastructure

Natural &  
anthropogenic  
sources  
Changes in  
albedo

Changes in  
biophysical features  
from natural to built  
up areas



(Stewart & Oke, 2012)

Compromised  
outdoor thermal  
comfort

Increased  
energy costs  
& air pollution  
levels

Increased heat-  
related illness &  
mortality



## 2nd World Forum on Urban Forests

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Built types	Land cover types
<b>1 Compact highrise</b> Dense mix of tall buildings to tens of stories. Few or no trees. Land cover mostly paved. Concrete, steel, stone, and glass construction materials.	<b>A Dense trees</b> Heavily wooded landscape of deciduous and/or evergreen trees. Land cover mostly pervious (low plants). Zone function is natural forest, tree cultivation or urban park.
<b>2 Compact midrise</b> Dense mix of midrise buildings (3–9 stories). Few or no trees. Land cover mostly paved. Stone, brick, tile, and concrete construction materials.	<b>B Scattered trees</b> Lightly wooded landscape of deciduous and/or evergreen trees. Land cover mostly pervious (low plants). Zone function is natural forest, tree cultivation, or urban park.
<b>3 Compact lowrise</b> Dense mix of lowrise buildings (1–3 stories). Few or no trees. Land cover mostly paved. Stone, brick, tile, and concrete construction materials.	<b>C Bush, scrub</b> Open arrangement of bushes, shrubs, and short, woody trees. Land cover mostly pervious (bare soil or sand). Zone function is natural scrubland or agriculture.
<b>4 Open highrise</b> Open arrangement of tall buildings to tens of stories. Abundance of pervious land cover (low plants, trees). Concrete, steel, stone, and glass construction materials.	<b>D Low plants</b> Featureless landscape of grass or herbaceous plants/crops. Few or no trees. Zone function is natural grassland, agriculture, or urban park.
<b>5 Open midrise</b> Open arrangement of midrise buildings (3–9 stories). Abundance of pervious land cover (low plants, scattered trees). Concrete, steel, stone, and glass construction materials.	<b>E Bare rock or paved</b> Featureless landscape of rock or paved cover. Few or no trees or plants. Zone function is natural desert (rock) or urban transportation.
<b>6 Open lowrise</b> Open arrangement of lowrise buildings (1–3 stories). Abundance of pervious land cover (low plants, scattered trees). Wood, brick, stone, tile, and concrete construction materials.	<b>F Bare soil or sand</b> Featureless landscape of soil or sand cover. Few or no trees or plants. Zone function is natural desert or agriculture.
<b>7 Lightweight lowrise</b> Dense mix of single-story buildings. Few or no trees. Land cover mostly hard-packed. Lightweight construction materials (e.g., wood, thatch, corrugated metal).	<b>G Water</b> Large, open water bodies such as seas and lakes, or small bodies such as rivers, reservoirs, and lagoons.
<b>8 Large lowrise</b> Open arrangement of large lowrise buildings (1–3 stories). Few or no trees. Land cover mostly paved. Steel, concrete, metal, and stone construction materials.	<b>VARIABLE LAND COVER PROPERTIES</b> Variable or ephemeral land cover properties that change significantly with synoptic weather patterns, agricultural practices, and/or seasonal cycles.
<b>9 Sparsely built</b> Sparse arrangement of small or medium-sized buildings in a natural setting. Abundance of pervious land cover (low plants, scattered trees).	<b>b. bare trees</b> Leafless deciduous trees (e.g., winter). Increased sky view factor. Reduced albedo.
<b>10 Heavy industry</b> Lowrise and midrise industrial structures (towers, tanks, stacks). Few or no trees. Land cover mostly paved or hard-packed. Metal, steel, and concrete construction materials.	<b>s. snow cover</b> Snow cover >10 cm in depth. Low admittance. High albedo.
	<b>d. dry ground</b> Parched soil. Low admittance. Large Bowen ratio. Increased albedo.
	<b>w. wet ground</b> Waterlogged soil. High admittance. Small Bowen ratio. Reduced albedo.

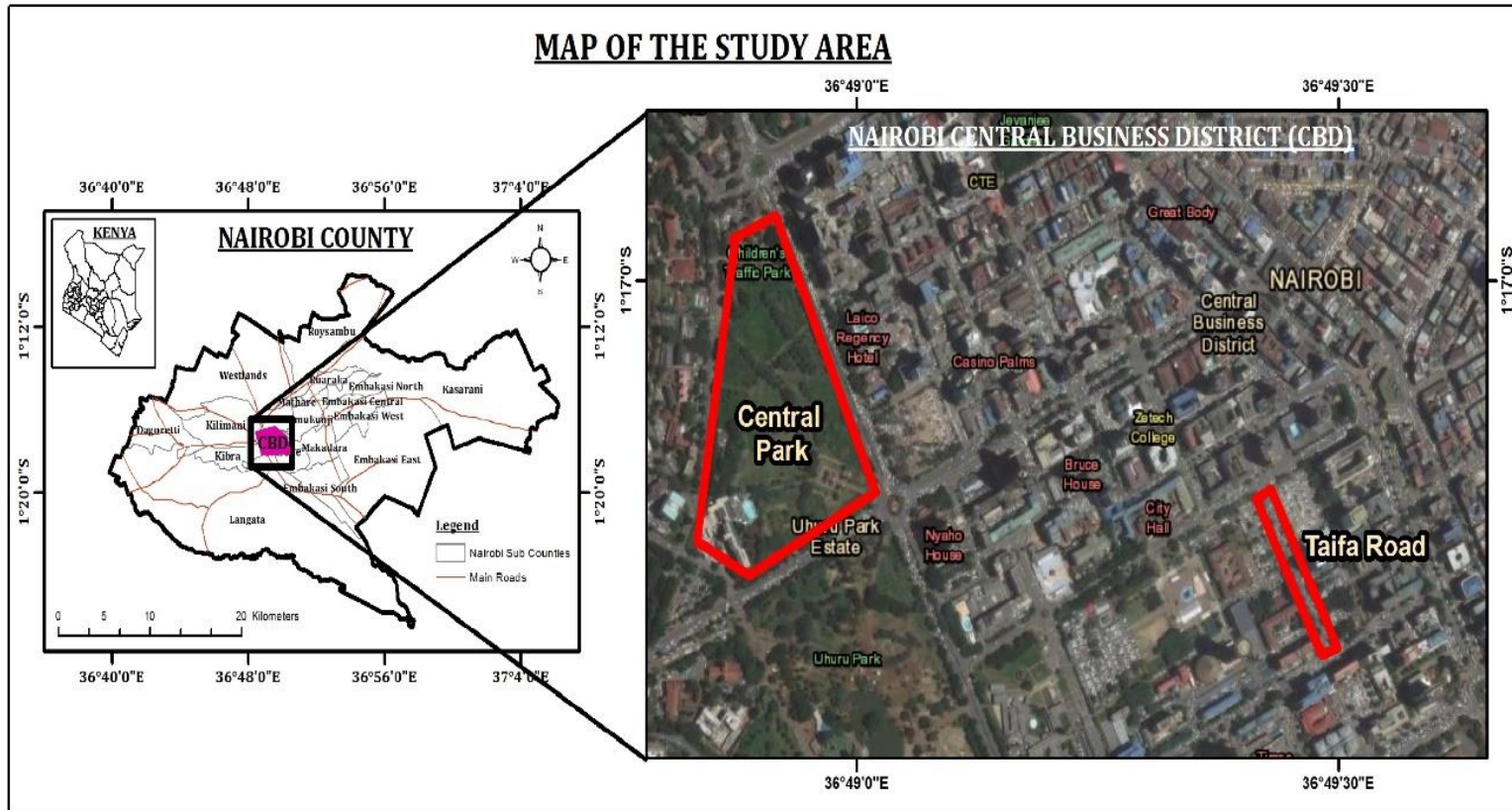


## Rationale:

- Local Climate Zones (LCZs) approach, a universal climate-based classification, established by Stewart & Oke, (2012), considered effective in UHI and thermal environment studies.
- 17 Classes; 10 (1-10) built-up and 7 (A-G) natural surface, considering the micro-scale details of the urban thermal observations.
- Using thermal indices like Physiologically Equivalent Temperature (PET) (Matzarakis & Amelung, 2008), is necessary in quantifying the thermal comfort rate within these LCZs.
- Dire scarcity of information regarding the effectiveness of diverse mature tree species in microclimate variation within heterogeneous urban environments, particularly in tropical climate areas.



## Study Area:



### Objective

To evaluate tree species' influence in ameliorating urban heat island (UHI) effects and enhancing human thermal comfort (HTC) within local climate zones (LCZ) of Nairobi City

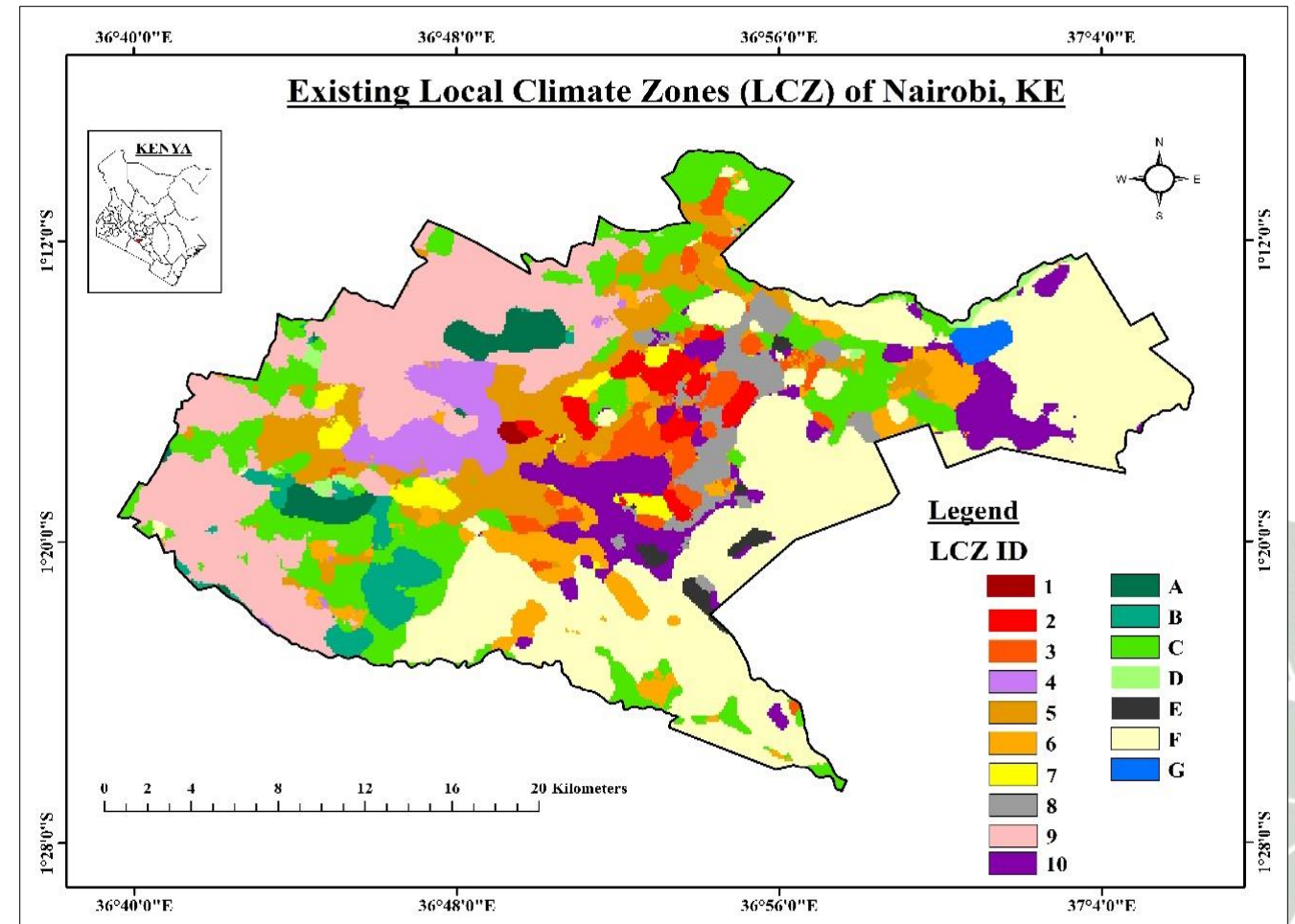
**Fig. 3:** The maps of Africa, East Africa, Kenya, Nairobi County and the Selected Study Sites: Central park (CP) and Taifa road (TR) within the central business district.

## Selected sites: Local Climate Zones

- Two LCZs were selected represented by A Park and A street (**Fig 1.**)
- To compare similar plant species in two different LCZs within Nairobi CBD;

i. **LCZ B:** *Scattered trees:-*  
Central Park

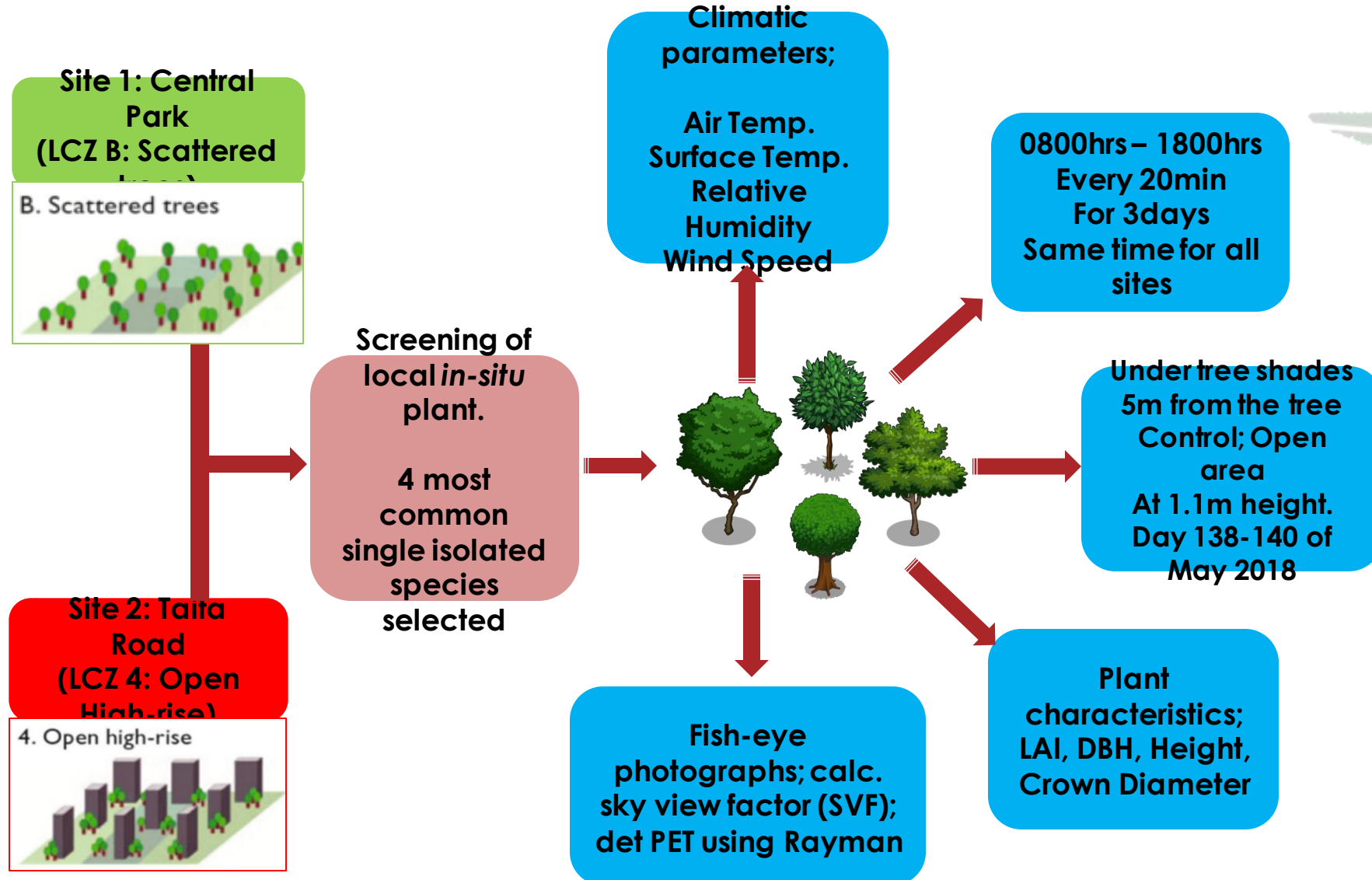
ii. **LCZ 4:** *Open High-rise:-* Taifa Road.



**Fig 4:** Spatial distribution of the existing LCZs in Nairobi  
(Source: WUDAPT)



## Data Collection:





## LCZ B: Scattered trees (Central Park)

P1



*Cassia spectabilis*  
Cassia

P2



*Podocarpus falcatus*  
EA yellow wood

P3



*Terminalia mantaly*  
Umbrella tree

C1



Control: Open area

P4



*Tipuana tipu*; Tipu tree

## LCZ 4:Open-Highrise (Taifa road)

P3



*Terminalia mantaly*  
Umbrella tree

C2



Control: Open area



*Tipuana tipu*; Tipu tree

P1

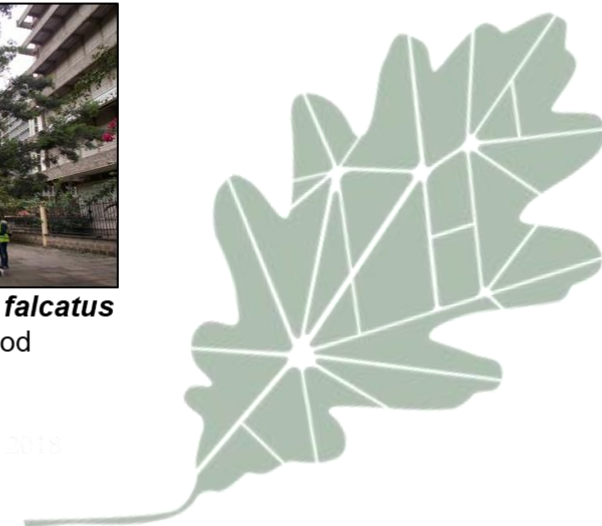


*Cassia spectabilis*  
Cassia

P2



*Podocarpus falcatus*  
EA yellow wood



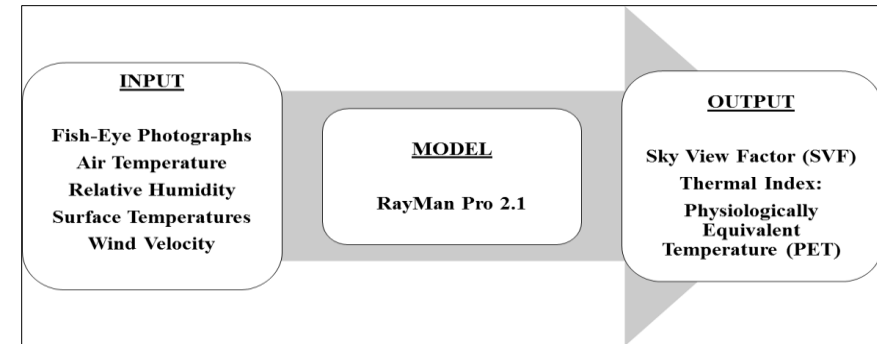


## Data Analysis:

### Tree Species effect on Microclimate

- Three specific hours used; 8am (chilled air), 1pm (air heated up) and 6pm (heat island effect is felt) (Matzarakis & Amelung, 2008; Van Hoof *et al.*, 2010; Sodoudi *et al.*, 2018).
- Statistical Package for Social Sciences (SPSS)
- Non parametric ANOVA
- Kruskal-Wallis Test; intra-site comparison
- Mann Whitney U Test; inter-site comparison
- $P < 0.05$  statistically significant

### Human Thermal Comfort



### PET Classification according to Matzarakis and Meyer (1997)

PET (°C)	Thermal Perception	Grade of physiological stress
<4.0	Very cold	Extreme cold stress
4.1 - 8.0	Cold	Strong cold stress
8.1 – 13.0	Cool	Moderate cold stress
13.1 – 18.0	Slightly cool	Slightly cold stress
18.1 – 23.0	Neutral (comfortable)	No thermal stress
23.1 – 29.0	Slightly warm	Slightly heat stress
29.1 – 35.0	Warm	Moderate heat stress
35.1 – 41.0	Hot	Strong heat stress
41>	Very hot	Extreme heat stress



## Results:

### a. Selected plant species' canopy densities & allometric properties

**Table 1:** Selected plants' allometric properties; *CP* - Central Park, *TR* - Taifa Road.

Plant Species	LAI		DBH (m)		Crown Diameter (m)		Tree Height (m)	
	C P	T R	C P	T R	C P	T R	C P	T R
<b>P1:</b> <i>Cassia spectabilis</i>	3.25	3.43	0.63	0.60	7.00	7.20	7.90	7.60
<b>P2:</b> <i>Podocarpus falcatus</i>	3.02	3.21	0.60	0.56	6.60	6.00	8.90	8.50
<b>P3:</b> <i>Terminalia mantaly</i>	4.10	4.10	0.75	0.73	8.80	8.40	8.50	8.30
<b>P4:</b> <i>Tipuana tipu</i>	3.58	3.85	0.69	0.67	7.10	8.00	8.20	7.70





## Results:

### b. Inter-site/Inter-species effects on microclimate

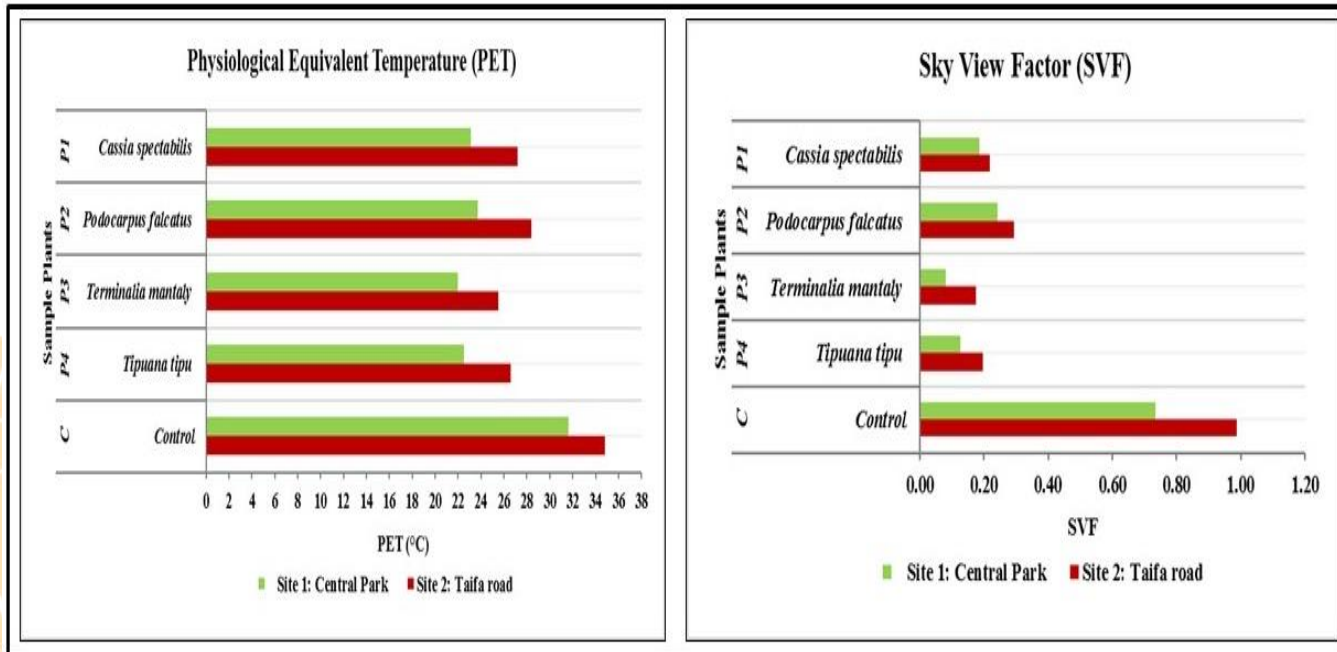
- Mean (AT) in the Park were 1.0°C, 2.3°C and 1.3°C lower than the AT in the Street at 8am, 1pm and 6pm respectively. Lowest values at trunk, 5m and control.
- RH was 1.4°C, 8.2°C and 9.3°C higher in the Park compared to the Street at 8am, 6pm and 1pm respectively. Highest values at the trunk, 5m & control.
- ST in the Street were 3.5°C, 6.4°C and 5°C warmer compared to Park at 8am, 1pm and 6pm respectively. Cooler surfaces at 8am, slightly warmer at 6pm and warmer at 1pm.
- WV in the Street was 0.2m/s, 0.3m/s and 0.1m/s higher compared to the Park at 8am, 1pm and 6pm respectively. No general trend.





## Results:

### c. Human Thermal Comfort evaluation



**Figure 8:** PET and SV F distribution for both sample sites

- *Terminalia mantaly* (P3); best cooling effect, PET reduction of 18% (9.6°C) and 15% (9.3°C) in the Park and Street respectively.
- *Tipuana tipu* (P4) was the second best with 17% (9.2°C) and 13% (8.2°C).
- *Cassia spectabilis* (P1) with 16% (8.5°C) and 12% (7.6°C).
- *Podocarpus falcatus* (P2) with 14% (7.9°C) and 10% (6.4°C).

A strong negative correlation between the LAI and PET was obtained from both sites (S1;  $r = -0.96$ , S2;  $r = -0.8$ ).



## Conclusion

- Nairobi city residents are more likely to suffer no thermal stress in parks to warm moderate heat stress in built areas during hot seasons
- Consider tree species with strong trunks, spreading canopies as well as rounded canopy forms, such as *Tipuana tipu* tree species. Evergreen trees with more foliar/canopy densities, similar to *Terminalia mantaly* (some are deciduous) through seasons
- Besides the aesthetics and functionality of the plants, considering the urban trees' architectural aspects and form are essential in regulating microclimate in Nairobi
- Frequent assessment of the vegetation alterations & Sustainable planning within Nairobi's LCZs, following set developmental standards, guarantees the vegetation cover improvement significantly
- Incorporating eco-friendly infrastructure in the city's spatial advancement plans is imperative, specifically the use of reflecting roofs and walls, UV-absorbent windows, and pavements with high albedo. Equal resource disbursement to counties to minimize rural-urban migration
- **Way forward:** Develop a Guide for Practitioners (collaborative)



## Output:

- Onyango, S. A., Mukundi, J. B., Adimo, A. O., Wesonga, J. M., & Sodoudi, S. (2021). Variability of In-Situ Plant Species Effects on Microclimatic Modification in Urban Open Spaces of Nairobi, Kenya. *Current Urban Studies Journal*, 9, 126-143.  
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### **Variability of *In-Situ* Plant Species Effects on Microclimatic Modification in Urban Open Spaces of Nairobi, Kenya**

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Prof. Sahar Sodoudi – FUB

*"We simply must do everything we can in our power to slow down global warming before it is too late. The science is clear. The global warming debate is over."*

*~ Arnold Schwarzenegger*



# Thank you

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**Session 1.4: In the Cool of the Day: The role of urban forests in improving microclimate and reducing the heat island effect**



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